

November 11, 2020

Mr. Murray Sarway
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**Subject: *Culturable Bacteria, Legionella & COVID-19 (SARS-CoV-2) Sampling
Morton Williams Markets, Inc.
Lounge Area - Ground Level
15 West End Avenue
New York, NY, 10023***

Dear Mr. Sarway,

At the request of I-Luminosity, LLC, AET Group Global (Client), ATC Group Services LLC (ATC) conducted Culturable Bacteria, Legionella and COVID-19 (SARS-CoV-2) sampling of the Lounge Area - Ground Level of the Morton Williams Market located at the above referenced location. It is our understanding that ultraviolet (UVC-280n) light germicidal irradiation systems are to be installed in the lounge area of the above referenced building. The Client authorized ATC to perform an indoor air quality (IAQ) survey before and after the UVC-280n systems are installed.

The IAQ survey activities were performed to evaluate the effect on microbiological flora as well as SARS-CoV-2 virus in the air and on surfaces of the lounge area. This evaluation included surface swab and air samples for culturable bacteria, and SARS-CoV-2 viruses and preparation of bacterial impaction plates to determine the levels of microbiological flora and SARS-CoV-2 virus in the Morton Williams Market before and after the UVC-280n system activation. The evaluation was conducted over two sampling events, on October 15, 2020 and October 21, 2020, and considered the difference in IAQ data before and after the UVC-280n system activation. It was reported that the UVC-280n systems had been activated on October 15, 2020 after initial sampling and had been running continuously until the final sampling on October 21, 2020.

ATC observed that one of the UVC-280n lamps above the restaurant bar sink was non-operational at the time of the assessment on October 21, 2020. It was not known or reported when the lamp under the bar sink had turned off since the systems were commissioned on October 15, 2020.

SCOPE OF WORK

ATC Industrial Hygienist, Ms. Nancy Guevara working under the supervision of a Certified Industrial Hygienist (CIH), performed the pre-installation sampling on October 15, 2020 and the

post-installation sampling on October 21, 2020. The scope of services for the sampling efforts included the following:

- Individual air and swab surface samples were collected for culturable bacteria, Legionella, and COVID-19 (SARS-CoV-2). Sampling was accomplished before the UVC-280n systems were set up and after they are installed and operating.
- Ten (10) swabs, eleven (11) air samples, for culturable bacteria, Legionella and SARS-CoV-2 RNA, and one (1) potable water sample for Legionella were collected during the pre-installing activities of the UV systems. In addition, quality control blanks were submitted with each set of samples. Ambient air samples were submitted with the air samples for culturable bacteria and Legionella. In all, an estimated twenty-two (22) samples, including blanks, were collected and analyzed.
- Ten (10) swabs, nine (9) air samples, for culturable bacteria, Legionella and SARS-CoV-2 RNA, were collected for post-installing activities of the UV systems. In addition, quality control blanks were submitted with each set of samples. At the request of the Client, potable water samples and ambient air samples were not collected during post-installation sampling activities. In all, nineteen (19) samples, including blanks, were collected and analyzed.
- All samples were sent to an accredited third party laboratory for analysis. Culturable bacteria and SARS-CoV-2 RNA samples were analyzed with a one-week turnaround time, from receipt at the laboratory. Samples for air and swab for COVID-19 (SARS-CoV-2) were analyzed via Polymerase Chain Reaction/Ribonucleic Acid (PCR/RNA). Legionella samples were analyzed with a required 14-day minimum turnaround time, from receipt at the laboratory.
- Culturable bioaerosol samples for cultural bacteria and Legionella were collected using an Andersen cascade impactor. Collection time and volume of the samples was confirmed with the laboratory for the samples. Bacteria swab samples were supplied by the laboratory were employed to sample surface areas.
- Potable water samples were collected, one (1) first draw and one (1) flush sample from one (1) location for culturable Legionella. The samples were submitted to a New York State certified independent third-party laboratory for culturable Legionella analysis via ISO 11731 method, with a standard 10-14 day analysis turnaround time from receipt at the laboratory.

BACKGROUND

The test area was approximately 800 sq. ft. represents a relatively small area, of the approximately 20,000 sq. ft. super market, in an occupied high rise. There is a large common area in the building and a constant ventilation HVAC system. The HVAC system services all spaces and, operates during the stores business hours. Plus the fact the outside sliding glass doors are left open during the evening, to serve patrons, seated outside on the sidewalk.

At the request of the Client, ATC conducted Culturable Bacteria, Legionella and COVID-19 (SARS-CoV-2) sampling of the lounge areas at the ground level of the Morton Williams Market located at 15 West End Avenue New York, NY, 10023. It is our understanding that a total of ten (10) ultraviolet (UVC-280n) light systems are being installed in the lounge space, one (1) strip channel at sink, in service bar, two (2) bathrooms, and one (1) kitchen of the above referenced building. The Client requested that swab and air sampling be performed before and after the UVC-280n systems are installed. It was reported that the UVC-280n systems had been activated on October 15, 2020 after initial sampling, and had been running continuously until final sampling on October 21, 2020.

UVC radiation is generally known to be a good disinfectant for air, water, and nonporous surfaces. UVC radiation has effectively been used for decades to reduce the spread of bacteria, such as tuberculosis. For this reason, UVC lamps are often called "germicidal" lamps.

The following was taken from the United States Food and Drug Administration (FDA) general guidelines for UV Lights and Lamps: Ultraviolet-C Radiation, Disinfection, and Coronavirus:

UVC radiation has been shown to destroy the outer protein coating of the SARS-Coronavirus, which is a different virus from the current SARS-CoV-2 virus. The destruction ultimately leads to inactivation of the virus. UVC radiation may also be effective in inactivating the SARS-CoV-2 virus, which is the virus that causes the Coronavirus Disease 2019 (COVID-19). However, currently there is limited published data about the wavelength, dose, and duration of UVC radiation required to inactivate the SARS-CoV-2 virus. In addition to understanding whether UVC radiation is effective at inactivating a particular virus, there are also limitations to how effective UVC radiation can be at inactivating viruses, generally.

- Direct exposure: UVC radiation can only inactivate a virus if the virus is directly exposed to the radiation. Therefore, the inactivation of viruses on surfaces may not be effective due to blocking of the UV radiation by soil, such as dust, or other contaminants such as bodily fluids.*
- Dose and duration: Many of the UVC lamps sold for home use are of low dose, so it may take longer exposure to a given surface area to potentially provide effective inactivation of a bacteria or virus.*

UVC radiation is commonly used inside air ducts to disinfect the air. UVC lamp manufacturers are responsible for compliance with all applicable regulatory requirements.

More information can be found on the FDA website.

The methods, results, and interpretations are discussed below. The laboratory reports are provided in Attachment 1 and 2. Site photographs are provided in Attachment 3, and additional CDC and FDA reference documentation and methodologies are included in Attachment 4.

SAMPLING METHODS

BACTERIAL PLATE COUNTS

ATC utilized an SAS 180 Microbial Impaction Sampler to collect airborne bacteria samples on trypticase soy agar (TSA). TSA is a general purpose nutrient medium for a large variety of typical indoor bacteria. The SAS Sampler was designed to collect air and deposit entrained bacteria onto the surface of the agar. A total of four (4) indoor samples were collected in the center of the lounge and near the restroom area during the sampling events. Ambient control samples were also collected near the entrance to the lounge during the first sampling event. Control blanks were also submitted with the batch of samples during each sampling events. The samples were 84.9 liters each to provide consistent volume basis for comparison of results. The plates were cultured/incubated at room temperature for 1 week and analyzed to identify and enumerate the bacteria species. The survey looked for overall reduction over the duration of the multiday survey. The results of the Culturable Bacteria by Air Samples are shown in Table 1. **There are no published standards for the bacterial counts. These will be discussed below.**

Table 1: Culturable Bacteria by Air Sample Results

Sample location	Sample No.	Sample type	Volume (L)	Results	Conc. (CFU/ml)
Lounge – Restroom Area	S1	Pre-Installation	84.9	<i>Dermacoccus nishinomiyaensis</i> <i>Gram negative rod</i> <i>Microbacterium sp</i> <i>Micrococcus luteus</i> <i>Micrococcus lylae</i>	72 12 24 12 36
	S1	Post-Installation	84.9	<i>None Detected</i>	ND
Lounge – Bar/Restaurant Area	S2	Pre-Installation	84.9	<i>Dermacoccus nishinomiyaensis</i> <i>Staphylococcus capitis</i>	12 12
	S2	Post-Installation	84.9	<i>None Detected</i>	ND
Background Ambient	S3	Pre-Installation	84.9	<i>Bacillus megaterium</i> <i>Bacillus sp.</i> <i>Chryseobacterium indologenes</i> <i>Moraxella catarrhalis</i> <i>Sphingopyxis macrogoltabida</i>	12 36 48 60 96
Control Blank	S4	Pre-Installation	84.9	<i>None Detected</i>	ND
	S3	Post-Installation	84.9	<i>None Detected</i>	ND

Notes:

* The detection limit is equal to 1 colony forming unit (CFU) per agar plate

L –liter

CFU/in² – colony forming units per square inch

ND – not detected

Table 1 shows the data for Culturable Bacteria by Air Sample results before and after the UVC-280n systems were installed in the Morton Williams Market Lounge Areas. When comparing the post-installation results to the baseline results, the airborne bacterial counts in the Morton Williams Market Lounge Areas generally decreased to undetectable levels when the UVC-280n system was activated.

Bacterial aerosols are dispersed into the air from various sources, such as soil, plants, and water, as well as through the activities of animals, humans, and industrial operations, all of which can occur in an urban environment. Generally ambient air, bacterial aerosols are often less abundant than fungal spores or pollen grains. Seasonal variations in and dispersion of bacterial communities have also been observed between geographical locations as has their correlation with specific atmospheric factors. Statistically, the most important meteorological factors in the viability of airborne bacteria were identified to be temperature and UV radiation (Ruiz-Gil et al., 2020). The results of the background ambient air samples conducted during the first sampling event indicate some of the most abundant phylums in the air are found in urban environments, including Protobacteria, Firmicutes and Bacteroidetes phylums (Sphingomonadales and Bacillales).

CULTURABLE BACTERIA SURFACE SAMPLES

ATC collected surface swab samples for Culturable Bacteria before and after the installation of the UVC-280n system. These samples were analyzed for Identification and Enumeration of Culturable Bacteria. ATC identified high-touch surfaces that were in the lounge area. Five (5) surface swab samples were collected during each sampling event using sterile swabs supplied by the analytical laboratory. A blank sample, sterile swab, was included for laboratory quality assurance purposes. The samples were shipped overnight and submitted under chain-of-custody (COC) to EMSL Analytical, Inc. (EMSL) in Cinnaminson, New Jersey. Table 2 below shows the results for the Culturable Bacteria by Swab Sampling event.

Table 2: Culturable Bacteria by Swab Sample Results

Sample location	Sample No.	Sample type	Sample Measure (in ²)	Results	Conc. (CFU/in ²)
Bar Sink - Left Side Flat Surface Center	S1	Pre-Installation	1	<i>Corynebacterium tuberculostearicum</i> <i>Pseudomonas putida</i> <i>Staphylococcus epidermidis</i>	2,200 100 4,200
	S1	Post-Installation	1	<i>Microbacterium sp.</i> <i>Staphylococcus capitis</i>	27,000 3,000
Bathroom Door -	S2	Pre-Installation	1	<i>Brevibacillus sp.</i> <i>Micrococcus luteus</i>	100 200

Sample location	Sample No.	Sample type	Sample Measure (in ²)	Results	Conc. (CFU/in ²)
Right Bathroom Door Handle	S2	Post-Installation	1	<i>Staphylococcus pasteurii</i>	300
Beer Tap - Brooklyn Lager Handle	S3	Pre-Installation	1	<i>None Detected</i>	ND
	S3	Post-Installation	1	<i>Microbacterium sp</i>	700
Blank	S4	Pre-Installation	1	<i>None Detected</i>	ND
	S4	Post-Installation	1	<i>None Detected</i>	ND
HVAC Return Vent	S5	Pre-Installation	1	<i>Bacillus cereus</i> <i>Gram positive rod</i> <i>Microbacterium arborescens</i>	100 100 100
	S5	Post-Installation	1	<i>Kocuria rhizophila</i> <i>Microbacterium sp.</i>	100 1,100

Notes:

* The detection limit is equal to 1 colony forming unit (CFU) per agar plate

L –liter

CFU/in² – colony forming units per square inch

ND – not detected

Table 2 shows the data for Culturable Bacteria by Swab Sample results before and after the UVC-280n systems were installed in the Morton Williams Market Lounge Areas. When comparing the post-installation results to the baseline results, the surface bacterial counts in the Morton Williams Market Lounge Areas generally show no direct correlation with regards to the effectiveness of the installation of the UVC-280n systems. ATC observed that the UVC-280n lamp above the restaurant bar sink was non-operational at the time of the assessment. The bar area appeared untidy with multiple steel bins placed on the sink surface, appearing to have been in use during the evaluation period. It was not known or reported when the UVC lamp under the bar sink had been turned off since the systems were commissioned on October 15, 2020.

It should be noted that in-situ trials suffer from many uncontrolled variables, such as unexpected customer and staff traffic, unexpected bacterial sources (sneezes/coughs), or external contributions. These confounding variables will continue to affect any in-situ evaluation. One such variable is occupant loading. The more people that pass through the area in any given period of time will contribute bacteria to the general areas. Persons with allergies or mild colds

can skew the results. It should be also be noted that ATC observed general customer and staff traffic into the restroom areas and behind the bar sink areas during the sampling periods.

LEGIONELLOSIS AND *LEGIONELLA* BACTERIA

Legionellosis is an infection in humans caused by inhalation of *Legionella* bacteria. *Legionella* bacteria cause two distinct types of disease: Legionnaires' disease and Pontiac fever. Legionnaires' disease is the more serious disease that causes lung infections and pneumonia, while Pontiac fever is thought to be an immune response from exposure to the bacteria (not an infection) which results in self-limiting flu-like symptoms.

By far, most Legionnaires' disease outbreaks in the U.S. are caused by *Legionella pneumophila* serogroup 1. Legionnaires' disease is characterized by fever, myalgia, cough, and pneumonia. Legionnaires' disease occurs more frequently in older individuals (those over 50 years old), in individuals with pre-existing lung disease or poor health status, in cigarette smokers (both current and former), and in individuals with weakened immune systems due to chemotherapy or serious infections. However, anybody is at risk if exposed to a high enough dose.

The infection usually begins two to ten days after exposure to the bacteria. Patient symptoms can include fever, non-productive cough, malaise, muscle aches, headaches, and chest pain; symptoms are generally quite severe. Unfortunately, once infected, an individual's probability of subsequently dying from Legionnaires' disease ranges from 5% to 30%. Those who do recover from Legionnaires' disease often require a long period of convalescence.

In contrast, Pontiac fever usually affects young to middle-aged adults and may occur in more than 90% of exposed individuals. Usually within one to two days after an exposure, infected individuals can begin to experience fever, cough, muscle aches, headaches, and chest pain, but no sputum production. These individuals usually recover fully from their infection within three to five days without medical complications or treatment.

Legionella bacteria are not rare or unusual organisms and are commonly found in low levels in lakes, streams, and wet soils throughout the world. Optimal growth occurs between 80 and 120 degrees Fahrenheit (°F). If both proper temperature and nutrient conditions are present in an environment, such as in a cooling tower, the bacteria can grow and amplify. Other sources for amplification of *Legionella* bacteria include potable water systems, particularly hot water heaters, showerheads, and faucets; and many other types of water systems such as hot tubs, spas, humidifiers, and decorative fountains.

COLD WATER SUPPLY

Domestic cold water is provided at a single entry point in the ground floor lounge by the City of New York.

ASTM International makes no recommendation on cold-water delivery temperature. The World Health Organization (WHO) document, *Legionella and the Control of Legionellosis* (2007), states that cold water at the tap should not exceed 77°F (25°C) and where possible, the temperature should be less than 68°F (20°C). Cold-water delivery temperature was measured using a waterproof digital thermometer (Model 9842, Taylor Instruments, +/- 1°F).

RESIDUAL CHLORINE

The presence of free residual chlorine in drinking water is correlated with the absence of disease-causing organisms, and thus is a measure of the potability of water. When chlorine is added to water, some of the chlorine reacts with organic materials and metals in the water and is not available for disinfection. This is typically referred to as the “chlorine demand”. The remaining chlorine concentration after the chlorine demand is accounted for is called total chlorine. Total chlorine is further divided into the amount of chlorine that has reacted with nitrates and is unavailable for disinfection, which is called combined chlorine, and the free residual chlorine, which is the chlorine available to inactivate disease-causing organisms, such as *Legionella*. While public water systems in the U.S. are chlorinated to reach a drinkable concentration of one to two milligrams per liter (mg/L) free chlorine, the Safe Drinking Water Act (SWDA) only requires that a detectable residual chlorine, or about 0.2 mg/L, be present at the customers’ connection.

Free residual chlorine testing was performed using a digital Hach Pocket Colorimeter II photometer. Powdered DPD (N,N diethyl-p-phenylene diamine) is added to a premeasured amount of water, which causes a color change to pink in the presence of chlorine. The meter can measure free residual chlorine concentrations between 0.01 and 2.00 mg/L. Measured residual free chlorine levels ranged from <0.01 to 0.10 mg/L in the outlets tested.

LEGIONELLA POTABLE WATER SAMPLING

Potable water samples were collected from the lounge bar sink. The samples were collected in sterile, 250-milliliter (mL) plastic containers, with sodium thiosulfate added in order to neutralize any residual chlorine. A total of two (2) water samples were collected from one location in the lounge bar sink.

At the faucet location, a ‘pre-flush’ and ‘post-flush’ sample was collected. The pre-flush sample consisted of the first water drawn from the outlet. The water was then allowed to continue to flow while the temperature was monitored. Once the temperature of the water stream stabilized, a second, ‘post-flush’ sample was then collected. In addition, water temperature and free residual chlorine levels were measured at each outlet.

Following collection, the samples were placed in a cooler and packaged so that the sample containers could not move around. The samples were shipped via overnight courier, following appropriate chain-of-custody procedures, to EMSL Laboratories (EMSL) located in New York, NY. EMSL is an environmental microbiology laboratory that is certified under the Centers for Disease Control and Prevention’s (CDC) Environmental *Legionella* Isolation Techniques Evaluation (ELITE) Program for Legionella analysis. In addition, they are an AIHA accredited Environmental Microbiology laboratory and are approved by the New York State Department of Health for Legionella analysis of potable water samples. The samples were analyzed via viable culture method (ISO 11731:2017)) with identification and enumeration of *L. pneumophila* plus individual serotyping for serotypes 1 through 14.

The reported detection limit for the analysis is approximately 0.2 colony forming unit per milliliter of water (CFU/ml). The results of the sampling indicated no detectable levels of *Legionella* were present in the location sampled. The results are presented in Table 3.

Table 3: *Legionella* Potable Water Sample Results

Sample location	Sample type	Water temp (°F)	Free chlorine (mg/L)	Results	Conc. (CFU/ml)
Lounge – Bar	First draw	66.2	0.01	<i>L. pneumophila</i> SG-6	0.15
	Post-flush	65.7	0.01	<i>L. pneumophila</i> SG-6	0.05

Notes:

mg/L – milligrams per liter

CFU/ml – colony forming units per milliliter

Data interpretation guidelines from the AIHA indicate that, for potable water systems, *Legionella* concentrations less than ten (10) CFU/ml are considered to be low, concentrations between 10 and 100 CFU/ml indicate possible amplification within the system, and concentrations greater than 100 CFU/ml indicates that amplification is occurring. Please note that these values are not based upon a quantitative risk assessment, but rather are recommendations for interpreting sample results based upon currently available guidance and knowledge. In addition, these levels may not be protective for elderly persons and immunocompromised individuals.

The findings show that conditions within the piping system and/or plumbing fixtures are not favorable to the growth of *Legionella* bacteria. In the location sampled for this survey, *Legionella* concentrations were found to be low from an amplification standpoint.

LEGIONELLA AIR SAMPLING

LEGIONELLA PLATE COUNTS

ATC utilized an SAS 180 Microbial Impaction Sampler to collect airborne *Legionella* samples on trypticase soy agar (TSA). TSA is a general purpose nutrient medium for a large variety of typical indoor bacteria. The SAS Sampler was designed to collect air and deposit entrained bacteria onto the surface of the agar. A total of four (4) indoor samples were collected in the center of the lounge and near the restroom area during the sampling events. Ambient control samples were also collected near the entrance to the lounge during the first sampling event. Control blanks were also submitted with the batch of samples during each sampling events. The samples were 84.9 liters each to provide consistent volume basis for comparison of results. The plates were cultured/incubated at room temperature for a minimum required 14 days and analyzed for Identification, Enumeration & Serotyping of *L. pneumophila* (1-14) & 10 other

individual Legionella species. The survey looked for overall reduction over the duration of the multiday survey. Table 4 shows the results for Legionella Detection by Air Sampling.

Table 4: Legionella Detection by Air Sample Results

Sample location	Sample No.	Sample type	Volume (L)	Results	Conc. (CFU/ml)
Lounge – Bar/Restaurant Area	S1	Pre-Installation	84.9	-	OVERGROWN**
	S1	Post-Installation	84.9	None Detected	ND
Lounge – Restroom Area	S2	Pre-Installation	84.9	None Detected	ND
	S2	Post-Installation	84.9	None Detected	ND
Control Blank	S3	Pre-Installation	84.9	None Detected	ND
	S3*	Post-Installation	84.9	None Detected	ND
Background Ambient	S4	Pre-Installation	84.9	None Detected	ND

Notes:

**Report Comment: Sample 1 was overgrown with non- Legionella bacteria. This inhibits the labs ability to report Non Detect for this sample.

L –liter

CFU/ml – colony forming units per milliliter

ND – not detected

The table above shows the data for Legionella Detection by Air Sample results before and after the UVC systems were installed in the Morton Williams Market Lounge Areas. When comparing the post-installation results to the baseline results, the airborne Legionella counts in the Morton Williams Market Lounge Areas generally decreased to undetectable levels when the UVC-280n system was activated for the samples in the Bar/Restaurant Area. For the other samples in the restroom area and background ambient, Legionella was found to be None-Detected for both pre-installation and post-installation of UVC-280n systems in both sampling events.

SARS-CoV-2 (COVID-19) SAMPLING

The Centers for Disease Control and Prevention (CDC) as a clinical agency does not provide specific guidelines on SARS-CoV-2 (COVID-19) environmental testing. The CDC 2019-Novel Coronavirus (2019-nCoV) Real-Time RT-PCR Diagnostic Panel is only for use under a Food and Drug Administration's Emergency Use Authorization.

SARS-CoV-2 (COVID-19) SURFACE SAMPLING

ATC collected surface swab samples for the SARS-CoV-2 virus before and after the UVC-280n systems were installed. These samples were analyzed using RT RealTime polymerase chain reaction (PCR). This test is designed to specifically detect the RNA-dependent RNA polymerase of the SARS-CoV-2 virus. ATC identified high-touch surfaces that were in the lounge area. Five (5) surface samples were collected using sterile swabs supplied by the analytical laboratory. A blank sample, sterile swab, was included for laboratory quality assurance purposes. The samples were shipped overnight and submitted under chain-of-custody (COC) to EMSL Analytical, Inc. (EMSL) in Cinnaminson, New Jersey.

The EMSL SARS-CoV-2 testing method is based on the CDC 2019-Novel Coronavirus (2019-nCoV) Real-Time RT-PCR Diagnostic Panel document dated March 30, 2020, and authorized for (vitro diagnostic test) IVD emergency use by the United States Food and Drug Administration (FDA). The CDC 2019-nCoV Real-Time RT-PCR Diagnostic Panel is a molecular in vitro diagnostic test that aids in the detection and diagnosis 2019-nCoV and is based on widely used nucleic acid amplification technology. The product contains oligonucleotide primers and dual-labeled hydrolysis probes (TaqMan®) and control material used in rRT-PCR for the in vitro qualitative detection of 2019-nCoV RNA in specimens. This method is widely used in hospitals and clinical diagnostic labs throughout the country. The CDC Validation Report is included in the Attachment C.

Table 5 below shows the results from the SARS-CoV-2 Virus (COVID-19) Swab Sampling.

Table 5: Rapid Detection of Surface SARS-CoV-2 Virus (COVID-19) Swab Sample Results

Sample location	Sample No.	Sample type	Sample Measure (in ²)	2019-nCoV_N1 RNA Target	2019-nCoV_N2 RNA Target
Bar Sink - Left Side Flat Surface Center	S1	Pre-Installation	1	Not Detected	Not Detected
	S1	Post-Installation	1	Not Detected	Not Detected

Sample location	Sample No.	Sample type	Sample Measure (in ²)	2019-nCoV_N1 RNA Target	2019-nCoV_N2 RNA Target
Bathroom Door - Right Bathroom Door Handle	S2	Pre-Installation	1	Not Detected	Not Detected
	S2	Post-Installation	1	Not Detected	Not Detected
Beer Tap - Brooklyn Lager Handle	S3	Pre-Installation	1	Not Detected	Not Detected
	S3	Post-Installation	1	Not Detected	Not Detected
Blank	S4	Pre-Installation	1	Not Detected	Not Detected
	S4	Post-Installation	1	Not Detected	Not Detected
Bar HVAC Return Vent	S5	Pre-Installation	1	Not Detected	Not Detected
	S5	Post-Installation	1	Not Detected	Not Detected

Notes:

* The detection limit is equal to 1 colony forming unit (CFU) per agar plate

L –liter

CFU/in² – colony forming units per square inch

ND – not detected

Based on the laboratory results, SARS-CoV-2 Virus (COVID-19) was not detected in either of the swab surface sampling events collected at the Site.

SARS-CoV-2 (COVID-19) Air SAMPLING

ATC collected air samples for the SARS-CoV-2 virus before and after the UVC-280n systems were installed. These samples were analyzed using RT RealTime polymerase chain reaction (PCR). This test is designed to specifically detect the RNA-dependent RNA polymerase of the SARS-CoV-2 virus. Two general indoor areas were tested during the sampling events. A total of four (4) indoor air samples were collected. Ambient control samples were also collected near the entrance to the lounge during the first sampling event. Control blanks were also submitted with the batch of samples during each sampling event. The samples were 1500 liters each to provide consistent volume basis for comparison of results. The survey looked for overall reduction over the duration of the multiday survey.

The samples were shipped overnight and submitted under chain-of-custody (COC) to EMSL Analytical, Inc. (EMSL) in Cinnaminson, New Jersey. Table 6 below shows the results from the SARS-CoV-2 Virus (COVID-19) Air Sampling.

Table 6: Rapid Detection of Airborne SARS-CoV-2 (COVID-19) Air Sample Results

Sample location	Sample No.	Sample type	Sample Volume (L)	2019-nCoV_N1 RNA Target	2019-nCoV_N2 RNA Target
Lounge – Bar/Restaurant Area	S1	Pre-Installation	1500	Not Detected	Not Detected
	S1	Post-Installation	1500	Not Detected	Not Detected
Lounge – Restroom Area	S2	Pre-Installation	1500	Not Detected	Not Detected
	S2	Post-Installation	1500	Not Detected	Not Detected
Blank	S3	Pre-Installation	-	Not Detected	Not Detected
	S3	Post-Installation	-	Not Detected	Not Detected

Based on the laboratory results, SARS-CoV-2 Virus (COVID-19) was not detected in either of the swab surface sampling events collected at the Site.

CONCLUSIONS

This report has been prepared to assist I-Luminosity, LLC, AET Group Global in evaluating the efficiency of the UVC-280n system based on analytical results from Culturable Bacteria, Legionella and COVID-19 (SARS-CoV-2) Samples collected from the Morton Williams Market Lounge Area located at 15 West End Avenue New York, NY, 10023. Base on the results data for the Culturable Bacteria, Legionella and COVID-19 (SARS-CoV-2) sampling events, ATC concludes the following:

- UVC radiation is a known disinfectant for air, water, and nonporous surfaces. UVC radiation has effectively been used for decades to reduce the spread of bacteria, such as tuberculosis. For this reason, UVC lamps are often called "germicidal" lamps.

- The airborne bacteria count for the post-installation sampling event generally decreased to undetectable levels when comparing it the baseline background levels after the UVC-280n systems were turned on.
- The surface bacteria count for the post-installation sampling event generally shows no direct correlation with regards to the effectiveness of the installation of the UVC-280n systems. This improvement may be better than shown because it may be masked by the variables and range of values between the sampling events. In addition, one of the UVC-280n lamps above the restaurant bar sink was non-operational at the time of the assessment on October 21, 2020. It was not known or reported when the lamp under the bar sink had turned off since the systems were commissioned on October 15, 2020.
- The airborne Legionella count for the post-installation sampling event generally decreased to undetectable levels when comparing it the baseline background levels after the UVC-280n systems were turned on.
- SARS-CoV-2 Virus (COVID-19) was not detected in either of the swab surface or air sampling events collected at the Site. Per the FDA, UVC radiation has been shown to destroy the outer protein coating of the SARS-Coronavirus, which is a different virus from the current SARS-CoV-2 virus. The destruction ultimately leads to inactivation of the virus. UVC radiation may also be effective in inactivating the SARS-CoV-2 virus, which is the virus that causes the Coronavirus Disease 2019 (COVID-19). However, currently there is limited published data about the wavelength, dose, and duration of UVC radiation required to inactivate the SARS-CoV-2 virus.
- It should be noted that in-situ trials suffer from many uncontrolled variables, such as unexpected customer and staff traffic, unexpected bacterial sources (sneezes/coughs), or external contributions. These confounding variables will continue to affect any in-situ evaluation. One such variable is occupant loading. The more people that pass through the area in any given period of time will contribute bacteria to the general areas. Persons with allergies or mild colds can skew the results. ATC also observed general customer and staff traffic into the restroom areas and behind the bar sink areas during the sampling periods.

RECOMMENDATIONS

- These UVC-280n systems appear generally effective to reduce microbiological flora in the areas tested.
- SARS-CoV-2 Virus (COVID-19) was not detected in either of the swab surface or air sampling events collected at the Site, therefore no recommendations can be made regarding the effectiveness of the UVC-280n systems to reduce SARS-CoV-2 Virus (COVID-19).
- Per FDA guidelines, UVC lamp manufacturers are responsible for compliance with all applicable regulatory requirements, including Title 21 Code of Federal Regulations (CFR) Parts 1000 through 1004, and section 1005.25 and, as applicable, 21 CFR Chapter I, Subchapter H. The radiological health regulations include reporting of Accidental Radiation

Occurrences, notification to the FDA and customers of radiation safety defects, and designation of a U.S. agent for imported lamps. When a UVC lamp is regulated only as an electronic product, there are currently no specific FDA performance standards that apply.

- ATC will return and do additional test air and surface for SARS-COVID-19 versus the UVC 280n devices in place.

LIMITATIONS

ATC provided these services consistent with the level and skill ordinarily exercised by members of the profession currently providing similar services under similar circumstances at the time the services were provided. This statement is in lieu of other statements either expressed or implied. This report is intended for the sole use of I-Luminosity, LLC, AET Group Global. The scope of services performed in execution of this evaluation may not be appropriate to satisfy the needs of other users, and use or re-use of this document, the findings, conclusions, or recommendations is at the risk of said user.

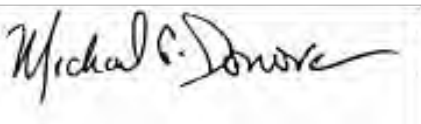
As with all such assessments, the results of the sampling represent conditions found on the date of the survey and may not represent conditions found at other times. Additionally, this assessment was limited with respect to the specific parameters indicated above and should not be construed to be a comprehensive evaluation or a definitive representation of conditions within the facility. The information presented in this report is intended to be used as a guide to evaluate the need for further investigation or the need for modifications to the processes or procedures surveyed.

The Client recognizes and agrees that all testing and remediation methods have reliability limitations, no method nor number of sampling locations can guarantee that a condition will be discovered within the performance of the services as authorized by the Client. Additionally, the passage of time may result in a change in the environmental characteristics at this site. This report does not warrant against future operations or conditions that could affect the recommendations made. The results, findings, conclusions, and recommendations expressed in this report are based only on conditions that were observed during ATC's inspection of the site.

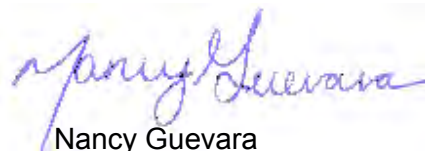
It is our pleasure to provide these professional environmental consultative services to you. Please contact us if you have any questions concerning this report or the findings.

Very truly yours,

ATC Group Services LLC



Michael Donovan, CIH



Nancy Guevara



Senior Project Manager

Project Manager

Attachment 1 – *Pre-Installation Sampling Event – October 15, 2020*

Attachment 2 – *Post-Installation Sampling Event – October 21, 2020*

Attachment 3 – Field Notes and Photographs

ATTACHMENT 1

PRE-INSTALLATION SAMPLING EVENT – OCTOBER 15, 2020

**EMSL Analytical, Inc.**

200 Route 130 North, Cinnaminson, NJ 08077

Phone/Fax: (800) 220-3675 / (856) 786-0262

<http://www.EMSL.com>cinmicrolab@emsl.com

EMSL Order: 372017189

CustomerID: ATCE51

CustomerPO:

ProjectID:

Attn: **Michael Donovan**
ATC Group Services LLC
104 E. 25th St.
10th Floor
New York, NY 10010

Phone: (212) 353-8280
 Fax: (212) 353-8306
 Received: 10/16/20 9:00 AM
 Analysis Date: 10/23/2020
 Collected: 10/15/2020

Project: **Morton Williams**

Test Report: Identification and Enumeration of Culturable Bacteria by Air (Five Most Prominent Types (EMSL Method MICRO-SOP-132))

Sample Description	Location	Volume (L)	Media	Incubation Temp (C)	Sensitivity (CFU/m ³)	Bacteria Identification	Colony Count	CFU/m ³
S1 372017189-0001	Restroom Area	84.9	TSA	35	12	<i>Dermaococcus nishinomiyaensis</i>	6	72
						<i>Gram negative rod</i>	1	12
						<i>Microbacterium sp.</i>	2	24
						<i>Micrococcus luteus</i>	1	12
						<i>Micrococcus lylae</i>	3	36
						Total	13	156
S2 372017189-0002	Bar/Restaurant	84.9	TSA	35	12	<i>Dermaococcus nishinomiyaensis</i>	1	12
						<i>Staphylococcus capitis</i>	1	12
						Total	2	24
S3 372017189-0003 Background	Ambient	84.9	TSA	35	12	<i>Bacillus megaterium</i>	1	12
						<i>Bacillus sp.</i>	3	36
						<i>Chryseobacterium indologenes</i>	4	48
						<i>Moraxella catarrhalis</i>	5	60
						<i>Sphingopyxis macrogoltabida</i>	8	96
						Total	21	252
S4 372017189-0004 Blank	Blank		TSA	35		None Detected		

Analyst(s)

Michael Ross (4)

Vincent Iuzzolino, M.S., Laboratory Director
 or other approved signatory

EMSL maintains liability limited to cost of analysis. Interpretation and use of test results are the responsibility of the client. This report relates only to the samples reported above, and may not be reproduced, except in full, without written approval by EMSL. EMSL bears no responsibility for sample collection activities or analytical method limitations. The report reflects the samples as received. Results are generated from the field sampling data (sampling volumes and areas, locations, etc.) provided by the client on the Chain of Custody. Samples are within quality control criteria and met method specifications unless otherwise noted.

Positive hole correction factors have not been applied to the reported data. The detection limit is equal to 1 colony forming unit (CFU) per agar plate.

Samples analyzed by EMSL Analytical, Inc. Cinnaminson, NJ AIHA-LAP, LLC--EMLAP Accredited #100194

Initial report from 10/23/2020 10:20:30



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EMSL Order Number (Lab Use Only):

EMSL ANALYTICAL, INC.
200 ROUTE 130 NORTH
CINNAMINSON, NJ 08077
PHONE: (856) 858-4800
FAX: (856) 858-0648

Company: <u>ATC Group Services</u>		EMSL-Bill to: <input checked="" type="checkbox"/> Same <input type="checkbox"/> Different If Bill to is Different please note in Comments**			
Street: <u>104 E. 25th St</u>		Third Party Billing requires written authorization from third party			
City: <u>N.Y.</u>	State/Province: <u>NY</u>	Zip/Postal Code:	Country:		
Report To (Name): <u>Michael Donovan</u>		Fax #:			
Telephone #: <u>michael.donovan@atcgs.com</u>		E-mail Address:			
Project Name/ Number: <u>Morton Williams</u>					
Please Provide Results: <input type="checkbox"/> Fax <input type="checkbox"/> E-mail		PO#	State Samples Taken:		
Turnaround Time (TAT) Options* - Please Check					
<input type="checkbox"/> 3 Hour	<input type="checkbox"/> 6 Hour	<input type="checkbox"/> 24 Hour	<input type="checkbox"/> 48 Hour <input type="checkbox"/> 72 Hour <input type="checkbox"/> 96 Hour <input checked="" type="checkbox"/> 1 Week <input type="checkbox"/> 2 Week		
*Analysis completed in accordance with EMSL's Terms and Conditions located in the Analytical Price Guide. TATs are subject to methodology requirements					
Non Culturable Air Samples (Spore Traps)					
<ul style="list-style-type: none"> M001 Air-O-Cell M049 BioSIS M030 Micro 5 	<ul style="list-style-type: none"> M173 Allegro M2 M003 Burkard M174 MoldSnap 	<ul style="list-style-type: none"> M004 Allergenco M043 Cyclex M176 Relle Smart 	<ul style="list-style-type: none"> M032 Allergenco-D M002 Cyclex-d M130 Via-Cell 		
Other Microbiology Test Codes					
<ul style="list-style-type: none"> M041 Fungal Direct Examination M005 Viable Fungi ID and Count M006 Viable Fungi ID and Count (Speciation) M007 Culturable Fungi M008 Culturable Fungi (Speciation) M009 Gram Stain Culturable Bacteria M010 Bacterial Count and ID - 3 Most Prominent M011 Bacterial Count and ID - 5 Most Prominent M013 Sewage Contamination in Buildings 	<ul style="list-style-type: none"> M014 Endotoxin Analysis M015 Heterotrophic Plate Count M180 Real Time Q-PCR-ERMI 36 Panel M018 Total Coliform (Membrane Filtration) M020 Fecal Streptococcus (Membrane Filtration) M210-215 Legionella Detection M026 Recreational Water Screen M027 Mycotoxin Analysis 	<ul style="list-style-type: none"> M029 Enterococci M019 Fecal Coliform M133 MRSA Analysis M028 Cryptococcus neoformans Detection M120 Histoplasma capsulatum Detection M033-39 Allergen Testing M044 Group Allergen (Cat, Dog, Cockroach, Dustmites) Other See Analytical Price Guide 			
Preservation Method (Water):					
Name of Sampler: <u>N. Guverara</u>		Signature of Sampler: <u>Nancy Guverara</u>			
Sample #	Sample Location	Sample Type	Test Code	Volume/Area	Date/Time Collected
S1	Restroom Area	Air	M011	84.9L	10/15/20 - 11:36 AM
S2	Bar/Restaurant	Air	M011	84.9L	10/15/20 - 12:06 PM
S3	Ambient	Air	M011	84.9L	10/15/20 - 12:16 PM
S4	Blank	#	M011	-	10/15/20 - 12:30 PM
2020 OCT 15 PM 4:00					
Client Sample # (s):		Total # of Samples: <u>4</u>			
Relinquished (Client): <u>[Signature]</u>		Date: <u>10/15/20</u>	Time: <u>3:40 PM</u>		
Received (Client): <u>[Signature]</u>		Date: <u>10/15/20</u>	Time: <u>4:07 PM</u>		
Comments: <u>Gen Bacteria. AM COURIER 10/15/20 9:30 PM 21.4 °C</u>					

**EMSL Analytical, Inc.**

200 Route 130 North, Cinnaminson, NJ 08077

Phone/Fax: (800) 220-3675 / (856) 786-0262

<http://www.EMSL.com>cinmicrolab@emsl.com

EMSL Order: 372017190

CustomerID: ATCE51

CustomerPO:

ProjectID:

Attn: **Michael Donovan**
ATC Group Services LLC
104 E. 25th St.
10th Floor
New York, NY 10010

Phone: (212) 353-8280
 Fax: (212) 353-8306
 Received: 10/16/20 9:00 AM
 Analysis Date: 10/22/2020
 Collected: 10/15/2020

Project: **Morton Williams**

Test Report: Identification and Enumeration of Culturable Bacteria by Swab (Five Most Prominent Types (EMSL Method MICRO-SOP-132))

Sample Description	Location	Media	Temp (C)	Sample Measure (in ²)	Analytical Sensitivity (CFU/in ²)	Dilution	Bacteria Identification	Colony Count	CFUs (CFU/in ²)
S1	Bar Sink - Left Side Flat Surface Center	TSAB	35	1	100	100	<i>Corynebacterium tuberculostearicum</i>	22	2,200
					100	100	<i>Pseudomonas putida</i>	1	100
					100	100	<i>Staphylococcus epidermidis</i>	42	4,200
					Total		65	6,500	
372017190-0001									
S2	Bathroom Door - Right Bathroom Door Handle	TSA	35	1	100	100	<i>Brevibacillus sp.</i>	1	100
					100	100	<i>Micrococcus luteus</i>	2	200
					Total		3	300	
372017190-0002									
S3	Beer Tap - Brooklyn Lager Handle	TSAB	35	1	100	100	None Detected		
372017190-0003									
S4	Blank	TSAB	35	1	100	100	None Detected		
372017190-0004									
Blank									
S5	Return Grill	TSAB	35	1	100	100	<i>Bacillus cereus</i>	1	100
					100	100	<i>Gram positive rod</i>	1	100
					100	100	<i>Microbacterium arborescens</i>	1	100
					Total		3	300	
372017190-0005									

Discernable blank was submitted with this group of samples.

Analyst(s)

Michael Ross (5)

Vincent Iuzzolino, M.S., Laboratory Director
 or other approved signatory

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The detection limit is equal to 1 colony forming unit (CFU) per agar plate.

Samples analyzed by EMSL Analytical, Inc. Cinnaminson, NJ AIHA-LAP, LLC--EMLAP Accredited #100194

Initial report from 10/23/2020 13:27:27



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CINNAMINSON, NJ 08077
PHONE: (856) 858-4800
FAX: (856) 858-0648

Company: <u>ATC Group Services LLC</u>		EMSL-Bill to: <input checked="" type="checkbox"/> Same <input type="checkbox"/> Different If Bill to is Different please note in Comments*			
Street: <u>104 E. 25th St</u>		Third Party Billing requires written authorization from third party			
City: <u>NY</u>	State/Province: <u>NY</u>	Zip/Postal Code:	Country:		
Report To (Name): <u>Michael Donovan</u>		Fax #:			
Telephone #: <u>michael.donovan@atcgs.com</u>		E-mail Address:			
Project Name/ Number: <u>Morton Williams</u>					
Please Provide Results: <input type="checkbox"/> Fax <input type="checkbox"/> E-mail		PO#	State Samples Taken:		
Turnaround Time (TAT) Options* - Please Check					
<input type="checkbox"/> 3 Hour	<input type="checkbox"/> 6 Hour	<input type="checkbox"/> 24 Hour	<input type="checkbox"/> 48 Hour <input type="checkbox"/> 72 Hour <input type="checkbox"/> 96 Hour <input checked="" type="checkbox"/> 1 Week <input type="checkbox"/> 2 Week		
*Analysis completed in accordance with EMSL's Terms and Conditions located in the Analytical Price Guide. TATs are subject to methodology requirements					
Non Culturable Air Samples (Spore Traps)					
<ul style="list-style-type: none"> M001 Air-O-Cell M049 BioSIS M030 Micro 5 	<ul style="list-style-type: none"> M173 Allegro M2 M003 Burkard M174 MoldSnap 	<ul style="list-style-type: none"> M004 Allergenco M043 Cyclex M176 Relle Smart 	<ul style="list-style-type: none"> M032 Allergenco-D M002 Cyclex-d M130 Via-Cell 		
Other Microbiology Test Codes					
<ul style="list-style-type: none"> M041 Fungal Direct Examination M005 Viable Fungi ID and Count M006 Viable Fungi ID and Count (Speciation) M007 Culturable Fungi M008 Culturable Fungi (Speciation) M009 Gram Stain Culturable Bacteria M010 Bacterial Count and ID - 3 Most Prominent M011 Bacterial Count and ID - 5 Most Prominent M013 Sewage Contamination in Buildings 	<ul style="list-style-type: none"> M014 Endotoxin Analysis M015 Heterotrophic Plate Count M180 Real Time Q-PCR-ERMI 36 Panel M018 Total Coliform (Membrane Filtration) M020 Fecal Streptococcus (Membrane Filtration) M210-215 Legionella Detection M026 Recreational Water Screen M027 Mycotoxin Analysis 	<ul style="list-style-type: none"> M029 Enterococci M019 Fecal Coliform M133 MRSA Analysis M028 Cryptococcus neoformans Detection M120 Histoplasma capsulatum Detection M033-39 Allergen Testing M044 Group Allergen (Cat, Dog, Cockroach, Dustmites) Other See Analytical Price Guide 			
Preservation Method (Water):					
Name of Sampler: <u>N. Guevara</u>		Signature of Sampler: <u>Nancy Guevara</u>			
Sample #	Sample Location	Sample Type	Test Code	Volume/Area	Date/Time Collected
S1	Bar Sink - <u>left side</u>	Swab	M011	1n ²	10/15/20 - 13:50
S2	Bathroom door - <u>right side</u>	↓	↓	1n ²	10/15/20 - 13:55
S3	Beer tap - <u>Brooklyn Lager</u>	↓	↓	1n ²	10/15/20 - 14:00
S4	Blank	↓	↓	1n ²	10/15/20 - 14:05
S5	Return Grill	↓	↓	1n ²	10/15/20 - 14:56
Client Sample # (s):					
Relinquished (Client): <u>[Signature]</u>		Date: <u>10/15/20</u>		Time: <u>3:40 PM</u>	
Received (Client): <u>[Signature]</u>		Date: <u>10/15</u>		Time: <u>4:02 PM</u>	
Comments: <u>Gen Bacteria</u> <u>Arr courier 10/15/20 9:30 pm</u>					

**EMSL Analytical, Inc.**

307 W 38th St. New York, NY 10018

Client: ATC Group Services LLC

104 E. 25th St. 10th Floor New York, NY 10010

Attn: Michael Donovan**Project:** NY**EMSL Reference:** 032019102**Date & Time Collected:** 10/15/2020 12:45:00 PM - 13:06PM**Date & Time Received:** 10/15/20 4:12 PM**Date & Time Prepped:** 10/15/20 5:23 PM**Date & Time Analyzed:** 10/26/20 8:35 AM**Date Reported:** 10/29/2020**Legionella Detection - ID, Enumeration & Serotyping of *L. pneumophila* (1-14) & 10 other individual *Legionella* species
by ISO 11731:2017 Culture Method (EMSL Method MICRO-SOP-105)**

Lab Sample Number	Client Sample ID / Sample Location	Sample Type	Volume Submitted (L)	Volume Plated (L)	Method Processed	Identification*	Volume Examined (L)	Final Results (CFU/L)
032019102-0001	Sample #1 S1 - Bar/ Restaurant	Air	84.9	84.9	Direct		84.90	OVERGROWN**
032019102-0002	Sample #2 S2 - Restaurant	Air	84.9	84.9	Direct	None Detected	84.90	ND
032019102-0003	Sample #3 Blank	Air	84.9	84.9	Direct	None Detected	84.90	ND
032019102-0004	Sample #4 S4 - Ambient	Air	84.9	84.9	Direct	None Detected	84.90	ND

**Report Comment: Sample 1 was overgrown with non- Legionella bacteria. This inhibits the labs ability to report Non Detect for this sample.

* Legionella confirmations are carried out using direct fluorescent antibody stains for *Legionella* species: *L. anisa*, *L. bozemanii*, *L. dumoffii*, *L. gormanii*, *L. jordanis*, *L. longbeachae*, *L. maceachernii*, *L. micdadei*, *L. saintelensis*, *L. feeleyi* and each *Legionella pneumophila* serogroup (1-14). *Legionella* sp. (not *L. pneumophila*) have tested negative by DFA for the 11 species listed above but positive with a stain for 15 *Legionella* species species and their respective serotypes. The limit of detection (LOD) is the lowest reportable CFU count and is dependent on the sample volume processed and the dilutions used in the testing. For 1 L water volumes processed the LOD is 0.05 CFU/mL (1000 mL, Concentrated Untreated and Heat-Treated), 0.1 CFU/mL (1000 mL, Concentrated Acid-treated), 20 CFU/mL (1 mL, Acid Treated), and 10 CFU/mL (1 mL, Direct Plating). The LOD for swab samples is 100 CFU/swab (Acid Treated) or 50 CFU/swab (Direct Plating). ND= None Detected. Volumes processed may be lower than volumes submitted if sample is turbid, high non-Legionella bacterial counts are found during primary isolation, or suspected of containing high non-Legionella counts such as from a swab, bulk or non-potable water source.

Aaron PatakMicrobiology Lab Director
Or Other Approved Signatory* Samples high in non-*Legionella* bacteria may obscure the detection of *Legionella*.NYS ELAP # 11506- NYS ELAP certification is applicable to the enumeration of *Legionella* spp. (NYS ELAP certification does not apply to *Legionella* species identification)

EMSL maintains liability limited to cost of analysis. This report relates only to the samples reported above and may not be reproduced, except in full, without written approval by EMSL. EMSL bears no responsibility for sample collection activities or analytical method limitations. Interpretation and use of test results are the responsibility of the client. Samples received in good condition unless otherwise noted.

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Legionella Chain of Custody

EMSL Order Number (Lab Use Only):

032019102

EMSL ANALYTICAL, INC.
200 ROUTE 130 NORTH
CINNAMINSON, NJ 08077PHONE: (800) 220-3675
FAX: (856) 786-0262

Company Name: ATC Group Services

Street: 104 E. 25th St.

City: N.Y. **State/Province:** NY **Zip/Postal Code:** **Country:**

Report To (Name): Michael Donovan **Telephone #:**

Email Address: michael.donovan@atcg.com **Fax #:** **Purchase Order:**

Project Name/Number: **Please Provide Results:** ☐ Fax ☐ Email

U.S. State Samples Taken: NY **Connecticut Samples:** ☐ Commercial ☐ Residential

*Analysis completed in accordance with EMSL's Terms and Conditions located in the Analytical Price Guide. TATs are subject to methodology requirements

Sterile, Sodium Thiosulfate Preserved Bottle Used: ☒ **Biocide Used in Source (specify):** ☐

Bottles Chilled on Frozen Ice Packs: ☐

Public Water Supply Samples: ☐ **Note:** All results may automatically be reported to DOH if required by state.

Legionella Analysis (Analysis Done Using ISO Methods)

M341 (not for use in NY state): *Legionella pneumophila* confirmation and enumeration. Individual serotyping not included. Other species reported as *Legionella* sp.

M342: *Legionella pneumophila* confirmation and enumeration of individual serotypes 1-14. Other species reported as *Legionella* sp.

M343: *Legionella* Species confirmation and individual enumeration of 11 *Legionella* species (*L. anisa*, *L. bozmannii*, *L. dumoffii*, *L. feeleyi*, *L. gormanii*, *L. jordanis*, *L. longbeachae*, *L. maceachernii*, *L. micdadei*, *L. pneumophila*, and *L. sainthelensis*) and their individual serotypes. Other species can be requested for an additional charge.

M344: ISO filter direct for water expected to have low bacterial concentrations (bottled water, water for dialysis, distilled water). 11 *Legionella* species (above) and their respective serotypes, identified and enumerated individually. Other species reported as *Legionella* sp.

M345: Identification and enumeration of *L. pneumophila* serotype 1, *L. pneumophila* serotypes 2-14, and *Legionella* sp.

M214: Pure Culture Preparation and Storage

M215: Pure Culture Preparation and Shipping

Heterotrophic Plate Count (HPC) Analysis: **M015:** Heterotrophic Plate Count (HPC) Standard Method 9215
☐ Plate count agar, 35°C, 48 hour incubation ☐ R2A agar, 25°C, 5-7 day incubation

Name of Sampler: N. Guevara **Signature of Sampler:** [Signature]

Sample #	Sample Location	Sample Type	Potable/NonPotable	Test Code	Volume/Area	Date/Time Collected	Temperature (Lab Use Only)
Example A1	Kitchen	Water	<input checked="" type="checkbox"/> P <input type="checkbox"/> NP	M343	1000 mL	9/1/13 4:00 PM	
S1	Bar/Restaurant	Air	<input type="checkbox"/> P <input type="checkbox"/> NP	M343	84.9	10/15/20 - 12:45 - 12:48 PM	
S2	Restroom	Air	<input type="checkbox"/> P <input type="checkbox"/> NP	M343	84.9	10/15/20 - 13:03 - 13:06	
S3	Blank	Air	<input type="checkbox"/> P <input type="checkbox"/> NP	M343	84.9	10/15/20 - 12:50 PM	
S4	Ambient	Air	<input type="checkbox"/> P <input type="checkbox"/> NP	M343	84.9	10/15/20 - 12:54 - 12:57 PM	
			<input type="checkbox"/> P <input type="checkbox"/> NP				
			<input type="checkbox"/> P <input type="checkbox"/> NP				
			<input type="checkbox"/> P <input type="checkbox"/> NP				
			<input type="checkbox"/> P <input type="checkbox"/> NP				

Client Sample # (s): - **Total # of Samples:** 4

Relinquished (Client): [Signature] **Date:** 10/15/20 **Time:** 3:40 PM

Received (Lab): [Signature] **Date:** 10/15/20 **Time:** 4:12 PM

Legionella

Page 1 of 1 pages



EMSL Analytical, Inc.

307 West 38th Street New York, NY 10018
 Tel/Fax: (212) 290-0051 / (212) 290-0058
<http://www.EMSL.com / manhattanlab@emsl.com>

EMSL Order: 032019122

Customer ID: ATCE51

Customer PO:

Project ID:

Attention: ATC Group Services LLC
 104 E. 25th St.
 10th Floor
 New York, NY 10010

Phone: (212) 353-8280

Fax: (212) 353-8306

Collected Date: 10/15/2020 02:20 PM - 10/15/2020 02:48 PM

Received Date: 10/15/2020 04:12 PM

Processed Date: 10/16/2020 11:40 AM

Analyzed Date: 10/27/2020 12:36 PM

Project: MORTON WILLIAMS/ NY

Legionella Detection - ID, Enumeration, & Serotyping of *L. pneumophila* plus 10 other *Legionella* species by ISO 11731:2017 Culture Method (EMSL Test Code M343 & Method MICRO-SOP-105)

Client Sample ID/Sample Location Lab Sample Number	Sample Type	Volume Submitted (mL)	Volume Filtered (mL)	Method Processed	Identification	Volume Examined (mL)	Limit of Detection (CFU/mL)	Final Results (CFU/mL)
S1 - BAR SINK WATER - 1ST FLUSH 032019122-0001	Potable	1000	1000	Concentrated (Heat)	<i>Legionella pneumophila</i> (sero 1)	20	0.05	0.15
S1 - BAR SINK WATER - 2ND FLUSH 032019122-0002	Potable	1000	1000	Concentrated (Heat)	<i>Legionella pneumophila</i> (sero 1)	20	0.05	0.05

Aaron Patak, Microbiology Laboratory Director
 or other Approved Signatory

Legionella identification is carried out using individual direct fluorescent antibodies (DFA) for 11 *Legionella* species and their serotypes: *L. anisa*, *L. bozemanii* (sero 1 & 2), *L. dumoffii*, *L. gormanii*, *L. jordanis*, *L. longbeachae* (sero 1 & 2), *L. maceachernii*, *L. micdadei*, *L. sainthelensi*, *L. feeleii* (sero 1 & 2) and each *Legionella pneumophila* serogroup (1-14). *Legionella* spp. (not *L. pneumophila*) have tested negative by DFA for the 11 species listed above but positive with a stain for 15 *Legionella* species. *Legionella* spp. have tested negative by DFA but are positive for growth on selective media. ND = None Detected/Below LOD. The limit of detection (LOD) is the lowest reportable CFU/mL count and is dependent on the sample volume processed and the dilutions used during testing. Volume processed may be lower than volume submitted if sample is turbid or contains components that restrict concentration of the sample. Volume examined is the calculated amount of the original sample that is plated for culture analysis. High bacterial counts (*Legionella* or otherwise) may require dilution of the sample that will lower the Volume Examined and raise the Limit of Detection.

Samples high in non-*Legionella* bacteria may obscure the detection of *Legionella*.

EMSL maintains liability limited to cost of analysis. Interpretation and use of test results are the responsibility of the client. This report relates only to the samples reported above and may not be reproduced, except in full, without written approval by EMSL. EMSL bears no responsibility for sample collection activities or analytical method limitations. The report reflects the samples as received. Results are generated from the field sampling data (sampling volumes and areas, locations, etc.) provided by the client on the Chain of Custody. Samples are within quality control criteria and met method specifications unless otherwise noted.

NYS ELAP # 11506 - NYS ELAP certification is applicable to the enumeration of *Legionella* spp. (NYS ELAP certification does not apply to *Legionella* species identification); CDC ELITE certified; AIHA-LAP, LLC--EMLAP Lab 102581

Initial report from: 10/28/2020 04:18 PM

Printed 10/28/2020 04:19 PM

MIC_CDCISO_0017

Page 1 of 1

EMSL ANALYTICAL, INC.
LABORATORY • PRODUCTS • TRAINING

Legionella Chain of Custody

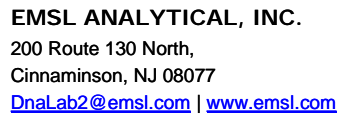
EMSL Order Number (Lab Use Only):

032 019122

EMSL ANALYTICAL, INC.
200 ROUTE 130 NORTH
CINNAMINSON, NJ 08077PHONE: (800) 220-3675
FAX: (856) 786-0262

Company Name: <u>ATC Group Services</u>		EMSL-Bill to: <input checked="" type="checkbox"/> Same <input type="checkbox"/> Different If Bill to is Different note instructions in Comments**					
Street: <u>104 E. 25th St</u>		Third Party Billing requires written authorization from third party					
City: <u>NY</u>	State/Province: <u>NY</u>	Zip/Postal Code:				Country:	
Report To (Name): <u>Michael Donovan</u>		Telephone #:				Purchase Order:	
Email Address: <u>michael.donovan@atcg.com</u>		Fax #:					
Project Name/Number: <u>Morton Williams</u>		Please Provide Results: <input type="checkbox"/> Fax <input type="checkbox"/> Email					
U.S. State Samples Taken: <u>NY</u>		Connecticut Samples: <input type="checkbox"/> Commercial <input type="checkbox"/> Residential					
*Analysis completed in accordance with EMSL's Terms and Conditions located in the Analytical Price Guide. TATs are subject to methodology requirements							
Sterile, Sodium Thiosulfate Preserved Bottle Used: <input checked="" type="checkbox"/> Biocide Used in Source (specify): <input type="checkbox"/>							
Bottles Chilled on Frozen Ice Packs: <input type="checkbox"/>							
Public Water Supply Samples: <input type="checkbox"/> Note: All results may automatically be reported to DOH if required by state.							
Legionella Analysis (Analysis Done Using ISO Methods)							
M341 (not for use in NY state):		Legionella pneumophila confirmation and enumeration. Individual serotyping not included. Other species reported as Legionella sp.					
M342:		Legionella pneumophila confirmation and enumeration of individual serotypes 1-14. Other species reported as Legionella sp.					
M343:		Legionella Species confirmation and individual enumeration of 11 Legionella species (L. anisa, L. bozmannii, L. dumoffii, L. feeleii, L. gormanii, L. jordanis, L. longbeachae, L. maceachernii, L. micdadei, L. pneumophila, and L. sainthelensi) and their individual serotypes. Other species can be requested for an additional charge.					
M344:		ISO filter direct for water expected to have low bacterial concentrations (bottled water, water for dialysis, distilled water). 11 Legionella species (above) and their respective serotypes, identified and enumerated individually. Other species reported as Legionella sp.					
M345:		Identification and enumeration of L. pneumophila serotype 1, L. pneumophila serotypes 2-14, and Legionella sp.					
M214:		Pure Culture Preparation and Storage					
M215:		Pure Culture Preparation and Shipping					
Heterotrophic Plate Count (HPC) Analysis: M015: Heterotrophic Plate Count (HPC) Standard Method 9215							
<input type="checkbox"/> Plate count agar, 35°C, 48 hour incubation				<input type="checkbox"/> R2A agar, 25°C, 5-7 day incubation			
Name of Sampler: <u>N. Guevara</u>				Signature of Sampler: <u>[Signature]</u>			
Sample #	Sample Location	Sample Type	Potable/NonPotable	Test Code	Volume/Area	Date/Time Collected	Temperature (Lab Use Only)
Example A1	Kitchen	Water	<input checked="" type="checkbox"/> P <input type="checkbox"/> NP	M343	1000 mL	9/1/13 4:00 PM	
S1	Bar sink water	Water	<input checked="" type="checkbox"/> P <input type="checkbox"/> NP	M343	1000 mL	10/15/20 10/15/20 PM	19.1
S1	Bar sink water 2nd flush	Water	<input checked="" type="checkbox"/> P <input type="checkbox"/> NP	M343	1000 mL	10/15/20 - 11:48 PM	19.7
			<input type="checkbox"/> P <input type="checkbox"/> NP				
			<input type="checkbox"/> P <input type="checkbox"/> NP				
			<input type="checkbox"/> P <input type="checkbox"/> NP				
			<input type="checkbox"/> P <input type="checkbox"/> NP				
			<input type="checkbox"/> P <input type="checkbox"/> NP				
			<input type="checkbox"/> P <input type="checkbox"/> NP				
Client Sample # (s):		Total # of Samples: <u>①</u>					
Relinquished (Client): <u>[Signature]</u>		Date: <u>10/15/20</u>		Time: <u>3:50 PM</u>			
Received (Lab): <u>[Signature]</u>		Date: <u>10/15</u>		Time: <u>4:12 PM</u>			

EMSL ANALYTICAL LAB
RECEIVED
2020 OCT 15 PM 4:12



Attention: Michael Donovan
ATC Group Services, LLC
104 E 25th Street, 10th Floor
New York, NY 10010

Phone: (212) 353-8280
Email:

Date Received: 10/16/2020
Date Analyzed: 10/19/2020
Date Reported: 10/23/2020
Date Amended:

EMSL Test Code: M330

EMSL maintains liability limited to cost of analysis. Interpretation and use of test results are the responsibility of the client. This report relates only to the samples reported above, and may not be reproduced, except in full, without written approval by EMSL. EMSL bears no responsibility for sample collection activities or analytical method limitations. The report reflects the samples as received. When the information supplied by the customer can affect the validity of the results, it will be noted on the report.

Sergey Balashov, Ph.D.
PCR Laboratory Director



EMSL ANALYTICAL, INC.
LABORATORY • PRODUCTS • TRAINING

SARS-CoV-2 (COVID-19)

Chain of Custody

EMSL Order Number

(Lab Use Only):

EMSL ANALYTICAL, INC.
200 ROUTE 130 NORTH
CINNAMINSON, NJ 08077

Phone: (800) 220-3675

Fax: (856) 786-0262

16/2001975

RECEIVED
EMSL
CINNAMINSON, N.

20 OCT 16 RH 12:50

Customer ID:		EMSL-Bill To: <input checked="" type="checkbox"/> Same <input type="checkbox"/> Different <small>If Bill to is Different note instructions in Comments**</small>				
Company Name:		Third Party Billing requires written authorization from third party				
Street:						
City:	State/Province:	Zip/Postal Code:	Country:			
Report To (Name):		Telephone #:				
Email Address:		Fax #:	Purchase Order:			
Project Name/Number:		Please Provide Results: <input type="checkbox"/> Fax <input type="checkbox"/> Email				
U.S. State Samples Taken:		Connecticut Samples: <input type="checkbox"/> Commercial <input type="checkbox"/> Residential				
Turnaround Time (TAT) Options - Select 1:		<input type="checkbox"/> 1 Day <input type="checkbox"/> 2 Day <input type="checkbox"/> 3 Day <input type="checkbox"/> 4 Day <input checked="" type="checkbox"/> 1 Week				
Matrix Being Submitted:		<input checked="" type="checkbox"/> Cassette <input type="checkbox"/> Swab <input type="checkbox"/> Other				
Acknowledgment Required: By signing below, Sampler acknowledges the samples submitted with this Chain of Custody document have been collected for the purposes of environmental sampling only and are not human-derived samples (human-derived samples are not accepted). Sampler confirms the outer surfaces of the sample transport containers and all zipped bags have been wiped with alcohol, or EPA approved disinfectants, prior to shipment to EMSL.						
Sampler Name:		Date:				
Sampler Signature:						
Sample #	Sample Location	Matrix	Test Code	Area Sampled (Swab) Air Volume (Cassette)	Date/Time Collected	Temperature (Lab Use Only)
<small>Example:</small> S1	Light Switch / Office 1	Swab	M330	25 cm ²	9/1/2020 4:00 PM	
S1	Bar/Restaurant	Air	M330	1500 L	10/15/20- 11:16 AM	-B:46 pm
S2	Restroom Area	Air.	M330	1500 L	10/15/20- 11:20 am	13:50 pm
S3	Blank	-	M330	O	10/15/20-	2:00pm
			M330			
			M330			
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			M330			
			M330			
Client Sample # (s):		Total # of Samples:				
Relinquished (Client):		Date:	Time:			
Received (Lab):		Date:	Time:			

RECEIVED
EMSL
CHAMBERSBURG, NJ
20 OCT 15 PM 9:44

RECEIVED
EMSL MANHATTAN LAB
2020 OCT 15 PM 1:10

SHIP SAMPLES TO:

EMSL Analytical, Inc.

****TEST CODE M330 - PCR LAB ONLY****

200 Route 130 North

Cinnaminson, NJ, 08077

GM COURIER 10/15/20 9:30 pm.

Page 1 of 1 pages

2

Attention: Michael Donovan
ATC Group Services, LLC
104 E 25th Street, 10th Floor
New York, NY 10010

Phone: (212) 353-8280
Email:

Customer PO:
LIMS Project ID:
Project ID: Morton Williams

Date Received: 10/16/2020
Date Analyzed: 10/19/2020
Date Reported: 10/23/2020
Date Amended:

Test Report: Rapid Detection of SARS-CoV-2 (COVID-19) by Quantitative RT-PCR

EMSL Test Code: M330

[illegible]

EMSL maintains liability limited to cost of analysis. Interpretation and use of test results are the responsibility of the client. This report relates only to the samples reported above, and may not be reproduced, except in full, without written approval by EMSL. EMSL bears no responsibility for sample collection activities or analytical method limitations. The report reflects the samples as received. When the information supplied by the customer can affect the validity of the results, it will be noted on the report.

[Signature]

Sergey Balashov, Ph.D.
PCR Laboratory Director



EMSL ANALYTICAL, INC.
LABORATORY • PRODUCTS • TRAINING

SARS-CoV-2 (COVID-19)

Chain of Custody

EMSL Order Number

(Lab Use Only):

EMSL ANALYTICAL, INC.
200 ROUTE 130 NORTH
CINNAMINSON, NJ 08077

Phone: (800) 220-3675

Fax: (856) 786-0262

612001976

20 OCT 16 PM 12:50

Customer ID:		EMSL-Bill to: <input checked="" type="checkbox"/> Same <input type="checkbox"/> Different If Bill to is Different note instructions in Comments**																																																																																																																																										
Company Name:		Third Party Billing requires written authorization from third party																																																																																																																																										
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City:	State/Province:	Zip/Postal Code:				Country:																																																																																																																																						
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Sampler Name		Sampler Signature			Date																																																																																																																																							
<table border="1"><thead><tr><th>Sample #</th><th>Sample Location</th><th>Matrix</th><th>Test Code</th><th>Area Sampled (Swab) Air Volume (Cassette)</th><th>Date/Time Collected</th><th>Temperature (Lab Use Only)</th></tr></thead><tbody><tr><td>Example: S1</td><td>Light Switch / Office 1</td><td>Swab</td><td>M330</td><td>25 cm²</td><td>9/1/2020 4:00 PM</td><td></td></tr><tr><td>S1</td><td>Bar Sink - left side - flat surface - center</td><td>swab</td><td>M330</td><td>25cm²</td><td>10/15/20 - 13:50</td><td></td></tr><tr><td>S2</td><td>Bathroom sink - Right side - handle</td><td>↓</td><td>M330</td><td>25cm²</td><td>10/15/20 - 13:55</td><td></td></tr><tr><td>S3</td><td>Beer tap - Brooklyn Lager machine</td><td>↓</td><td>M330</td><td>25cm²</td><td>10/15/20 14:00</td><td></td></tr><tr><td>S4</td><td>Blank</td><td>↓</td><td>M330</td><td>-</td><td>10/15/20 - 14:05</td><td></td></tr><tr><td>S5</td><td>Bar HVAC Return Grill</td><td>↓</td><td>M330</td><td>25cm²</td><td>10/15/20 14:45</td><td></td></tr><tr><td></td><td></td><td></td><td>M330</td><td></td><td></td><td></td></tr><tr><td></td><td></td><td></td><td>M330</td><td></td><td></td><td></td></tr><tr><td></td><td></td><td></td><td>M330</td><td></td><td></td><td></td></tr><tr><td></td><td></td><td></td><td>M330</td><td></td><td></td><td></td></tr><tr><td></td><td></td><td></td><td>M330</td><td></td><td></td><td></td></tr><tr><td></td><td></td><td></td><td>M330</td><td></td><td></td><td></td></tr><tr><td></td><td></td><td></td><td>M330</td><td></td><td></td><td></td></tr><tr><td></td><td></td><td></td><td>M330</td><td></td><td></td><td></td></tr><tr><td></td><td></td><td></td><td>M330</td><td></td><td></td><td></td></tr><tr><td></td><td></td><td></td><td>M330</td><td></td><td></td><td></td></tr><tr><td></td><td></td><td></td><td>M330</td><td></td><td></td><td></td></tr><tr><td></td><td></td><td></td><td>M330</td><td></td><td></td><td></td></tr></tbody></table>								Sample #	Sample Location	Matrix	Test Code	Area Sampled (Swab) Air Volume (Cassette)	Date/Time Collected	Temperature (Lab Use Only)	Example: S1	Light Switch / Office 1	Swab	M330	25 cm ²	9/1/2020 4:00 PM		S1	Bar Sink - left side - flat surface - center	swab	M330	25cm ²	10/15/20 - 13:50		S2	Bathroom sink - Right side - handle	↓	M330	25cm ²	10/15/20 - 13:55		S3	Beer tap - Brooklyn Lager machine	↓	M330	25cm ²	10/15/20 14:00		S4	Blank	↓	M330	-	10/15/20 - 14:05		S5	Bar HVAC Return Grill	↓	M330	25cm ²	10/15/20 14:45					M330							M330							M330							M330							M330							M330							M330							M330							M330							M330							M330							M330			
Sample #	Sample Location	Matrix	Test Code	Area Sampled (Swab) Air Volume (Cassette)	Date/Time Collected	Temperature (Lab Use Only)																																																																																																																																						
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Received (Lab):			Date:		Time:																																																																																																																																							

SHIP SAMPLES TO:

EMSL Analytical, Inc.

****TEST CODE M330 - PCR LAB ONLY****

200 Route 130 North

Cinnaminson, NJ, 08077

Page 1 of 1 pages

ATTACHMENT 2

POST-INSTALLATION SAMPLING EVENT – OCTOBER 21, 2020

**EMSL Analytical, Inc.**

200 Route 130 North, Cinnaminson, NJ 08077

Phone/Fax: (800) 220-3675 / (856) 786-0262

<http://www.EMSL.com>cinmicrolab@emsl.com

EMSL Order: 372017561

CustomerID: ATCE51

CustomerPO:

ProjectID:

Attn: **Michael Donovan**
ATC Group Services LLC
104 E. 25th St.
10th Floor
New York, NY 10010

Phone: (212) 353-8280
Fax: (212) 353-8306
Received: 10/22/20 9:00 AM
Analysis Date: 10/28/2020
Collected: 10/21/2020

Project: **Morton Williams West End**

Test Report: Identification and Enumeration of Culturable Bacteria by Air (Five Most Prominent Types (EMSL Method MICRO-SOP-132))

Sample Description	Location	Volume (L)	Media	Incubation Temp (C)	Sensitivity (CFU/m ³)	Bacteria Identification	Colony Count	CFU/m ³
S1 372017561-0001	Bar/Restaurant Area	84.9	TSA	35	12	None Detected		
S2 372017561-0002	Restroom Area	84.9	TSA	35	12	None Detected		
S3 372017561-0003 Blank	Blank		TSA	35		None Detected		

Analyst(s)

Michael Spears (3)

Vincent Iuzzolino, M.S., Laboratory Director
or other approved signatory

EMSL maintains liability limited to cost of analysis. Interpretation and use of test results are the responsibility of the client. This report relates only to the samples reported above, and may not be reproduced, except in full, without written approval by EMSL. EMSL bears no responsibility for sample collection activities or analytical method limitations. The report reflects the samples as received. Results are generated from the field sampling data (sampling volumes and areas, locations, etc.) provided by the client on the Chain of Custody. Samples are within quality control criteria and met method specifications unless otherwise noted.

Positive hole correction factors have not been applied to the reported data. The detection limit is equal to 1 colony forming unit (CFU) per agar plate.

Samples analyzed by EMSL Analytical, Inc. Cinnaminson, NJ AIHA-LAP, LLC--EMLAP Accredited #100194

Initial report from 10/28/2020 13:14:35



EMSL ANALYTICAL, INC.
LABORATORY • PRODUCTS • TRAINING

EMSL Order Number (Lab Use Only):

EMSL ANALYTICAL, INC.
200 ROUTE 130 NORTH
CINNAMINSON, NJ 08077
PHONE: (856) 858-4800
FAX: (856) 858-0648

20 OCT 21 PM 8:07

Company : ATC Group Services LLC		EMSL-Bill to: <input checked="" type="checkbox"/> Same <input type="checkbox"/> Different If Bill to is Different please note in Comments**			
Street: 104 E. 25th St		Third Party Billing requires written authorization from third party			
City: NY	State/Province: NY	Zip/Postal Code: 10010	Country: USA		
Report To (Name): Michael Donovan		Fax #:			
Telephone #: 212 353 8280		E-mail Address: michael.donovan@atcgs.com			
Project Name/ Number: Morton Williams West End					
Please Provide Results: <input type="checkbox"/> Fax <input checked="" type="checkbox"/> E-mail		PO#	State Samples Taken: NY		
Turnaround Time (TAT) Options* - Please Check					
<input type="checkbox"/> 3 Hour <input type="checkbox"/> 6 Hour <input type="checkbox"/> 24 Hour <input type="checkbox"/> 48 Hour <input type="checkbox"/> 72 Hour <input type="checkbox"/> 96 Hour <input checked="" type="checkbox"/> 1 Week <input type="checkbox"/> 2 Week					
*Analysis completed in accordance with EMSL's Terms and Conditions located in the Analytical Price Guide. TATs are subject to methodology requirements					
Non Culturable Air Samples (Spore Traps)					
<ul style="list-style-type: none">• M001 Air-O-Cell• M049 BioSIS• M030 Micro 5• M173 Allegro M2• M003 Burkard• M174 MoldSnap• M004 Allergenco• M043 Cyclex• M176 Relle Smart• M032 Allergenco-D• M002 Cyclex-d• M130 Via-Cell• M172 Versa Trap					
Other Microbiology Test Codes					
<ul style="list-style-type: none">• M041 Fungal Direct Examination• M005 Viable Fungi ID and Count• M006 Viable Fungi ID and Count (Speciation)• M007 Culturable Fungi• M008 Culturable Fungi (Speciation)• M009 Gram Stain Culturable Bacteria• M010 Bacterial Count and ID – 3 Most Prominent• M011 Bacterial Count and ID – 5 Most Prominent• M013 Sewage Contamination in Buildings• M014 Endotoxin Analysis• M015 Heterotrophic Plate Count• M180 Real Time Q-PCR-ERMI 36 Panel• M018 Total Coliform (Membrane Filtration)• M020 Fecal Streptococcus (Membrane Filtration)• M210-215 Legionella Detection• M026 Recreational Water Screen• M027 Mycotoxin Analysis• M029 Enterococci• M019 Fecal Coliform• M133 MRSA Analysis• M028 Cryptococcus neoformans Detection• M120 Histoplasma capsulatum Detection• M033-39 Allergen Testing• M044 Group Allergen (Cat, Dog, Cockroach, Dustmites)• Other See Analytical Price Guide					
Preservation Method (Water):					
Name of Sampler:		Signature of Sampler:			
Sample #	Sample Location	Sample Type	Test Code	Volume/Area	Date/Time Collected
S1	Bar/Restaurant Area	Air	M011	84.9 L	10/21/2020 - 11:48 AM
S2	Rest Room Area	Air	M011	84.9 L	10/21/2020 - 12:10 PM
S3	Blank	-	M011	84.9 L	10/21/2020 - 12:11 PM
Client Sample # (s):	-		Total # of Samples:		
Relinquished (Client): [Signature]	Date:	10/21/2020	Time:	1:30 PM	
Received (Client): [Signature]	Date:	10/21/2020	Time:	2:10 PM	
Comments: Controlled Document – Microbiology COC – R2 – 1/12/2010					

**EMSL Analytical, Inc.**

200 Route 130 North, Cinnaminson, NJ 08077

Phone/Fax: (800) 220-3675 / (856) 786-0262

<http://www.EMSL.com>cinmicrolab@emsl.com

EMSL Order: 372017560

CustomerID: ATCE51

CustomerPO:

ProjectID:

Attn: **Michael Donovan**
ATC Group Services LLC
104 E. 25th St.
10th Floor
New York, NY 10010

Phone: (212) 353-8280
 Fax: (212) 353-8306
 Received: 10/22/20 9:00 AM
 Analysis Date: 10/28/2020
 Collected: 10/21/2020

Project: **Morton Williams - West End**

Test Report: Identification and Enumeration of Culturable Bacteria by Swab (Five Most Prominent Types (EMSL Method MICRO-SOP-132))

Sample Description	Location	Media	Temp (C)	Sample Measure (in ²)	Analytical Sensitivity (CFU/in ²)	Dilution	Bacteria Identification	Colony Count	CFUs (CFU/in ²)
S1	Bar Sink - Left Side Flat Surface On Center	TSAB	35	1	1000	1000	<i>Microbacterium</i> sp.	27	27,000
					1000	1000	<i>Staphylococcus capitis</i>	3	3,000
							Total	30	30,000
372017560-0001									
S2	Bathroom Door Right - Door Handle	TSA	35	1	100	100	<i>Staphylococcus pasteurii</i>	3	300
							Total	3	300
372017560-0002									
S3	Beer Tap - Brooklyn Lager Handle	TSAB	35	1	100	100	<i>Microbacterium</i> sp.	7	700
							Total	7	700
372017560-0003									
S4	Blank	TSA	35		100	100	None Detected		
372017560-0004									
Blank									
S5	Bar HVAC Return Grill	TSAB	35	1	100	100	<i>Kocuria rhizophila</i>	1	100
					100	100	<i>Microbacterium</i> sp.	11	1,100
							Total	12	1,200
372017560-0005									

Discernable blank was submitted with this group of samples.

Analyst(s)

Michael Spears (5)

Vincent Iuzzolino, M.S., Laboratory Director
 or other approved signatory

EMSL maintains liability limited to cost of analysis. Interpretation and use of test results are the responsibility of the client. This report relates only to the samples reported above, and may not be reproduced, except in full, without written approval by EMSL. EMSL bears no responsibility for sample collection activities or analytical method limitations. The report reflects the samples as received. Results are generated from the field sampling data (sampling volumes and areas, locations, etc.) provided by the client on the Chain of Custody. Samples are within quality control criteria and met method specifications unless otherwise noted.

The detection limit is equal to 1 colony forming unit (CFU) per agar plate.

Samples analyzed by EMSL Analytical, Inc. Cinnaminson, NJ AIHA-LAP, LLC--EMLAP Accredited #100194

Initial report from 10/28/2020 18:24:22

EMSL ANALYTICAL, INC.
LABORATORY • PRODUCTS • TRAINING

372017560

EMSL Order Number (Lab Use Only):

EMSL MANHATTAN LAB
RECEIVEDEMSL ANALYTICAL, INC.
200 ROUTE 130 NORTH
CINNAMINSON, NJ 08077
PHONE: (856) 858-4800
FAX: (856) 858-0648

20 OCT 21 PM 2:09

Company: ATC Group Services LLC		EMSL-Bill to: <input type="checkbox"/> Same <input type="checkbox"/> Different If Bill to is Different please note in Comments**			
Street: 104 E. 25th St		Third Party Billing requires written authorization from third party			
City: NY	State/Province: NY	Zip/Postal Code: 10010	Country: USA		
Report To (Name): Michael Donovan		Fax #:			
Telephone #: 212 353 8280		E-mail Address: michael.donovana@atcgs.com			
Project Name/ Number: Morton Williams - West End					
Please Provide Results: <input type="checkbox"/> Fax <input checked="" type="checkbox"/> E-mail		PO#	State Samples Taken: NY		
Turnaround Time (TAT) Options* - Please Check					
<input type="checkbox"/> 3 Hour	<input type="checkbox"/> 6 Hour	<input type="checkbox"/> 24 Hour	<input type="checkbox"/> 48 Hour <input type="checkbox"/> 72 Hour <input type="checkbox"/> 96 Hour <input checked="" type="checkbox"/> 1 Week <input type="checkbox"/> 2 Week		
*Analysis completed in accordance with EMSL's Terms and Conditions located in the Analytical Price Guide. TATs are subject to methodology requirements					
Non Culturable Air Samples (Spore Traps)					
<ul style="list-style-type: none"> M001 Air-O-Cell M049 BioSIS M030 Micro 5 	<ul style="list-style-type: none"> M173 Allegro M2 M003 Burkard M174 MoldSnap 	<ul style="list-style-type: none"> M004 Allergenco M043 Cyclex M176 Relle Smart 	<ul style="list-style-type: none"> M032 Allergenco-D M002 Cyclex-d M130 Via-Cell 		
Other Microbiology Test Codes					
<ul style="list-style-type: none"> M041 Fungal Direct Examination M005 Viable Fungi ID and Count M006 Viable Fungi ID and Count (Speciation) M007 Culturable Fungi M008 Culturable Fungi (Speciation) M009 Gram Stain Culturable Bacteria M010 Bacterial Count and ID - 3 Most Prominent M011 Bacterial Count and ID - 5 Most Prominent M013 Sewage Contamination in Buildings 	<ul style="list-style-type: none"> M014 Endotoxin Analysis M015 Heterotrophic Plate Count M180 Real Time Q-PCR-ERMI 36 Panel M018 Total Coliform (Membrane Filtration) M020 Fecal Streptococcus (Membrane Filtration) M210-215 Legionella Detection M026 Recreational Water Screen M027 Mycotoxin Analysis 	<ul style="list-style-type: none"> M029 Enterococci M019 Fecal Coliform M133 MRSA Analysis M028 Cryptococcus neoformans Detection M120 Histoplasma capsulatum Detection M033-39 Allergen Testing M044 Group Allergen (Cat, Dog, Cockroach, Dustmites) Other See Analytical Price Guide 			
Preservation Method (Water):					
Name of Sampler: N. Guevara		Signature of Sampler: [Signature]			
Sample #	Sample Location	Sample Type	Test Code	Volume/Area	Date/Time Collected
51	Bar Sink - left side flat surface in center	Swab	M011	1 in ²	10/21/2020 - 10:30 AM
52	Bathroom door right - door handle	Swab	M011	1 in ²	10/21/2020 - 10:35 AM
53	Beer tap - Brooklyn Lager handle	Swab	M011	1 in ²	10/21/2020 - 10:40 AM
54	Blank	Swab	M011	1 in ²	10/21/2020 - 10:45 AM
55	Bar HVAC Return Grill	Swab	M011	1 in ²	10/21/2020 - 11:00 AM
Client Sample # (s):		Total # of Samples: 5			
Relinquished (Client): [Signature]		Date: 10/21/2020	Time: 1:30 PM		
Received (Client): [Signature]		Date: 10/21/2020	Time: 2:09 PM		
Comments:					

**EMSL Analytical, Inc.**307 West 38th Street
New York, NY 10018**Client:** ATC Group Services, LLC
104 E. 25th St.10th FloorNew York, NY 10010**Attn:** Michael Donovan**Project:** MORTON WILLIAMS WEST END NY**EMSL Reference:** 32019544**Date & Time Collected:** 10/21/20 12:00-12:06pm**Date & Time Received:** 10/21/20 2:07pm**Date & Time Prepped:** 10/21/20 3:00 PM**Date & Time Analyzed:** 10/31/20 10:45 AM**Date Reported:** 11/2/2020***Legionella* Detection - ID, Enumeration & Serotyping of *L. pneumophila* (1-14) & 10 other individual *Legionella* species
by ISO 11731:2017 Culture Method (EMSL Method MICRO-SOP-105)**

Lab Sample Number	Client Sample ID / Sample Location	Sample Type	Volume Submitted (L)	Volume Plated (L)	Method Processed	Identification*	Volume Examined (L)	Final Results (CFU/L)
032019544-0001	Sample #1 S1 - Bar/ Restaurant	Air	84.9	84.9	Direct	None Detected	84.90	ND
032019544-0002	Sample #2 S2 - Bathroom Area	Air	84.9	84.9	Direct	None Detected	84.90	ND
032019544-0003	Sample #3 Blank	Air	84.9	84.9	Direct	None Detected	84.90	ND

* *Legionella* confirmations are carried out using direct fluorescent antibody stains for *Legionella* species: *L. anisa*, *L. bozemanii*, *L. dumoffii*, *L. gormanii*, *L. jordanis*, *L. longbeachae*, *L. maceachernii*, *L. micdadei*, *L. saintelensi*, *L. feeleei* and each *Legionella pneumophila* serogroup (1-14). *Legionella* sp. (not *L. pneumophila*) have tested negative by DFA for the 11 species listed above but positive with a stain for 15 *Legionella* species species and their respective serotypes. The limit of detection (LOD) is the lowest reportable CFU count and is dependent on the sample volume processed and the dilutions used in the testing. For 1 L water volumes processed the LOD is 0.05 CFU/mL (1000 mL, Concentrated Untreated and Heat-Treated), 0.1 CFU/mL (1000 mL, Concentrated Acid-treated), 20 CFU/mL (1 mL, Acid Treated), and 10 CFU/mL (1 mL, Direct Plating). The LOD for swab samples is 100 CFU/swab (Acid Treated) or 50 CFU/swab (Direct Plating). ND= None Detected. Volumes processed may be lower than volumes submitted if sample is turbid, high non-*Legionella* bacterial counts are found during primary isolation, or suspected of containing high non-*Legionella* counts such as from a swab, bulk or non-potable water source.

Aaron PatakMicrobiology Laboratory Director
Or Other Approved Signatory* Samples high in non-*Legionella* bacteria may obscure the detection of *Legionella*.NYS ELAP # 10872 - NYS ELAP certification is applicable to the enumeration of *Legionella* spp. (NYS ELAP certification does not apply to *Legionella* species identification)

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EMSL ANALYTICAL, INC.
LABORATORY • PRODUCTS • TRAINING

Legionella Chain of Custody

EMSL Order Number (Lab Use Only):

EMSL ANALYTICAL, INC.
200 ROUTE 130 NORTH
CINNAMINSON, NJ 08077PHONE: (800) 220-3675
FAX: (856) 786-0262

032019544

Company Name: ATC Group Services LLC

Street: 104 E. 25th St

City: NY **State/Province:** NY **Zip/Postal Code:** 10010 **Country:** USA

Report To (Name): Michael Donovan **Telephone #:**

Email Address: michael.donovan@atcs.com **Fax #:**

Purchase Order:

Project Name/Number: Morton Williams - 851 Strend

U.S. State Samples Taken: Connecticut Samples: ☐ Commercial ☐ Residential

EMSL-Bill to: ☒ Same ☐ Different
If Bill to is Different note instructions in Comments**

Third Party Billing requires written authorization from third party

Please Provide Results: ☐ Fax ☒ Email

Sterile, Sodium Thiosulfate Preserved Bottle Used: ☐ **Biocide Used in Source (specify):** ☐

Bottles Chilled on Frozen Ice Packs: ☐

Public Water Supply Samples: ☐ **Note:** All results may automatically be reported to DOH if required by state.

Legionella Analysis (Analysis Done Using ISO Methods)

M341 (not for use in NY state): Legionella pneumophila confirmation and enumeration. Individual serotyping not included. Other species reported as Legionella sp.

M342: Legionella pneumophila confirmation and enumeration of individual serotypes 1-14. Other species reported as Legionella sp.

M343: Legionella Species confirmation and individual enumeration of 11 Legionella species (L. anisa, L. bozmannii, L. dumoffii, L. feeleeii, L. gormanii, L. jordanis, L. longbeachae, L. maceachernii, L. micdadei, L. pneumophila, and L. sainthelensi) and their individual serotypes. Other species can be requested for an additional charge.

M344: ISO filter direct for water expected to have low bacterial concentrations (bottled water, water for dialysis, distilled water). 11 Legionella species (above) and their respective serotypes, identified and enumerated individually. Other species reported as Legionella sp.

M345: Identification and enumeration of L. pneumophila serotype 1, L. pneumophila serotypes 2-14, and Legionella sp.

M214: Pure Culture Preparation and Storage

M215: Pure Culture Preparation and Shipping

Heterotrophic Plate Count (HPC) Analysis: M015: Heterotrophic Plate Count (HPC) Standard Method 9215
☐ Plate count agar, 35°C, 48 hour incubation ☐ R2A agar, 25°C, 5-7 day incubation

Name of Sampler: N. Guevara **Signature of Sampler:** [Signature]

Sample #	Sample Location	Sample Type	Potable/NonPotable	Test Code	Volume/Area	Date/Time Collected	Temperature (Lab Use Only)
Example A1	Kitchen	Water	<input checked="" type="checkbox"/> P <input type="checkbox"/> NP	M343	1000 mL	9/1/13 4:00 PM	
S1	Bar/ Restaurant Area	Air	<input type="checkbox"/> P <input type="checkbox"/> NP	M343	84.9L	10/21/2020	12:00pm
S2	Restroom Area	Air	<input type="checkbox"/> P <input type="checkbox"/> NP	M343	84.9L	10/21/2020	12:05pm
S3	Blank	Air	<input type="checkbox"/> P <input type="checkbox"/> NP	M343	84.9L	10/20/2020	12:06pm
			<input type="checkbox"/> P <input type="checkbox"/> NP				
			<input type="checkbox"/> P <input type="checkbox"/> NP				
			<input type="checkbox"/> P <input type="checkbox"/> NP				
			<input type="checkbox"/> P <input type="checkbox"/> NP				
			<input type="checkbox"/> P <input type="checkbox"/> NP				
			<input type="checkbox"/> P <input type="checkbox"/> NP				

Client Sample # (s): - **Total # of Samples:**

Relinquished (Client): [Signature] **Date:** 10/21/2020 **Time:** 1:30pm

Received (Lab): [Signature] **Date:** 10/21/2020 **Time:** 2:07pm

Ramari, Leah

From: EMSL Lab - Manhattan
Sent: Wednesday, October 21, 2020 3:01 PM
To: Michael Donovan
Cc: EMSL Lab - Manhattan; Dalchand, Julisa
Subject: EMSL receipt confirmation, COC for order(s) 032019544 (032019544 - MORTON WILLIAMS WEST END NY)
Attachments: 032019544_coc.pdf; 032019544_conf.pdf

Hi

The standard turnaround time for Legionella is 2 weeks and if you have any questions reply to all.

Leah

Receipt confirmation, COC for order(s):
032019544 - MORTON WILLIAMS WEST END NY

Please tell us how we are doing.

[Click here to fill out our Customer Survey](#)



Leah Ramari | Administrative Supervisor

EMSL Analytical, Inc. | 307 West 38th Street | New York, NY 10018

Phone: 212-290-0051 | Fax: 212-290-0058 | Toll Free: 866-448-3675

Lab Hours: 24 Hours 7 Days a week

Some of the resources EMSL Analytical, Inc. offers to our clients:

[LABConnect](#) | [Order Products](#) | [Client Corner](#) | [Training](#) | [Additional Resources](#) | [Sampling Videos](#)

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Attention: Michael Donovan
ATC Group Services, LLC
104 E 25th Street, 10th Floor
New York, NY 10010

Customer PO:
LIMS Project ID:
Project ID: Morton Williams - West End

Phone: (212) 353-8280
Email:

Date Received: 10/22/2020
Date Analyzed: 10/23/2020
Date Reported: 10/29/2020
Date Amended:

EMSL Test Code: M330

[illegible]

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ter

Sergey Balashov, Ph.D.
PCR Laboratory Director

Fax: (856) 786-0262

612002039

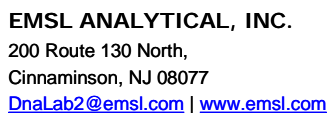
Customer ID:		EMSL-Bill to: <input checked="" type="checkbox"/> Same <input type="checkbox"/> Different <small>If Bill to is Different note instructions in Comments**</small>	
Company Name: ATC Group Services LLC		<i>Third Party Billing requires written authorization from third party</i>	
Street: 104 E. 25 th St			
City: NY	State/Province: NY	Zip/Postal Code:	Country:
Report To (Name): Michael Donovan		Telephone #:	
Email Address: michael.donovan@atcgs.com		Fax #:	Purchase Order:
Project Name/Number: Morton Williams - West End		Please Provide Results: <input type="checkbox"/> Fax <input checked="" type="checkbox"/> Email	
U.S. State Samples Taken: NY		Connecticut Samples: <input type="checkbox"/> Commercial <input type="checkbox"/> Residential	
Turnaround Time (TAT) Options - Select 1: <input type="checkbox"/> 1 Day <input type="checkbox"/> 2 Day <input type="checkbox"/> 3 Day <input type="checkbox"/> 4 Day <input checked="" type="checkbox"/> 1 Week			
Matrix Being Submitted: <input checked="" type="checkbox"/> Cassette <input type="checkbox"/> Swab <input type="checkbox"/> Other			
<small>Acknowledgment Required: By signing below, Sampler acknowledges the samples submitted with this Chain of Custody document have been collected for the purposes of environmental sampling only and are not human-derived samples (human-derived samples are not accepted). Sampler confirms the outer surfaces of the sample transport containers and all zipped bags have been wiped with alcohol, or EPA approved disinfectants, prior to shipment to EMSL.</small>			
Sampler Name: Nancy Turner		Sampler Signature: [Signature] Date: 10/21/2020	

Sample #	Sample Location	Matrix	Test Code	Area Sampled (Swab) Air Volume (Cassette)	Date/Time Collected	Temperature (Lab Use Only)
<small>Example:</small> S1	Light Switch / Office 1	Swab	M330	25 cm²	9/1/2020 4:00 PM	
S1	Bar / Restaurant	Air	M330	1500 L	10/21/2020 10:15	12:45 pm
S2	Restroom Area	Air	M330	1500 L	10/21/2020 10:18	12:48 pm
S3	Blank	-	M330	-	10/21/2020	1:00pm
			M330			
			M330			
			M330			
			M330			
			M330			
			M330			
			M330			
			M330			
			M330			
			M330			
			M330			
			M330			
			M330			
			M330			

Client Sample # (s): -	Total # of Samples:
Relinquished (Client): [Signature]	Date: 10/21/2020 Time: 1:30 pm
Received (Lab): [Signature]	Date: 10/21/2020 Time: 2:07 pm

Page 1 of 1 pages

EMSL Analytical, Inc.'s (DBA: LA Testing) Laboratory Terms and Conditions are incorporated into this chain of custody by reference in their entirety. Submission of samples to EMSL Analytical Inc. constitutes acceptance and acknowledgment of the terms and conditions of the Laboratory Agreement. Page 1 Of 1



EMSL ORDER ID: 612002036
EMSL CUSTOMER: ATCF51

Attention: Michael Donovan
ATC Group Services, LLC
104 E 25th Street, 10th Floor
New York, NY 10010

Phone: (212) 353-8280
Email:

Customer PO:
LIMS Project ID:
Project ID: Morton Williams West End

Date Received: 10/22/2020
Date Analyzed: 10/22/2020
Date Reported: 10/29/2020
Date Amended:

EMSL Test Code: M330

[illegible]

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Sergey Balashov, Ph.D.
PCR Laboratory Director



EMSL ANALYTICAL, INC.
LABORATORY • PRODUCTS • TRAINING

SARS-CoV-2 (COVID-19)

Chain of Custody

EMSL Order Number

(Lab Use Only):

EMSL ANALYTICAL, INC.
200 ROUTE 130 NORTH
CINNAMINSON, NJ 08077

Phone: (800) 220-3675
Fax: (856) 786-0262

612002036

20 OCT 22 AM 10:14

RECEIVED
EMSL
CINNAMINSON, NJ

RECEIVED
EMSL
CINNAMINSON, NJ

Customer ID:		EMSL-Bill to: <input checked="" type="checkbox"/> Same <input type="checkbox"/> Different If Bill to is Different note instructions in Comments	
Company Name: <u>ATC Group Services LLC</u>		Third Party Billing requires written authorization from third party	
Street: <u>104 E. 25th St.</u>			
City: <u>NY</u>	State/Province: <u>NY</u>	Zip/Postal Code: <u>10010</u>	Country: <u>USA</u>
Report To (Name): <u>Michael Donovan</u>		Telephone #:	
Email Address: <u>michaeldonovan@atcgsc.com</u>		Fax #:	Purchase Order:
Project Name/Number: <u>Morton Williams West</u>		Please Provide Results: <input type="checkbox"/> Fax <input type="checkbox"/> Email	
U.S. State Samples Taken: <u>NY</u>		Connecticut Samples: <input type="checkbox"/> Commercial <input type="checkbox"/> Residential	
Turnaround Time (TAT) Options - Select 1: <input type="checkbox"/> 1 Day <input type="checkbox"/> 2 Day <input type="checkbox"/> 3 Day <input type="checkbox"/> 4 Day <input checked="" type="checkbox"/> 1 Week			
Matrix Being Submitted: <input type="checkbox"/> Cassette <input checked="" type="checkbox"/> Swab <input type="checkbox"/> Other			

Acknowledgment Required: By signing below, Sampler acknowledges the samples submitted with this Chain of Custody document have been collected for the purposes of environmental sampling only and are not human-derived samples (human-derived samples are not accepted). Sampler confirms the outer surfaces of the sample transport containers and all zipped bags have been wiped with alcohol, or EPA approved disinfectants, prior to shipment to EMSL.

Sampler Name: N. Guevara Sampler Signature: [Signature] Date: 10/21/2020

Sample #	Sample Location	Matrix	Test Code	Area Sampled (Swab) Air Volume (Cassette)	Date/Time Collected	Temperature (Lab Use Only)
Example: S1	Light Switch / Office 1	Swab	M330	25 cm ²	9/1/2020 4:00 PM	
S1	Bar Sink - left side flat surface	Swab	M330	25 cm ²	10/21/2020 10:30 AM	
S2	Bathroom door - right - door handle	↓	M330	25 cm ²	10/21/2020 10:35 AM	
S3	Beer tap - Brooklyn Lager handle	↓	M330	25 cm ²	10/21/2020 10:40 AM	
S4	Blank	↓	M330	-	10/21/2020 10:50 AM	
S5	bar HVAC Return Grill	↓	M330	25 cm ²	10/21/2020 11:00 AM	
			M330			
			M330			
			M330			
			M330			
			M330			
			M330			
			M330			
			M330			
			M330			
			M330			

Client Sample # (s):	-	Total # of Samples:	5
Relinquished (Client): <u>[Signature]</u>	Date: <u>10/21/2020</u>	Time: <u>1:30 PM</u>	
Received (Lab): <u>[Signature]</u>	Date: <u>10/21/2020</u>	Time: <u>2:00 PM</u>	

SHIP SAMPLES TO:

EMSL Analytical, Inc.

TEST CODE M330 - PCR LAB ONLY

200 Route 130 North

Cinnaminson, NJ, 08077

Page 1 of 1 pages

DRY COURIER 10/21/20 8 pm

5 pm

ATTACHMENT 3
FIELD NOTES AND PHOTOGRAPHS



Photo 1: General view of the Morton Williams Market Lounge Area.



Photo 2: General view of the Morton Williams Market Bar Area.



Photo 3: View of the beer taps where surface samples were collected.



Photo 4: View of the bar sink area where surface samples were collected.



Photo 5: Representative view of the UVC lamps installed along the perimeter walls of the Lounge Area.



Photo 6: Representative view of the UVC lamps installed along the perimeter walls of the Lounge Area.



Photo 7: View of the UVC lamp installed under the bar counter space of the Lounge Area.



Photo 8: View of the UVC lamps installed along the walls in front of the restroom.

ATTACHMENT 4
CDC AND AIHA REFERENCE DOCUMENTS

**CDC 2019-Novel Coronavirus (2019-nCoV)
Real-Time RT-PCR Diagnostic Panel**

For Emergency Use Only

Instructions for Use

**Catalog # 2019-nCoV EUA-01
1000 reactions**

For *In-vitro* Diagnostic (IVD) Use

Rx Only

Centers for Disease Control and Prevention
Division of Viral Diseases
1600 Clifton Rd NE
Atlanta GA 30329



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Intended Use

The CDC 2019-Novel Coronavirus (2019-nCoV) Real-Time RT-PCR Diagnostic Panel is a real-time RT-PCR test intended for the qualitative detection of nucleic acid from the 2019-nCoV in upper and lower respiratory specimens (such as nasopharyngeal or oropharyngeal swabs, sputum, lower respiratory tract aspirates, bronchoalveolar lavage, and nasopharyngeal wash/aspirate or nasal aspirate) collected from individuals who meet 2019-nCoV clinical and/or epidemiological criteria (for example, clinical signs and symptoms associated with 2019-nCoV infection, contact with a probable or confirmed 2019-nCoV case, history of travel to geographic locations where 2019-nCoV cases were detected, or other epidemiologic links for which 2019-nCoV testing may be indicated as part of a public health investigation). Testing in the United States is limited to laboratories certified under the Clinical Laboratory Improvement Amendments of 1988 (CLIA), 42 U.S.C. § 263a, to perform high complexity tests.

Results are for the identification of 2019-nCoV RNA. The 2019-nCoV RNA is generally detectable in upper and lower respiratory specimens during infection. Positive results are indicative of active infection with 2019-nCoV but do not rule out bacterial infection or co-infection with other viruses. The agent detected may not be the definite cause of disease. Laboratories within the United States and its territories are required to report all positive results to the appropriate public health authorities.

Negative results do not preclude 2019-nCoV infection and should not be used as the sole basis for treatment or other patient management decisions. Negative results must be combined with clinical observations, patient history, and epidemiological information.

Testing with the CDC 2019-nCoV Real-Time RT-PCR Diagnostic Panel is intended for use by trained laboratory personnel who are proficient in performing real-time RT-PCR assays. The CDC 2019-Novel Coronavirus (2019-nCoV) Real-Time RT-PCR Diagnostic Panel is only for use under a Food and Drug Administration's Emergency Use Authorization.

Summary and Explanation

An outbreak of pneumonia of unknown etiology in Wuhan City, Hubei Province, China was initially reported to WHO on December 31, 2019. Chinese authorities identified a novel coronavirus (2019-nCoV), which has resulted in thousands of confirmed human infections in multiple provinces throughout China and many countries including the United States. Cases of asymptomatic infection, mild illness, severe illness, and some deaths have been reported.

The CDC 2019-nCoV Real-Time RT-PCR Diagnostic Panel is a molecular *in vitro* diagnostic test that aids in the detection and diagnosis 2019-nCoV and is based on widely used nucleic acid amplification technology. The product contains oligonucleotide primers and dual-labeled hydrolysis probes (TaqMan®) and control material used in rRT-PCR for the *in vitro* qualitative detection of 2019-nCoV RNA in respiratory specimens.

The term "qualified laboratories" refers to laboratories in which all users, analysts, and any person reporting results from use of this device should be trained to perform and interpret the results from this procedure by a competent instructor prior to use.

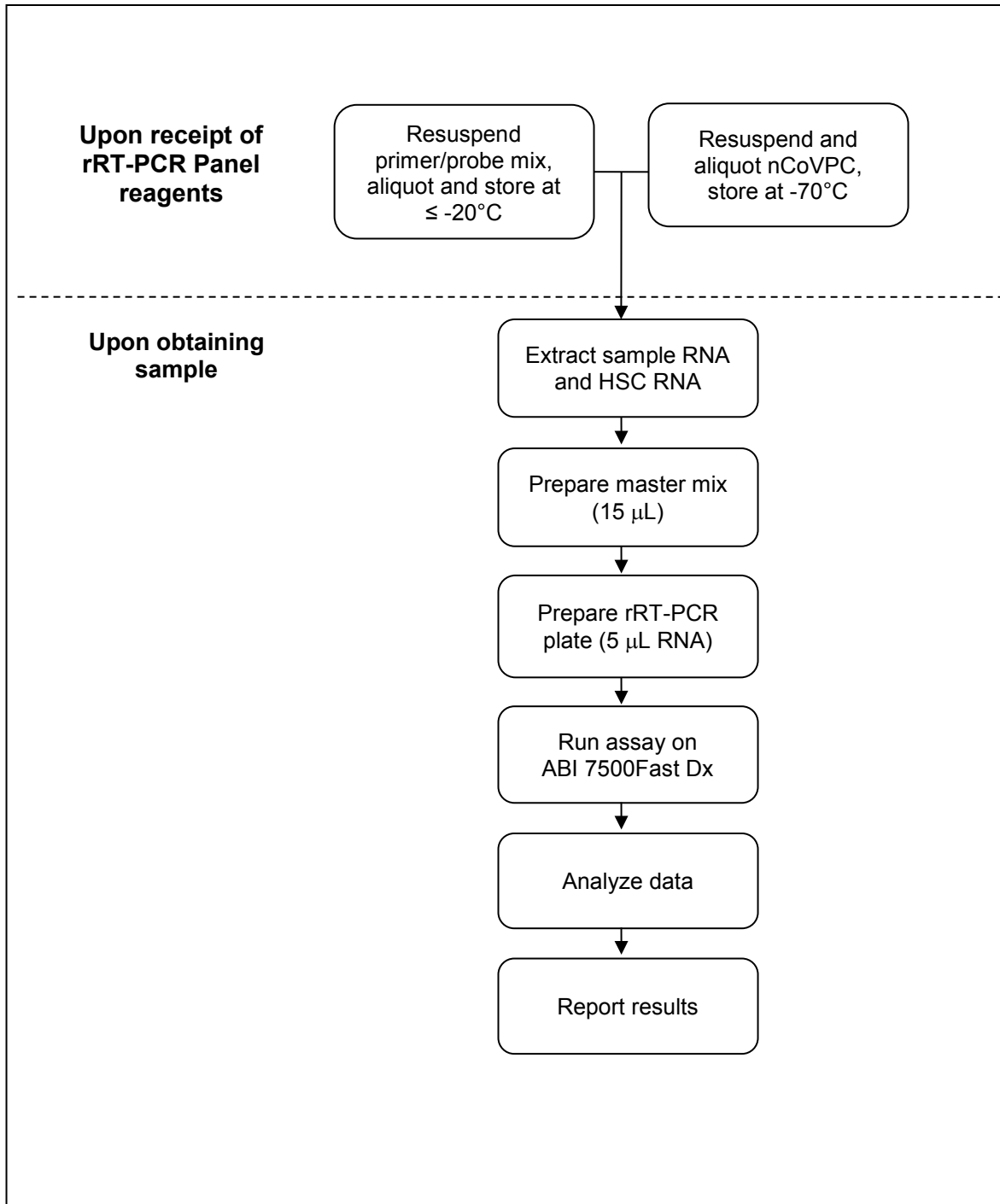
Principles of the Procedure

The oligonucleotide primers and probes for detection of 2019-nCoV were selected from regions of the virus nucleocapsid (N) gene. The panel is designed for specific detection of the 2019-nCoV (two primer/probe sets). An additional primer/probe set to detect the human RNase P gene (RP) in control samples and clinical specimens is also included in the panel.

RNA isolated and purified from upper and lower respiratory specimens is reverse transcribed to cDNA and subsequently amplified in the Applied Biosystems 7500 Fast Dx Real-Time PCR Instrument with SDS version 1.4 software. In the process, the probe anneals to a specific target sequence located between the forward and reverse primers. During the extension phase of the PCR cycle, the 5' nuclease activity of Taq polymerase degrades the probe, causing the reporter dye to separate from the quencher dye, generating a fluorescent signal. With each cycle, additional reporter dye molecules are cleaved from their respective probes, increasing the fluorescence intensity. Fluorescence intensity is monitored at each PCR cycle by Applied Biosystems 7500 Fast Dx Real-Time PCR System with SDS version 1.4 software.

Detection of viral RNA not only aids in the diagnosis of illness but also provides epidemiological and surveillance information.

Summary of Preparation and Testing Process



Materials Required (Provided)

Note: CDC will maintain on its website a list of commercially available lots of primer and probe sets and/or positive control materials that are acceptable alternatives to the CDC primer and probe set and/or positive control included in the Diagnostic Panel. Only material distributed through the CDC International Reagent Resource and specific lots of material posted to the CDC website are acceptable for use with this assay under CDC's Emergency Use Authorization.

This list of acceptable alternative lots of primer and probe materials and/or positive control materials will be available at:

<https://www.cdc.gov/coronavirus/2019-nCoV/lab/index.html>

Primers and Probes:

Catalog #2019-nCoV EUA-01 Diagnostic Panel Box #1:

<i>Reagent Label</i>	<i>Part #</i>	<i>Description</i>	<i>Quantity / Tube</i>	<i>Reactions / Tube</i>
2019-nCoV_N1	RV202001 RV202015	2019-nCoV_N1 Combined Primer/Probe Mix	22.5 nmol	1000
2019-nCoV_N2	RV202002 RV202016	2019-nCoV_N2 Combined Primer/Probe Mix	22.5 nmol	1000
RP	RV202004 RV202018	Human RNase P Forward Primer/Probe Mix	22.5 nmol	1000

Positive Control (either of the following products are acceptable)

Catalog #2019-nCoV EUA-01 Diagnostic Panel Box #2:

<i>Reagent Label</i>	<i>Part #</i>	<i>Description</i>	<i>Quantity</i>	<i>Notes</i>
nCoVPC	RV202005	2019-nCoV Positive Control (nCoVPC) For use as a positive control with the CDC 2019-nCoV Real-Time RT-PCR Diagnostic Panel procedure. The nCoVPC contains noninfectious positive control material supplied in a dried state and must be resuspended before use. nCoVPC consists of <i>in vitro</i> transcribed RNA. nCoVPC will yield a positive result with each assay in the 2019-nCoV Real-Time RT-PCR Diagnostic Panel including RP.	4 tubes	Provides (800) 5 µL test reactions

Catalog #VTC-04 CDC 2019-nCoV Positive Control (nCoVPC)

Reagent Label	Part #	Description	Quantity	Notes
nCoVPC	RV202005	2019-nCoV Positive Control (nCoVPC) For use as a positive control with the CDC 2019-nCoV Real-Time RT-PCR Diagnostic Panel procedure. The nCoVPC contains noninfectious positive control material supplied in a dried state and must be resuspended before use. nCoVPC consists of <i>in vitro</i> transcribed RNA. nCoVPC will yield a positive result with each assay in the 2019-nCoV Real-Time RT-PCR Diagnostic Panel including RP.	4 tubes	Provides (800) 5 µL test reactions

Materials Required (But Not Provided)**Human Specimen Control (HSC)**

Description	Quantity	CDC Catalog No.
Manufactured by CDC. For use as an RNA extraction procedural control to demonstrate successful recovery of RNA as well as extraction reagent integrity. The HSC consists of noninfectious (beta-Propiolactone treated) cultured human cell material supplied as a liquid suspended in 0.01 M PBS at pH 7.2-7.4.	10 vials x 500uL	KT0189

Acceptable alternatives to HSC:

- Negative human specimen material: Laboratories may prepare a volume of human specimen material (e.g., human sera or pooled leftover negative respiratory specimens) to extract and run alongside clinical samples as an extraction control. This material should be prepared in sufficient volume to be used across multiple runs. Material should be tested prior to use as the extraction control to ensure it generates the expected results for the HSC listed in these instructions for use.
- Contrived human specimen material: Laboratories may prepare contrived human specimen materials by suspending any human cell line (e.g., A549, Hela or 293) in PBS. This material should be prepared in sufficient volume to be used across multiple runs. Material should be tested prior to use as the extraction control to ensure it generates the expected results for the HSC listed in these instructions for use.

CDC will maintain on its website a list of commercially alternative extraction controls, if applicable, that are acceptable for use with this assay under CDC's Emergency Use Authorization, at:
<https://www.cdc.gov/coronavirus/2019-nCoV/lab/index.html>

rRT-PCR Enzyme Mastermix Options

Reagent	Quantity	Catalog No.
Quantabio qScript XLT One-Step RT-qPCR ToughMix	100 x 20 µL rxns (1 x 1 mL)	95132-100
	2000 x 20 µL rxns (1 x 20 mL)	95132-02K
	500 x 20 µL rxns (5 x 1 mL)	95132-500
Quantabio UltraPlex 1-Step ToughMix (4X)	100 x 20 µL rxns (500 µL)	95166-100
	500 x 20 µL rxns (5 x 500 µL)	95166-500
	1000 x 20 µL rxns (1 x 5 mL)	95166-01K
Promega GoTaq® Probe 1- Step RT-qPCR System	200 x 20 µL rxns (2 mL)	A6120
	1250 x 20 µL rxns 12.5 mL	A6121
Thermofisher TaqPath™ 1-Step RT-qPCR Master Mix, CG	1000 reactions	A15299
	2000 reactions	A15300

RNA Extraction Options

For each of the kits listed below, CDC has confirmed that the external lysis buffer is effective for inactivation of SARS-CoV-2.

Instrument/Manufacturer	Extraction Kit	Catalog No.
QIAGEN	² QIAamp DSP Viral RNA Mini Kit	50 extractions (61904)
	² QIAamp Viral RNA Mini Kit	50 extractions (52904) 250 extractions (52906)
QIAGEN EZ1 Advanced XL	² EZ1 DSP Virus Kit	48 extractions (62724) Buffer AVL (19073) EZ1 Advanced XL DSP Virus Card (9018703)
	² EZ1 Virus Mini Kit v2.0	48 extractions (955134) Buffer AVL (19073) EZ1 Advanced XL Virus Card v2.0 (9018708)
¹ Roche MagNA Pure LC	² Total Nucleic Acid Kit	192 extractions (03 038 505 001)
¹ Roche MagNA Pure Compact	² Nucleic Acid Isolation Kit I	32 extractions (03 730 964 001)
¹ Roche MagNA Pure 96	² DNA and Viral NA Small Volume Kit	576 extractions (06 543 588 001) External Lysis Buffer (06 374 913 001)
¹ QIAGEN QIAcube	² QIAamp DSP Viral RNA Mini Kit	50 extractions (61904)
	² QIAamp Viral RNA Mini Kit	50 extractions (52904) 250 extractions (52906)
^{1, 3} bioMérieux NucliSENS® easyMAG® and ^{1, 3} bioMérieux EMAG® (Automated magnetic extraction reagents sold separately. Both instruments use the same reagents and disposables, with the exception of tips.)		EasyMAG® Magnetic Silica (280133) EasyMAG® Lysis Buffer (280134) EasyMAG® Lysis Buffer, 2 mL (200292) EasyMAG® Wash Buffers 1,2, and 3 (280130, 280131, 280132) EasyMAG® Disposables (280135) Biohit Pipette Tips (easyMAG® only) (280146) EMAG®1000µL Tips (418922)

¹Equivalence and performance of these extraction platforms for extraction of viral RNA were demonstrated with the CDC Human Influenza Virus Real-Time RT-PCR Diagnostic Panel (K190302). Performance characteristics of these extraction platforms with 2019-nCoV (SARS CoV-2) have not been demonstrated.

² CDC has confirmed that the external lysis buffer used with this extraction method is effective for inactivation of SARS-CoV-2.

³ CDC has compared the concentration of inactivating agent in the lysis buffer used with this extraction method and has determined the concentration to be within the range of concentrations found effective in inactivation of SARS-CoV-2.

Equipment and Consumables Required (But Not Provided)

- Vortex mixer
- Microcentrifuge
- Micropipettes (2 or 10 µL, 200 µL and 1000 µL)
- Multichannel micropipettes (5-50 µl)
- Racks for 1.5 mL microcentrifuge tubes
- 2 x 96-well -20°C cold blocks
- 7500 Fast Dx Real-Time PCR Systems with SDS 1.4 software (Applied Biosystems; catalog #4406985 or #4406984)
- Extraction systems (instruments): QIAGEN EZ1 Advanced XL
- Molecular grade water, nuclease-free
- 10% bleach (1:10 dilution of commercial 5.25-6.0% hypochlorite bleach)
- DNAZap™ (Ambion, cat. #AM9890) or equivalent
- RNase Away™ (Fisher Scientific; cat. #21-236-21) or equivalent
- Disposable powder-free gloves and surgical gowns
- Aerosol barrier pipette tips
- 1.5 mL microcentrifuge tubes (DNase/RNase free)
- 0.2 mL PCR reaction plates (Applied Biosystems; catalog #4346906 or #4366932)
- MicroAmp Optical 8-cap Strips (Applied Biosystems; catalog #4323032)

Warnings and Precautions

- For *in vitro* diagnostic use (IVD).
- For emergency use only.
- Follow standard precautions. All patient specimens and positive controls should be considered potentially infectious and handled accordingly.
- Do not eat, drink, smoke, apply cosmetics or handle contact lenses in areas where reagents and human specimens are handled.
- Handle all specimens as if infectious using safe laboratory procedures. Refer to Interim Laboratory Biosafety Guidelines for Handling and Processing Specimens Associated with 2019-nCoV <https://www.cdc.gov/coronavirus/2019-nCoV/lab-biosafety-guidelines.html>.
- Specimen processing should be performed in accordance with national biological safety regulations.
- If infection with 2019-nCoV is suspected based on current clinical and epidemiological screening criteria recommended by public health authorities, specimens should be collected with appropriate infection control precautions.
- Performance characteristics have been determined with human upper respiratory specimens and lower respiratory tract specimens from human patients with signs and symptoms of respiratory infection.
- Perform all manipulations of live virus samples within a Class II (or higher) biological safety cabinet (BSC).
- Use personal protective equipment such as (but not limited to) gloves, eye protection, and lab coats when handling kit reagents while performing this assay and handling materials including samples, reagents, pipettes, and other equipment and reagents.

- Amplification technologies such as PCR are sensitive to accidental introduction of PCR product from previous amplifications reactions. Incorrect results could occur if either the clinical specimen or the real-time reagents used in the amplification step become contaminated by accidental introduction of amplification product (amplicon). Workflow in the laboratory should proceed in a unidirectional manner.
 - Maintain separate areas for assay setup and handling of nucleic acids.
 - Always check the expiration date prior to use. Do not use expired reagent. Do not substitute or mix reagent from different kit lots or from other manufacturers.
 - Change aerosol barrier pipette tips between all manual liquid transfers.
 - During preparation of samples, compliance with good laboratory techniques is essential to minimize the risk of cross-contamination between samples, and the inadvertent introduction of nucleases into samples during and after the extraction procedure. Proper aseptic technique should always be used when working with nucleic acids.
 - Maintain separate, dedicated equipment (e.g., pipettes, microcentrifuges) and supplies (e.g., microcentrifuge tubes, pipette tips) for assay setup and handling of extracted nucleic acids.
 - Wear a clean lab coat and powder-free disposable gloves (not previously worn) when setting up assays.
 - Change gloves between samples and whenever contamination is suspected.
 - Keep reagent and reaction tubes capped or covered as much as possible.
 - Primers, probes (including aliquots), and enzyme master mix must be thawed and maintained on cold block at all times during preparation and use.
 - Work surfaces, pipettes, and centrifuges should be cleaned and decontaminated with cleaning products such as 10% bleach, “DNAZap™” or “RNase AWAY®” to minimize risk of nucleic acid contamination. Residual bleach should be removed using 70% ethanol.
- RNA should be maintained on cold block or on ice during preparation and use to ensure stability.
- Dispose of unused kit reagents and human specimens according to local, state, and federal regulations.

Reagent Storage, Handling, and Stability

- Store all dried primers and probes and the positive control, nCoVPC, at 2-8°C until re-hydrated for use. Store liquid HSC control materials at ≤ -20°C.
Note: Storage information is for CDC primer and probe materials obtained through the International Reagent Resource. If using commercial primers and probes, please refer to the manufacturer’s instructions for storage and handling.
- Always check the expiration date prior to use. Do not use expired reagents.
- Protect fluorogenic probes from light.
- Primers, probes (including aliquots), and enzyme master mix must be thawed and kept on a cold block at all times during preparation and use.
- Do not refreeze probes.
Controls and aliquots of controls must be thawed and kept on ice at all times during preparation and use.

Specimen Collection, Handling, and Storage

Inadequate or inappropriate specimen collection, storage, and transport are likely to yield false test results. Training in specimen collection is highly recommended due to the importance of specimen quality. CLSI MM13-A may be referenced as an appropriate resource.

- Collecting the Specimen
 - Refer to Interim Guidelines for Collecting, Handling, and Testing Clinical Specimens from Patients Under Investigation (PUIs) for 2019 Novel Coronavirus (2019-nCoV)
<https://www.cdc.gov/coronavirus/2019-nCoV/guidelines-clinical-specimens.html>
 - Follow specimen collection device manufacturer instructions for proper collection methods.
 - Swab specimens should be collected using only swabs with a synthetic tip, such as nylon or Dacron®, and an aluminum or plastic shaft. Calcium alginate swabs are unacceptable and cotton swabs with wooden shafts are not recommended. Place swabs immediately into sterile tubes containing 1-3 ml of viral transport media.
- Transporting Specimens
 - Specimens must be packaged, shipped, and transported according to the current edition of the International Air Transport Association (IATA) Dangerous Goods Regulation. Follow shipping regulations for UN 3373 Biological Substance, Category B when sending potential 2019-nCoV specimens. Store specimens at 2-8°C and ship overnight to CDC on ice pack. If a specimen is frozen at -70°C or lower, ship overnight to CDC on dry ice.
- Storing Specimens
 - Specimens can be stored at 2-8°C for up to 72 hours after collection.
 - If a delay in extraction is expected, store specimens at -70°C or lower.
 - Extracted nucleic acid should be stored at -70°C or lower.

Specimen Referral to CDC

For state and local public health laboratories:

- Ship all specimens overnight to CDC.
- Ship frozen specimens on dry ice and non-frozen specimens on cold packs.
- Refer to the International Air Transport Association (IATA - www.iata.org) for requirements for shipment of human or potentially infectious biological specimens. Follow shipping regulations for UN 3373 Biological Substance, Category B when sending potential 2019-nCoV specimens.
- Prior to shipping, notify CDC Division of Viral Diseases (see contact information below) that you are sending specimens.
- Send all samples to the following recipient:

Centers for Disease Control and Prevention
c/o STATT
Attention: Dr. Stephen Lindstrom (Unit 84)
1600 Clifton Rd., Atlanta, GA 30329-4027
Phone: (404) 639-3931

**The emergency contact number for CDC Emergency Operations Center (EOC) is
770-488-7100.**

All other laboratories that are CLIA certified and meet requirements to perform high complexity testing:

- Please notify your state and/or local public health laboratory for specimen referral and confirmatory testing guidance.

Reagent and Controls Preparation

NOTE: Storage information is for materials obtained through the CDC International Regent Resource. If using commercial products for testing, please refer to the manufacturer's instructions for storage, handling and preparation instructions.

Primer and Probe Preparation:

- 1) Upon receipt, store dried primers and probes at 2-8°C.
- 2) Precautions: These reagents should only be handled in a clean area and stored at appropriate temperatures (see below) in the dark. Freeze-thaw cycles should be avoided. Maintain cold when thawed.
- 3) Using aseptic technique, suspend dried reagents in 1.5 mL of nuclease-free water (50X working concentration) and allow to rehydrate for 15 min at room temperature in the dark.
- 4) Mix gently and aliquot primers/probe in 300 µL volumes into 5 pre-labeled tubes. Store a single aliquot of primers/probe at 2-8°C in the dark. Do not refreeze (stable for up to 4 months). Store remaining aliquots at ≤ -20°C in a non-frost-free freezer.

2019-nCoV Positive Control (nCoVPC) Preparation:

- 1) Precautions: This reagent should be handled with caution in a dedicated nucleic acid handling area to prevent possible contamination. Freeze-thaw cycles should be avoided. Maintain on ice when thawed.
- 2) Resuspend dried reagent in each tube in 1 mL of nuclease-free water to achieve the proper concentration. Make single use aliquots (approximately 30 µL) and store at ≤ -70°C.
- 3) Thaw a single aliquot of diluted positive control for each experiment and hold on ice until adding to plate. Discard any unused portion of the aliquot.

Human Specimen Control (HSC) (not provided)

- 1) Human Specimen Control (HSC) or one of the listed acceptable alternative extraction controls must be extracted and processed with each specimen extraction run.
- 2) Refer to the Human Specimen Control (HSC) package insert for instructions for use.

No Template Control (NTC) (not provided)

- 1) Sterile, nuclease-free water
- 2) Aliquot in small volumes
- 3) Used to check for contamination during specimen extraction and/or plate set-up

General Preparation

Equipment Preparation

Clean and decontaminate all work surfaces, pipettes, centrifuges, and other equipment prior to use. Decontamination agents should be used including 10% bleach, 70% ethanol, and *DNAzap*™ or *RNase AWAY*® to minimize the risk of nucleic acid contamination.

Nucleic Acid Extraction

Performance of the CDC 2019-nCoV Real-Time RT-PCR Diagnostic Panel is dependent upon the amount and quality of template RNA purified from human specimens. The following commercially available RNA extraction kits and procedures have been qualified and validated for recovery and purity of RNA for use with the panel:

Qiagen QIAamp® DSP Viral RNA Mini Kit or QIAamp® Viral RNA Mini Kit

Recommendation(s): Utilize 100 µL of sample and elute with 100 µL of buffer or utilize 140 µL of sample and elute with 140 µL of buffer.

Qiagen EZ1 Advanced XL

Kit: Qiagen EZ1 DSP Virus Kit and Buffer AVL (supplied separately) for offboard lysis

Card: EZ1 Advanced XL DSP Virus Card

Recommendation(s): Add 120 µL of sample to 280 µL of pre-aliquoted Buffer AVL (total input sample volume is 400 µL). Proceed with the extraction on the EZ1 Advanced XL. Elution volume is 120 µL.

Kit: Qiagen EZ1 Virus Mini Kit v2.0 and Buffer AVL (supplied separately) for offboard lysis

Card: EZ1 Advanced XL Virus Card v2.0

Recommendation(s): Add 120 µL of sample to 280 µL of pre-aliquoted Buffer AVL (total input sample volume is 400 µL). Proceed with the extraction on the EZ1 Advanced XL. Elution volume is 120 µL.

Equivalence and performance of the following extraction platforms were demonstrated with the CDC Human Influenza Virus Real-Time RT-PCR Diagnostic Panel (K190302) and based on those data are acceptable for use with the CDC 2019-nCoV Real-Time RT-PCR Diagnostic Panel.

QIAGEN QIAcube

Kit: QIAGEN QIAamp® DSP Viral RNA Mini Kit or QIAamp® Viral RNA Mini Kit

Recommendations: Utilize 140 µL of sample and elute with 100 µL of buffer.

Roche MagNA Pure LC

Kit: Roche MagNA Pure Total Nucleic Acid Kit

Protocol: Total NA External_ lysis

Recommendation(s): Add 100 µL of sample to 300 µL of pre-aliquoted TNA isolation kit lysis buffer (total input sample volume is 400 µL). Elution volume is 100 µL.

Roche MagNA Pure Compact

Kit: Roche MagNA Pure Nucleic Acid Isolation Kit I

Protocol: Total_NA_Plasma100_400

Recommendation(s): Add 100 µL of sample to 300 µL of pre-aliquoted TNA isolation kit lysis buffer (total input sample volume is 400 µL). Elution volume is 100 µL.

Roche MagNA Pure 96

Kit: Roche MagNA Pure 96 DNA and Viral NA Small Volume Kit

Protocol: Viral NA Plasma Ext Lys SV Protocol

Recommendation(s): Add 100 µL of sample to 350 µL of pre-aliquoted External Lysis Buffer (supplied separately) (total input sample volume is 450 µL). Proceed with the extraction on the MagNA Pure 96. **(Note: Internal Control = None)**. Elution volume is 100 µL.

bioMérieux NucliSENS® easyMAG® Instrument

Protocol: General protocol (not for blood) using “Off-board Lysis” reagent settings.

Recommendation(s): Add 100 µL of sample to 1000 µL of pre-aliquoted easyMAG lysis buffer (total input sample volume is 1100 µL). Incubate for 10 minutes at room temperature. Elution volume is 100 µL.

bioMérieux EMAG® Instrument

Protocol: Custom protocol: **CDC Flu V1** using “Off-board Lysis” reagent settings.

Recommendation(s): Add 100 µL of samples to 2000 µL of pre-aliquoted easyMAG lysis buffer (total input sample volume is 2100 µL). Incubate for 10 minutes at room temperature. Elution volume is 100 µL. The custom protocol, **CDC Flu V1**, is programmed on the bioMérieux EMAG® instrument with the assistance of a bioMérieux service representative. Installation verification is documented at the time of installation. Laboratories are recommended to retain a record of the step-by-step verification of the bioMérieux custom protocol installation procedure.

Manufacturer’s recommended procedures (except as noted in recommendations above) are to be followed for sample extraction. HSC must be included in each extraction batch.

Disclaimer: Names of vendors or manufacturers are provided as examples of suitable product sources. Inclusion does not imply endorsement by the Centers for Disease Control and Prevention.

Assay Set Up

Reaction Master Mix and Plate Set Up

Note: Plate set-up configuration can vary with the number of specimens and workday organization. NTCs and nCoVPCs must be included in each run.

- 1) In the reagent set-up room clean hood, place rRT-PCR buffer, enzyme, and primer/probes on ice or cold-block. Keep cold during preparation and use.
- 2) Mix buffer, enzyme, and primer/probes by inversion 5 times.
- 3) Centrifuge reagents and primers/probes for 5 seconds to collect contents at the bottom of the tube, and then place the tube in a cold rack.
- 4) Label one 1.5 mL microcentrifuge tube for each primer/probe set.
- 5) Determine the number of reactions (N) to set up per assay. It is necessary to make excess reaction mix for the NTC, nCoVPC, HSC (if included in the RT-PCR run), and RP reactions and for pipetting error. Use the following guide to determine N:
 - If number of samples (n) including controls equals 1 through 14, then $N = n + 1$
 - If number of samples (n) including controls is 15 or greater, then $N = n + 2$
- 7) For each primer/probe set, calculate the amount of each reagent to be added for each reaction mixture ($N = \#$ of reactions).

Thermofisher TaqPath™ 1-Step RT-qPCR Master Mix

Step #	Reagent	Vol. of Reagent Added per Reaction
1	Nuclease-free Water	$N \times 8.5 \mu\text{L}$
2	Combined Primer/Probe Mix	$N \times 1.5 \mu\text{L}$
3	TaqPath™ 1-Step RT-qPCR Master Mix (4x)	$N \times 5.0 \mu\text{L}$
	Total Volume	$N \times 15.0 \mu\text{L}$

Promega GoTaq® Probe 1- Step RT-qPCR System

Step #	Reagent	Vol. of Reagent Added per Reaction
1	Nuclease-free Water	N x 3.1 µL
2	Combined Primer/Probe Mix	N x 1.5 µL
3	GoTaq Probe qPCR Master Mix with dUTP	N x 10.0 µL
4	Go Script RT Mix for 1-Step RT-qPCR	N x 0.4 µL
	Total Volume	N x 15.0 µL

Quantabio qScript XLT One-Step RT-qPCR ToughMix

Step #	Reagent	Vol. of Reagent Added per Reaction
1	Nuclease-free Water	N x 3.5 µL
2	Combined Primer/Probe Mix	N x 1.5 µL
3	qScript XLT One-Step RT-qPCR ToughMix (2X)	N x 10.0 µL
	Total Volume	N x 15.0 µL

Quantabio UltraPlex 1-Step ToughMix (4X)

Step #	Reagent	Vol. of Reagent Added per Reaction
1	Nuclease-free Water	N x 8.5 µL
2	Combined Primer/Probe Mix	N x 1.5 µL
3	UltraPlex 1-Step ToughMix (4X)	N x 5.0 µL
	Total Volume	N x 15.0 µL

- 8) Dispense reagents into each respective labeled 1.5 mL microcentrifuge tube. After addition of the reagents, mix reaction mixtures by pipetting up and down. **Do not vortex.**
- 9) Centrifuge for 5 seconds to collect contents at the bottom of the tube, and then place the tube in a cold rack.
- 10) Set up reaction strip tubes or plates in a 96-well cooler rack.
- 11) Dispense 15 µL of each master mix into the appropriate wells going across the row as shown below (**Figure 1**):

Figure 1: Example of Reaction Master Mix Plate Set-Up

	1	2	3	4	5	6	7	8	9	10	11	12
A	N1	N1	N1	N1	N1	N1	N1	N1	N1	N1	N1	N1
B	N2	N2	N2	N2	N2	N2	N2	N2	N2	N2	N2	N2
C	RP	RP	RP	RP	RP	RP	RP	RP	RP	RP	RP	RP
D												
E												
F												
G												
H												

- 12) Prior to moving to the nucleic acid handling area, prepare the No Template Control (NTC) reactions for column #1 in the assay preparation area.
- 13) Pipette 5 µL of nuclease-free water into the NTC sample wells (**Figure 2**, column 1). Securely cap NTC wells before proceeding.
- 14) Cover the entire reaction plate and move the reaction plate to the specimen nucleic acid handling area.

Nucleic Acid Template Addition

- 1) Gently vortex nucleic acid sample tubes for approximately 5 seconds.
- 2) Centrifuge for 5 seconds to collect contents at the bottom of the tube.
- 3) After centrifugation, place extracted nucleic acid sample tubes in the cold rack.
- 4) Samples should be added to columns 2-11 (column 1 and 12 are for controls) to the specific assay that is being tested as illustrated in **Figure 2**. Carefully pipette 5.0 µL of the first sample into all the wells labeled for that sample (i.e. Sample "S1" down column #2). *Keep other sample wells covered during addition. Change tips after each addition.*
- 5) Securely cap the column to which the sample has been added to prevent cross contamination and to ensure sample tracking.
- 6) Change gloves often and when necessary to avoid contamination.
- 7) Repeat steps #4 and #5 for the remaining samples.

- 8) If necessary, add 5 µL of Human Specimen Control (HSC) extracted sample to the HSC wells (**Figure 2**, column 11). Securely cap wells after addition. NOTE: Per CLIA regulations, HSC must be tested at least once per day.
- 9) Cover the entire reaction plate and move the reaction plate to the positive template control handling area.

Assay Control Addition

- 1) Pipette 5 µL of nCoVPC RNA to the sample wells of column 12 (**Figure 2**). Securely cap wells after addition of the control RNA.

NOTE: *If using 8-tube strips, label the TAB of each strip to indicate sample position. DO NOT LABEL THE TOPS OF THE REACTION TUBES!*

- 2) Briefly centrifuge reaction tube strips for 10-15 seconds. After centrifugation return to cold rack.

NOTE: *If using 96-well plates, centrifuge plates for 30 seconds at 500 x g, 4°C.*

Figure 2. 2019-nCoV rRT-PCR Diagnostic Panel: Example of Sample and Control Set-up

	1	2	3	4	5	6	7	8	9	10	11 ^a	12
A	NTC	S1	S2	S3	S4	S5	S6	S7	S8	S9	S10	nCoV PC
B	NTC	S1	S2	S3	S4	S5	S6	S7	S8	S9	S10	nCoV PC
C	NTC	S1	S2	S3	S4	S5	S6	S7	S8	S9	S10	nCoV PC
D												
E												
F												
G												
H												

^aReplace the sample in this column with extracted HSC if necessary

Create a Run Template on the Applied Biosystems 7500 Fast Dx Real-time PCR Instrument (Required if no template exists)

If the template already exists on your instrument, please proceed to the **RUNNING A TEST** section.

- 1) Launch the Applied Biosystems 7500 Fast Dx Real-time PCR Instrument by double clicking on the Applied Biosystems 7500 Fast Dx System icon on the desktop.
- 2) A new window should appear, select **Create New Document** from the menu.

Figure 3. New Document Wizard Window

New Document Wizard

Define Document
Select the assay, container, and template for the document, and enter the operator name and comments.

Assay: Standard Curve (Absolute Quantitation) ▼

Container: 96-Well Clear ▼

Template: Blank Document ▼ Browse...

Run Mode: Standard 7500 ▼

Operator: Training User

Comments: SDS v1.4

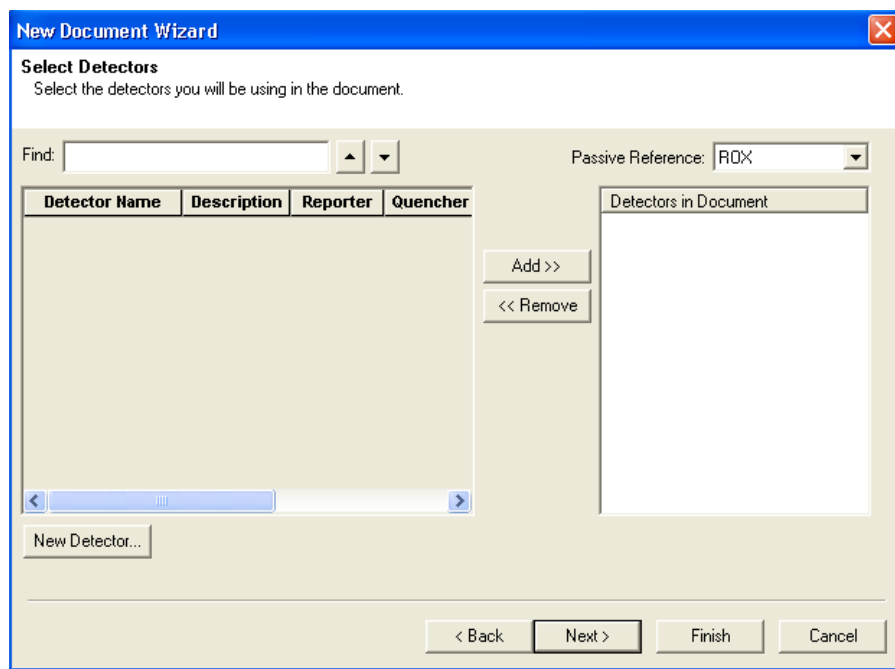
Plate Name: Training Plate

< Back Next > Finish Cancel

Make sure to change Run Mode to **STANDARD 7500**

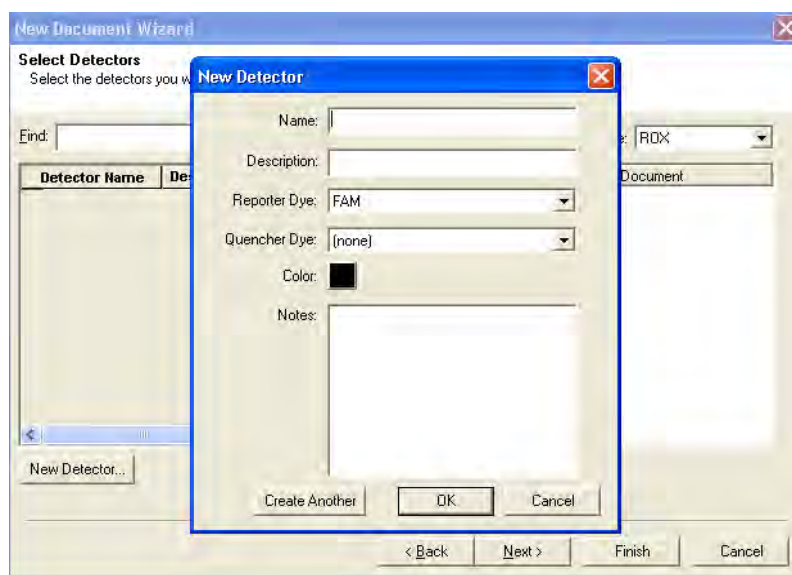
- 3) The **New Document Wizard** screen in **Figure 3** will appear. Select:
 - a. Assay: **Standard Curve (Absolute Quantitation)**
 - b. Container: **96-Well Clear**
 - c. Template: **Blank Document**
 - d. Run Mode: **Standard 7500**
 - e. Operator: **Your Name**
 - f. Comments: **SDS v1.4**
 - g. Plate Name: **Your Choice**
- 4) After making selections click **Next** at the bottom of the window.

Figure 4. Creating New Detectors



- 5) After selecting next, the **Select Detectors** screen (Figure 4) will appear.
- 6) Click the **New Detector** button (see Figure 4).
- 7) The **New Detector** window will appear (Figure 5). A new detector will need to be defined for each primer and probe set. Creating these detectors will enable you to analyze each primer and probe set individually at the end of the reaction.

Figure 5. New Detector Window

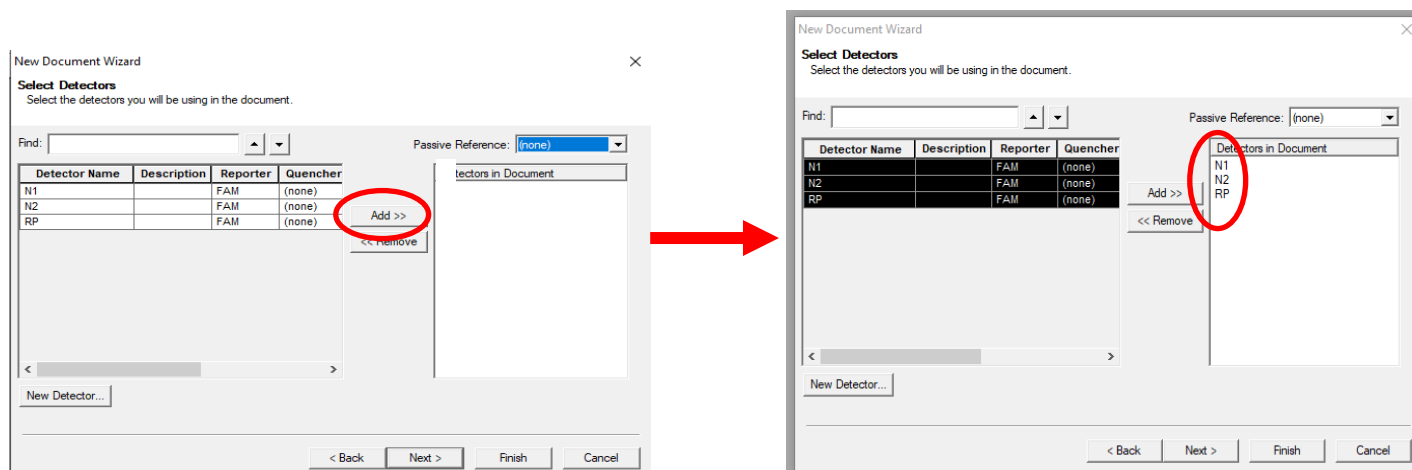


- 8) Start by creating the N1 Detector. Include the following:
 - a. Name: **N1**
 - b. Description: *leave blank*
 - c. Reporter Dye: **FAM**
 - d. Quencher Dye: **(none)**
 - e. Color: *to change the color of the detector indicator do the following:*
 - ⇒ Click on the color square to reveal the color chart
 - ⇒ Select a color by clicking on one of the squares
 - ⇒ After selecting a color click **OK** to return to the New Detector screen
 - f. Click the **OK** button of the New Detector screen to return to the screen shown in **Figure 4**.
- 9) Repeat step 6-8 for each target in the panel.

Name	Reporter Dye	Quencher Dye
N1	FAM	(none)
N2	FAM	(none)
RP	FAM	(none)

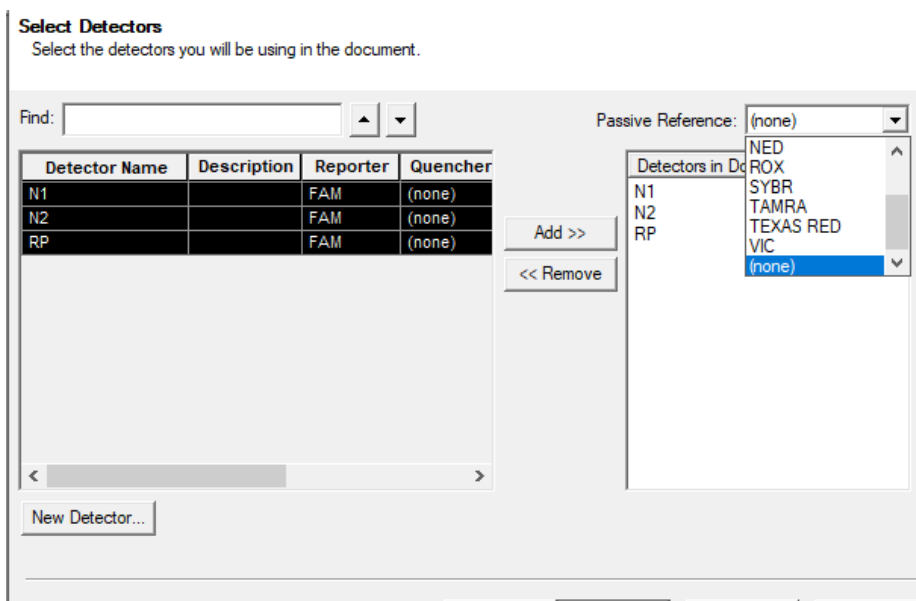
- 10) After each Detector is added, the **Detector Name**, **Description**, **Reporter** and **Quencher** fields will become populated in the **Select Detectors** screen (**Figure 6**).
- 11) Before proceeding, the newly created detectors must be added to the document. To add the new detectors to the document, click **ADD** (see **Figure 6**). Detector names will appear on the right-hand side of the **Select Detectors** window (**Figure 6**).

Figure 6. Adding New Detectors to Document



- 12) Once all detectors have been added, select **(none)** for **Passive Reference** at the top right-hand drop-down menu (**Figure 7**).

Figure 7. Select Passive Reference



Passive reference should be set to "(none)" as described above.

- 13) Click **Next** at the bottom of the **Select Detectors** window to proceed to the **Set Up Sample Plate** window (**Figure 8**).
- 14) In the **Set Up Sample Plate** window (**Figure 8**), use your mouse to select row A from the lower portion of the window, in the spreadsheet (see **Figure 8**).
- 15) In the top portion of the window, select detector **N1**. A check will appear next to the detector you have selected (**Figure 8**). You will also notice the row in the spreadsheet will be populated with a colored "U" icon to indicate which detector you've selected.
- 16) Repeat step 14-15 for each detector that will be used in the assay.

Figure 8. Sample Plate Set-up

New Document Wizard

Set Up Sample Plate
Setup the sample plate with tasks, quantities and detectors.

Use	Detector	Reporter	Quencher	Task	Quantity
<input checked="" type="checkbox"/>	N1	FAM	(none)	Unknown	
<input type="checkbox"/>	N2	FAM	(none)	Unknown	
<input type="checkbox"/>	RP	FAM	(none)	Unknown	

	1	2	3	4	5	6	7	8	9	10	11	12
A	U	U	U	U	U	U	U	U	U	U	U	U
B												
C												
D												
E												
F												
G												
H												

< Back Next > Finish Cancel

- 17) Select **Finish** after detectors have been assigned to their respective rows. (**Figure 9**).

Figure 9. Finished Plate Set-up

New Document Wizard

Set Up Sample Plate
Setup the sample plate with tasks, quantities and detectors.

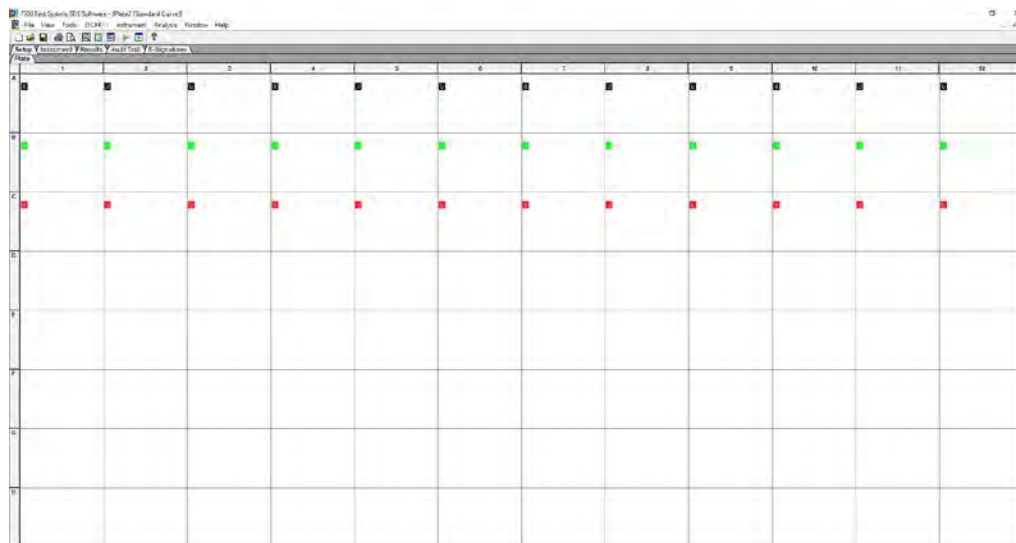
Use	Detector	Reporter	Quencher	Task	Quantity
<input type="checkbox"/>	N1	FAM	(none)	Unknown	
<input type="checkbox"/>	N2	FAM	(none)	Unknown	
<input checked="" type="checkbox"/>	RP	FAM	(none)	Unknown	

	1	2	3	4	5	6	7	8	9	10	11	12
A	U	U	U	U	U	U	U	U	U	U	U	U
B	U	U	U	U	U	U	U	U	U	U	U	U
C	U	U	U	U	U	U	U	U	U	U	U	U
D												
E												
F												
G												
H												

< Back Next > Finish Cancel

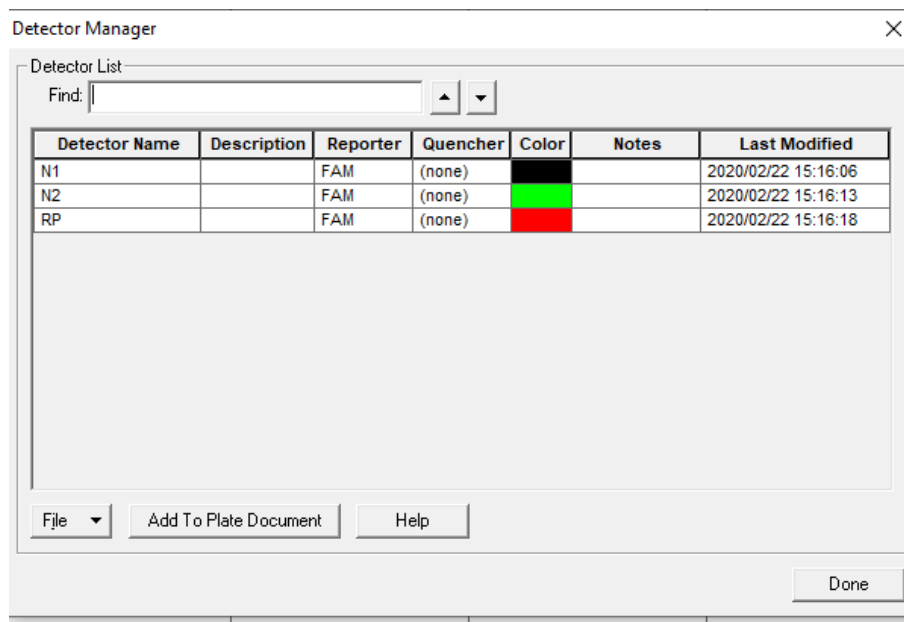
- 18) After clicking “Finish”, there will be a brief pause allowing the Applied Biosystems 7500 Fast Dx to initialize. This initialization is followed by a clicking noise. **Note: The machine must be turned on for initialization.**
- 19) After initialization, the **Plate** tab of the Setup (**Figure 10**) will appear.
- 20) Each well of the plate should contain colored U icons that correspond with the detector labels that were previously chosen. To confirm detector assignments, select **Tools** from the file menu, then select **Detector Manager**.

Figure 10. Plate Set-up Window



21) The Detector Manager window will appear (**Figure 11**).

Figure 11. Detector Manager Window



- 22) Confirm all detectors are included and that each target has a **Reporter** set to **FAM** and the **Quencher** is set to **(none)**.
- 23) If all detectors are present, select **Done**. The detector information has been created and assigned to wells on the plate.

[Defining the Instrument Settings](#)

- 1) After detectors have been created and assigned, proceed to instrument set up.
- 2) Select the **Instrument** tab to define thermal cycling conditions.
- 3) Modify the thermal cycling conditions as follows (**Figure 12**):

Thermofisher TaqPath™ 1-Step RT-qPCR Master Mix, CG

- a. In Stage 1, Set to 2 min at **25°C**; **1 Rep**.
- b. In Stage 2, Set to 15 min at **50°C**; **1 Rep**.
- c. In Stage 3, Set to 2 min at **95°C**, **1 Rep**.
- d. In Stage 4, Step 1 set to **3 sec** at **95°C**.
- e. In Stage 4, Step 2 set to **30 sec** at **55.0°C**.
- f. In Stage 4, Reps should be set to **45**.
- g. Under **Settings** (**Figure 12**), bottom left-hand box, change volume to 20 µL.
- h. Under **Settings**, **Run Mode** selection should be **Standard 7500**.
- i. Step 2 of Stage 4 should be highlighted in yellow to indicate data collection (see **Figure 12**).

OR

Quantabio qScript™ XLT One-Step RT-qPCR ToughMix or UltraPlex 1-Step ToughMix

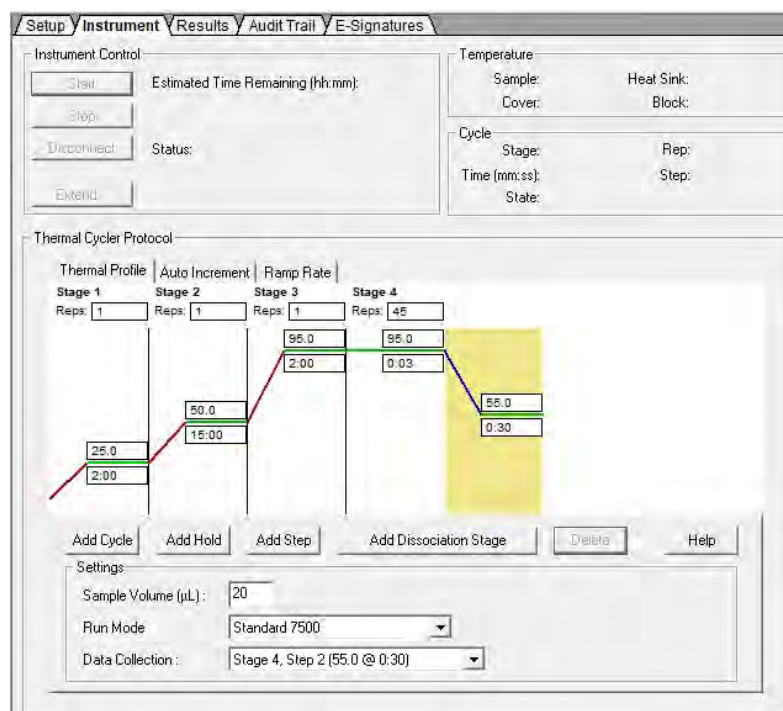
- a. In Stage 1, Set to 10 min at **50°C; 1 Rep.**
- b. In Stage 2, Set to 3 min at **95°C, 1 Rep.**
- c. In Stage 3, Step 1 set to **3 sec** at **95°C.**
- d. In Stage 3, Step 2 set to **30 sec** at **55.0°C.**
- e. In Stage 3, Reps should be set to **45.**
- f. Under **Settings (Figure 12)**, bottom left-hand box, change volume to 20 µL.
- g. Under **Settings, Run Mode** selection should be **Standard 7500.**
- h. Step 2 of Stage 4 should be highlighted in yellow to indicate data collection (see **Figure 12**).

OR

Promega GoTaq® Probe 1-Step RT-qPCR System

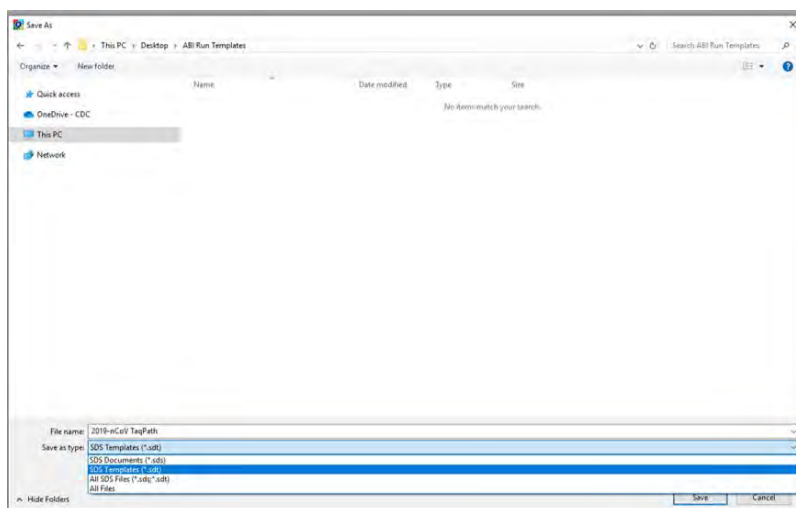
- a. In Stage 1, Set to 15 min at **45°C; 1 Rep.**
- b. In Stage 2, Set to 2 min at **95°C, 1 Rep.**
- c. In Stage 3, Step 1 set to **3 sec** at **95°C.**
- d. In Stage 3, Step 2 set to **30 sec** at **55.0°C.**
- e. In Stage 3, Reps should be set to **45.**
- f. Under **Settings (Figure 12)**, bottom left-hand box, change volume to 20 µL.
- g. Under **Settings, Run Mode** selection should be **Standard 7500.**
- h. Step 2 of Stage 4 should be highlighted in yellow to indicate data collection (see **Figure 12**).

Figure 12. Instrument Window



- 4) After making changes to the **Instrument** tab, the template file is ready to be saved. To save the template, select **File** from the top menu, then select **Save As**. Since the enzyme options have different instrument settings, it is recommended that the template be saved with a name indicating the enzyme option.
- 5) Save the template as **2019-nCoV Dx Panel TaqPath** or **2019-nCoV Dx Panel Quanta** or **2019-nCoV Dx Panel Promega** as appropriate in the desktop folder labeled **“ABI Run Templates”** (you must create this folder). Save as type should be SDS Templates (*.sdt) (**Figure 13**).

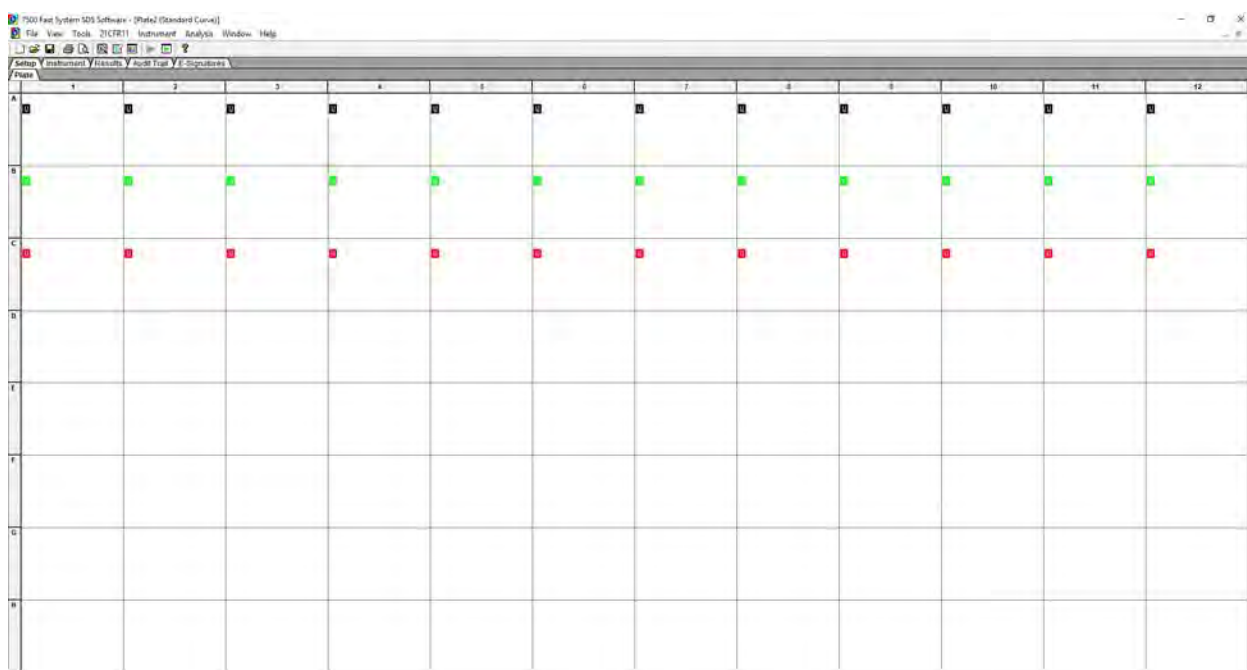
Figure 13. Saving Template



Running a Test

- 1) Turn on the ABI 7500 Fast Dx Real-Time PCR Instrument.
- 2) Launch the Applied Biosystems 7500 Fast Dx Real-time PCR System by double clicking on the 7500 Fast Dx System icon on the desktop.
- 3) A new window should appear, select **Open Existing Document** from the menu.
- 4) Navigate to select your ABI Run Template folder from the desktop.
- 5) Double click on the appropriate template file (**2019-nCoV Dx Panel TaqPath** or **2019-nCoV Dx Panel Quanta** or **2019-nCoV Dx Panel Promega**)
- 6) There will be a brief pause allowing the Applied Biosystems 7500 Fast Dx Real-Time PCR Instrument to initialize. This initialization is followed by a clicking noise. ***Note: The machine must be turned on for initialization.***

Figure 14. Plate Set-up Window



- 7) After the instrument initializes, a plate map will appear (**Figure 14**). The detectors and controls should already be labeled as they were assigned in the original template.


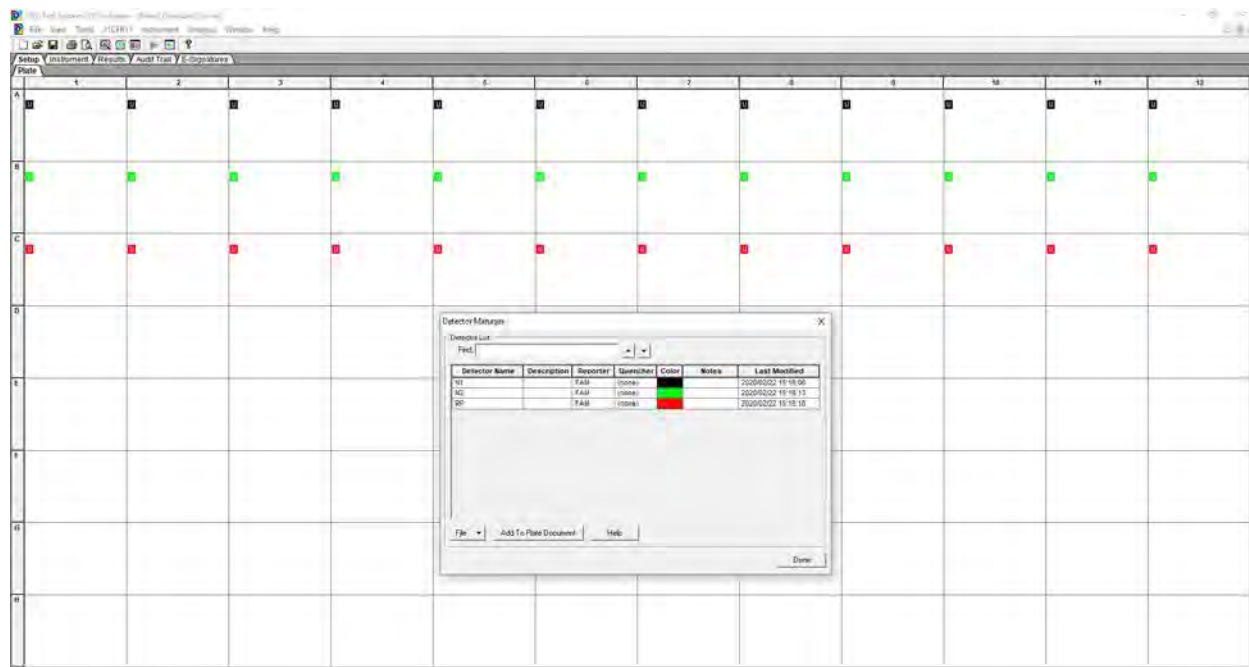
- 8) Click the **Well Inspector** icon  from the top menu.
- 9) Highlight specimen wells of interest on the plate map.
- 10) Type sample identifiers to **Sample Name** box in the **Well Inspector** window (**Figure 15**).

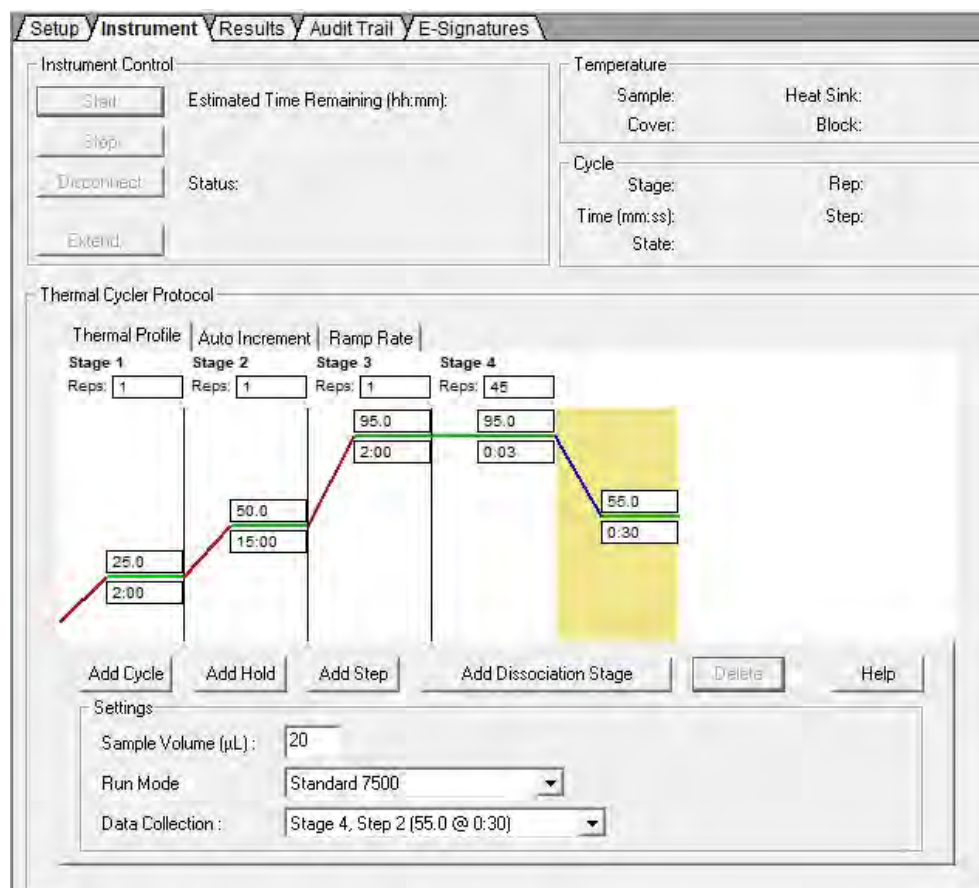
Figure 15. Labeling Wells



- 11) Repeat steps 9-10 until all sample identifiers are added to the plate setup.

- 12) Once all specimen and control identifiers are added click the **Close** button on the **Well Inspector** window to return to the **Plate** set up tab.
- 13) Click the **Instrument** tab at the upper left corner.
- 14) The reaction conditions, volumes, and type of 7500 reaction should already be loaded (**Figure 16**).

Figure 16. Instrument Settings

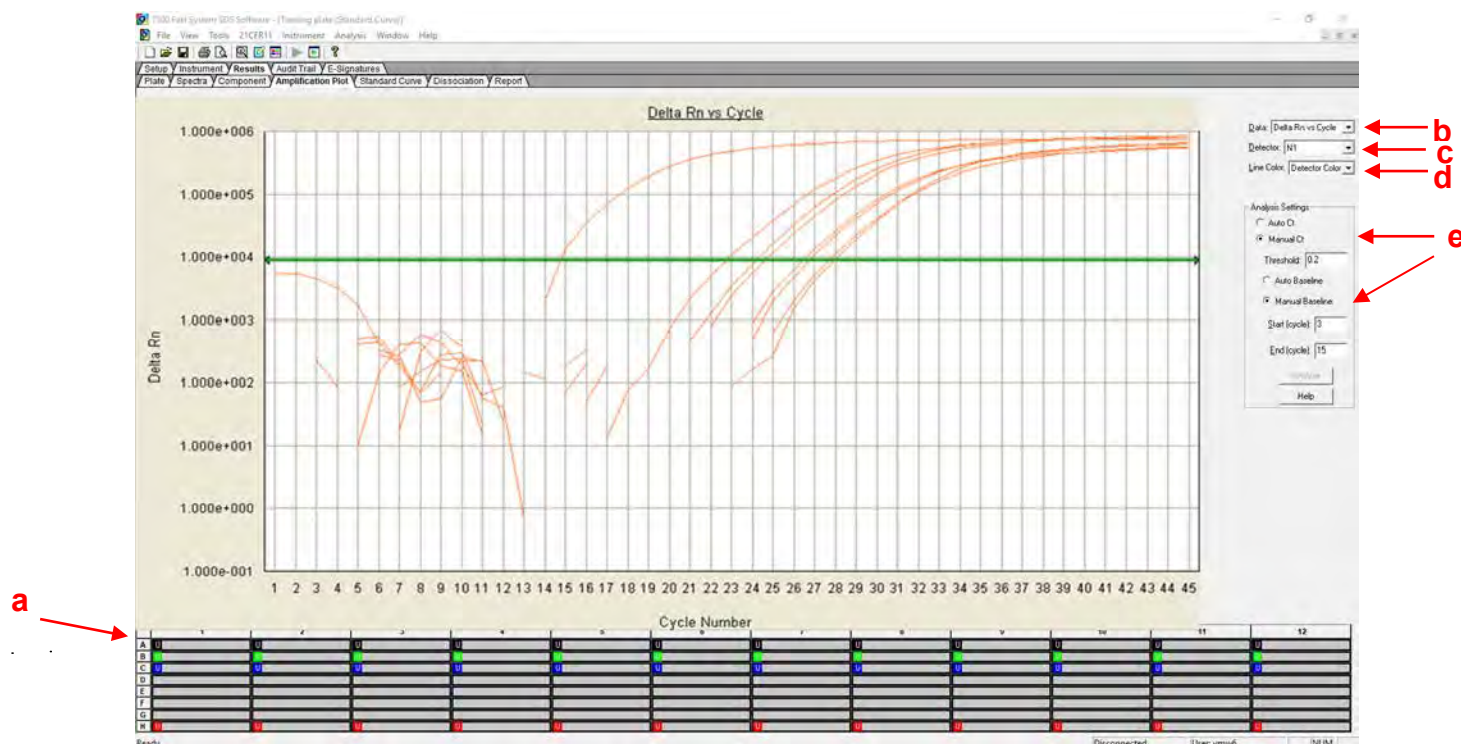


- 15) Ensure settings are correct (refer to the *Defining Instrument Settings*).
- 16) Before proceeding, the run file must be saved; from the main menu, select **File**, then **Save As**. Save in appropriate run folder designation.
- 17) Load the plate into the plate holder in the instrument. Ensure that the plate is properly aligned in the holder.
- 18) Once the run file is saved, click the **Start** button. *Note: The run should take approximately 1hr and 20 minutes to complete.*

Data Analysis

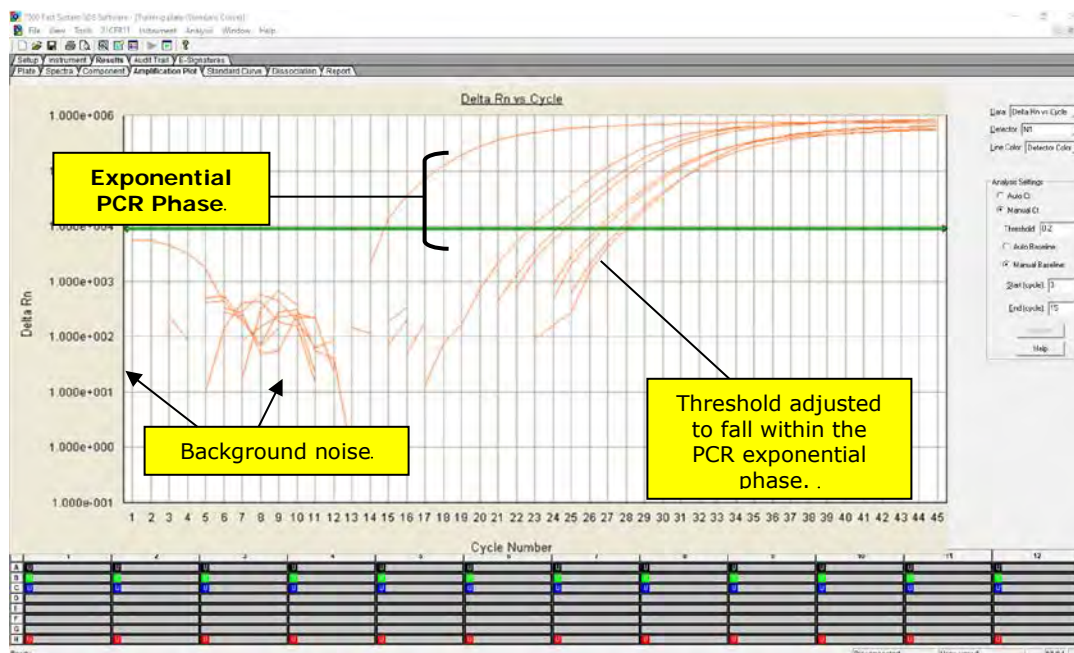
- 1) After the run has completed, select the **Results** tab at the upper left corner of the software.
- 2) Select the **Amplification Plot** tab to view the raw data (**Figure 17**).

Figure 17. Amplification Plot Window



- 3) Start by highlighting all the samples from the run; to do this, click on the upper left-hand box **(a)** of the sample wells (**Figure 17**). All the growth curves should appear on the graph.
- 4) On the right-hand side of the window **(b)**, the **Data** drop down selection should be set to **Delta Rn vs. Cycle**.
- 5) Select **N1** from **(c)**, the **Detector** drop down menu, using the downward arrow.
 - a. Please note that each detector is analyzed individually to reflect different performance profiles of each primer and probe set.
- 6) In the **Line Color** drop down **(d)**, **Detector Color** should be selected.
- 7) Under **Analysis Settings** select **Manual Ct** **(e)**.
 - b. Do not change the **Manual Baseline** default numbers.
- 8) Using the mouse, click and drag the red threshold line until it lies within the exponential phase of the fluorescence curves and above any background signal (**Figure 18**).

Figure 18. Amplification Plot



- 9) Click the **Analyze** button in the lower right corner of the window. The red threshold line will turn to green, indicating the data has been analyzed.
- 10) Repeat steps 5-9 to analyze results generated for each set of markers (N1, N2, RP).
- 11) Save analysis file by selecting **File** then **Save As** from the main menu.
- 12) After completing analysis for each of the markers, select the **Report** tab above the graph to display the Ct values (**Figure 19**). To filter report by sample name in ascending or descending order, simply click on **Sample Name** in the table.

Figure 19. Report

Well	Sample Name	Detector	Task	Ct	StdDev Ct	Quantity	Mean Qty	StdDev Qty	Filtered	Err
A1	N1C	N1	Unknown	20.000						
A2	hCoVPC 1	N1	Unknown	22.2002						
A3	hCoVPC 2	N1	Unknown	20.8416						
A4	hCoVPC 3	N1	Unknown	20.4965						
A5	AAC	N1	Unknown	21.4278						
B1	N2C	N2	Unknown	20.000						
B2	hCoVPC 1	N2	Unknown	20.6181						
B3	hCoVPC 2	N2	Unknown	21.5831						
B4	hCoVPC 3	N2	Unknown	21.2275						
B5	AAC	N2	Unknown	20.442						
C1	N1C	RP	Unknown	20.000						
C2	hCoVPC 1	RP	Unknown	20.5168						
C3	hCoVPC 2	RP	Unknown	21.5831						
C4	hCoVPC 3	RP	Unknown	20.7528						
C5	AAC	RP	Unknown	20.8688						

Interpretation of Results and Reporting

Extraction and Positive Control Results and Interpretation

No Template Control (NTC)

The NTC consists of using nuclease-free water in the rRT-PCR reactions instead of RNA. The NTC reactions for all primer and probe sets should not exhibit fluorescence growth curves that cross the threshold line. If any of the NTC reactions exhibit a growth curve that crosses the cycle threshold, sample contamination may have occurred. Invalidate the run and repeat the assay with strict adherence to the guidelines.

2019-nCoV Positive Control (nCoVPC)

The nCoVPC consists of in vitro transcribed RNA. The nCoVPC will yield a positive result with the following primer and probe sets: N1, N2 and RP.

Human Specimen Control (HSC) (Extraction Control)

When HSC is run with the CDC 2019-nCoV rRT-PCR Diagnostic Panel (see previous section on Assay Set Up), the HSC is used as an RNA extraction procedural control to demonstrate successful recovery of RNA as well as extraction reagent integrity. The HSC control consists of noninfectious cultured human cell (A549) material. Purified nucleic acid from the HSC should yield a positive result with the RP primer and probe set and negative results with all 2019-nCoV markers.

Expected Performance of Controls Included in the CDC 2019-nCoV Real-Time RT-PCR Diagnostic Panel

Control Type	External Control Name	Used to Monitor	2019 nCoV_N1	2019 nCoV_N2	RP	Expected Ct Values
Positive	nCoVPC	Substantial reagent failure including primer and probe integrity	+	+	+	< 40.00 Ct
Negative	NTC	Reagent and/or environmental contamination	-	-	-	None detected
Extraction	HSC	Failure in lysis and extraction procedure, potential contamination during extraction	-	-	+	< 40.00 Ct

If any of the above controls do not exhibit the expected performance as described, the assay may have been set up and/or executed improperly, or reagent or equipment malfunction could have occurred. Invalidate the run and re-test.

RNase P (Extraction Control)

- All clinical samples should exhibit fluorescence growth curves in the RNase P reaction that cross the threshold line within 40.00 cycles (< 40.00 Ct), thus indicating the presence of the human RNase P gene. Failure to detect RNase P in any clinical specimens may indicate:
 - Improper extraction of nucleic acid from clinical materials resulting in loss of RNA and/or RNA degradation.
 - Absence of sufficient human cellular material due to poor collection or loss of specimen integrity.
 - Improper assay set up and execution.
 - Reagent or equipment malfunction.
- If the RP assay does not produce a positive result for human clinical specimens, interpret as follows:
 - If the 2019-nCoV N1 and N2 are positive even in the absence of a positive RP, the result should be considered valid. It is possible, that some samples may fail to exhibit RNase P growth curves due to low cell numbers in the original clinical sample. A negative RP signal does not preclude the presence of 2019-nCoV virus RNA in a clinical specimen.
 - If all 2019-nCoV markers AND RNase P are negative for the specimen, the result should be considered invalid for the specimen. If residual specimen is available, repeat the extraction procedure and repeat the test. If all markers remain negative after re-test, report the results as invalid and a new specimen should be collected if possible.

2019-nCoV Markers (N1 and N2)

- When all controls exhibit the expected performance, a specimen is considered negative if all 2019-nCoV marker (N1, N2) cycle threshold growth curves DO NOT cross the threshold line within 40.00 cycles (< 40.00 Ct) AND the RNase P growth curve DOES cross the threshold line within 40.00 cycles (< 40.00 Ct).
- When all controls exhibit the expected performance, a specimen is considered positive for 2019-nCoV if all 2019-nCoV marker (N1, N2) cycle threshold growth curves cross the threshold line within 40.00 cycles (< 40.00 Ct). The RNase P may or may not be positive as described above, but the 2019-nCoV result is still valid.
- When all controls exhibit the expected performance and the growth curves for the 2019-nCoV markers (N1, N2) AND the RNase P marker DO NOT cross the cycle threshold growth curve within 40.00 cycles (< 40.00 Ct), the result is invalid. The extracted RNA from the specimen should be re-tested. If residual RNA is not available, re-extract RNA from residual specimen and re-test. If the re-tested sample is negative for all markers and RNase P, the result is invalid and collection of a new specimen from the patient should be considered.
- When all controls exhibit the expected performance and the cycle threshold growth curve for any one marker (N1 or N2 but not both markers) crosses the threshold line within 40.00 cycles (< 40.00 Ct) the result is inconclusive. The extracted RNA should be retested. If residual RNA is not available, re-extract RNA from residual specimen and re-test. If the same result is obtained, report the inconclusive result. Consult with your state public health laboratory or CDC, as appropriate, to request guidance and/or to coordinate transfer of the specimen for additional analysis.
- If HSC is positive for N1 or N2, then contamination may have occurred during extraction or sample processing. Invalidate all results for specimens extracted alongside the HSC. Re-extract specimens and HSC and re-test.

2019-nCoV rRT-PCR Diagnostic Panel Results Interpretation Guide

The table below lists the expected results for the 2019-nCoV rRT-PCR Diagnostic Panel. If a laboratory obtains unexpected results for assay controls or if inconclusive or invalid results are obtained and cannot be resolved through the recommended re-testing, please contact CDC for consultation and possible specimen referral. See pages 10 and 40 for referral and contact information.

2019 nCoV_N1	2019 nCoV_N2	RP	Result Interpretation ^a	Report	Actions
+	+	±	2019-nCoV detected	Positive 2019-nCoV	Report results to CDC and sender.
If only one of the two targets is positive		±	Inconclusive Result	Inconclusive	Repeat testing of nucleic acid and/or re-extract and repeat rRT-PCR. If the repeated result remains inconclusive, contact your State Public Health Laboratory or CDC for instructions for transfer of the specimen or further guidance.
-	-	+	2019-nCoV not detected	Not Detected	Report results to sender. Consider testing for other respiratory viruses. ^b
-	-	-	Invalid Result	Invalid	Repeat extraction and rRT-PCR. If the repeated result remains invalid, consider collecting a new specimen from the patient.

^aLaboratories should report their diagnostic result as appropriate and in compliance with their specific reporting system.

^bOptimum specimen types and timing for peak viral levels during infections caused by 2019-nCoV have not been determined. Collection of multiple specimens from the same patient may be necessary to detect the virus. The possibility of a false negative result should especially be considered if the patient's recent exposures or clinical presentation suggest that 2019-nCoV infection is possible, and diagnostic tests for other causes of illness (e.g., other respiratory illness) are negative. If 2019-nCoV infection is still suspected, re-testing should be considered in consultation with public health authorities.

Quality Control

- Quality control requirements must be performed in conformance with local, state, and federal regulations or accreditation requirements and the user's laboratory's standard quality control procedures. For further guidance on appropriate quality control practices, refer to 42 CFR 493.1256.
- Quality control procedures are intended to monitor reagent and assay performance.
- Test all positive controls prior to running diagnostic samples with each new kit lot to ensure all reagents and kit components are working properly.
- Good laboratory practice (cGLP) recommends including a positive extraction control in each nucleic acid isolation batch.
- Although HSC is not included with the 2019-nCoV rRT-PCR Diagnostic Panel, the HSC extraction control must proceed through nucleic acid isolation per batch of specimens to be tested.
- Always include a negative control (NTC), and the appropriate positive control (nCoVPC) in each amplification and detection run. All clinical samples should be tested for human RNase P gene to control for specimen quality and extraction.

Limitations

- All users, analysts, and any person reporting diagnostic results should be trained to perform this procedure by a competent instructor. They should demonstrate their ability to perform the test and interpret the results prior to performing the assay independently.
- Performance of the CDC 2019-nCoV Real-Time RT-PCR Diagnostic Panel has only been established in upper and lower respiratory specimens (such as nasopharyngeal or oropharyngeal swabs, sputum, lower respiratory tract aspirates, bronchoalveolar lavage, and nasopharyngeal wash/aspirate or nasal aspirate).
- Negative results do not preclude 2019-nCoV infection and should not be used as the sole basis for treatment or other patient management decisions. Optimum specimen types and timing for peak viral levels during infections caused by 2019-nCoV have not been determined. Collection of multiple specimens (types and time points) from the same patient may be necessary to detect the virus.
- A false negative result may occur if a specimen is improperly collected, transported or handled. False negative results may also occur if amplification inhibitors are present in the specimen or if inadequate numbers of organisms are present in the specimen.
- Positive and negative predictive values are highly dependent on prevalence. False negative test results are more likely when prevalence of disease is high. False positive test results are more likely when prevalence is moderate to low.
- Do not use any reagent past the expiration date.
- If the virus mutates in the rRT-PCR target region, 2019-nCoV may not be detected or may be detected less predictably. Inhibitors or other types of interference may produce a false negative result. An interference study evaluating the effect of common cold medications was not performed.
- Test performance can be affected because the epidemiology and clinical spectrum of infection caused by 2019-nCoV is not fully known. For example, clinicians and laboratories may not know the optimum types of specimens to collect, and, during the course of infection, when these specimens are most likely to contain levels of viral RNA that can be readily detected.
- Detection of viral RNA may not indicate the presence of infectious virus or that 2019-nCoV is the causative agent for clinical symptoms.

- The performance of this test has not been established for monitoring treatment of 2019-nCoV infection.
- The performance of this test has not been established for screening of blood or blood products for the presence of 2019-nCoV.
- This test cannot rule out diseases caused by other bacterial or viral pathogens.

Conditions of Authorization for the Laboratory

The CDC 2019-nCoV Real-Time RT-PCR Diagnostic Panel Letter of Authorization, along with the authorized Fact Sheet for Healthcare Providers, the authorized Fact Sheet for Patients and authorized labeling are available on the FDA website:

<https://www.fda.gov/MedicalDevices/Safety/EmergencySituations/ucm161496.htm>

Use of the CDC 2019-nCoV Real-Time RT-PCR Diagnostic Panel must follow the procedures outlined in these manufacturer's Instructions for Use and the conditions of authorization outlined in the Letter of Authorization. Deviations from the procedures outlined are not permitted under the Emergency Use Authorization (EUA). To assist clinical laboratories running the CDC 2019-nCoV Real-Time RT-PCR Diagnostic Panel, the relevant Conditions of Authorization are listed verbatim below, and are required to be met by laboratories performing the EUA test.

- Authorized laboratories¹ will include with reports of the results of the CDC 2019-nCoV Real-Time RT-PCR Diagnostic Panel, all authorized Fact Sheets. Under exigent circumstances, other appropriate methods for disseminating these Fact Sheets may be used, which may include mass media.
- Authorized laboratories will perform the CDC 2019-nCoV Real-Time RT-PCR Diagnostic Panel as outlined in the CDC 2019-Novel Coronavirus (2019-nCoV) Real-Time RT-PCR Diagnostic Panel Instructions for Use. Deviations from the authorized procedures, including the authorized RT-PCR instruments, authorized extraction methods, authorized clinical specimen types, authorized control materials, authorized other ancillary reagents and authorized materials required to perform the CDC 2019-nCoV Real-Time RT-PCR Diagnostic Panel are not permitted.²
- Authorized laboratories that receive the CDC 2019-nCoV Real-Time RT-PCR Diagnostic Panel must notify the relevant public health authorities of their intent to run the test prior to initiating testing.
- Authorized laboratories will have a process in place for reporting test results to healthcare providers and relevant public health authorities, as appropriate.
- Authorized laboratories will collect information on the performance of the test and report to DMD/OHT7-OIR/OPEQ/CDRH (via email: CDRH-EUA-Reporting@fda.hhs.gov) and CDC

¹Authorized Laboratories: For ease of reference, the Letter of Authorization refers to "laboratories certified under the Clinical Laboratory Improvement Amendments of 1988 (CLIA), 42 U.S.C. § 263a, to perform high complexity tests" as "authorized laboratories."

²If an authorized laboratory is interested in implementing changes to the CDC 2019-nCoV Real-Time RT-PCR Diagnostic Panel that are not in the scope (Section II) of this letter of authorization FDA recommends you discuss with FDA after considering the policy outlined in *Immediately in Effect Guidance for Clinical Laboratories and Food and Drug Administration Staff: Policy for Diagnostics Testing in Laboratories Certified to Perform High Complexity Testing under CLIA prior to Emergency Use Authorization for Coronavirus Disease-2019 during the Public Health Emergency* (<https://www.fda.gov/media/135659/download>).

(respvirus@cdc.gov) any suspected occurrence of false positive or false negative results and significant deviations from the established performance characteristics of the test of which they become aware.

- Authorized laboratories will report adverse events, including problems with test performance or results, to MedWatch by submitting the online FDA Form 3500 (<https://www.accessdata.fda.gov/scripts/medwatch/index.cfm?action=reporting.home>) or by calling 1-800-FDA-1088
- All laboratory personnel using the test must be appropriately trained in RT-PCR techniques and use appropriate laboratory and personal protective equipment when handling this kit and use the test in accordance with the authorized labeling.
- CDC, IRR, manufacturers and distributors of commercial materials identified as acceptable on the CDC website, and authorized laboratories will ensure that any records associated with this EUA are maintained until otherwise notified by FDA. Such records will be made available to FDA for inspection upon request.

Performance Characteristics

Analytical Performance:

Limit of Detection (LoD):

LoD studies determine the lowest detectable concentration of 2019-nCoV at which approximately 95% of all (true positive) replicates test positive. The LoD was determined by limiting dilution studies using characterized samples.

The analytical sensitivity of the rRT-PCR assays contained in the CDC 2019 Novel Coronavirus (2019-nCoV) Real-Time RT-PCR Diagnostic Panel were determined in Limit of Detection studies. Since no quantified virus isolates of the 2019-nCoV are currently available, assays designed for detection of the 2019-nCoV RNA were tested with characterized stocks of in vitro transcribed full length RNA (N gene; GenBank accession: MN908947.2) of known titer (RNA copies/ μ L) spiked into a diluent consisting of a suspension of human A549 cells and viral transport medium (VTM) to mimic clinical specimen. Samples were extracted using the QIAGEN EZ1 Advanced XL instrument and EZ1 DSP Virus Kit (Cat# 62724) and manually with the QIAGEN DSP Viral RNA Mini Kit (Cat# 61904). Real-Time RT-PCR assays were performed using the ThermoFisher Scientific TaqPath™ 1-Step RT-qPCR Master Mix, CG (Cat# A15299) on the Applied Biosystems™ 7500 Fast Dx Real-Time PCR Instrument according to the CDC 2019-nCoV Real-Time RT-PCR Diagnostic Panel instructions for use.

A preliminary LoD for each assay was determined testing triplicate samples of RNA purified using each extraction method. The approximate LoD was identified by extracting and testing 10-fold serial dilutions of characterized stocks of in vitro transcribed full-length RNA. A confirmation of the LoD was determined using 3-fold serial dilution RNA samples with 20 extracted replicates. The LoD was determined as the lowest concentration where $\geq 95\%$ (19/20) of the replicates were positive.

Table 4. Limit of Detection Confirmation of the CDC 2019-nCoV Real-Time RT-PCR Diagnostic Panel with QIAGEN EZ1 DSP

Targets	2019-nCoV_N1			2019-nCoV_N2		
RNA Concentration ¹	10 ^{0.5}	10 ^{0.0}	10 ^{-0.5}	10 ^{0.5}	10 ^{0.0}	10 ^{-0.5}
Positives/Total	20/20	19/20	13/20	20/20	17/20	9/20
Mean Ct ²	32.5	35.4	NA	35.8	NA	NA
Standard Deviation (Ct)	0.5	0.8	NA	1.3	NA	NA

¹ Concentration is presented in RNA copies/μL

² Mean Ct reported for dilutions that are ≥ 95% positive. Calculations only include positive results.
NA not applicable

Table 5. Limit of Detection Confirmation CDC 2019-nCoV Real-Time RT-PCR Diagnostic Panel with QIAGEN QIAmp DSP Viral RNA Mini Kit

Targets	2019-nCoV_N1			2019-nCoV_N2			
RNA Concentration ¹	10 ^{0.5}	10 ^{0.0}	10 ^{-0.5}	10 ^{0.5}	10 ^{0.0}	10 ^{-0.5}	10 ^{-1.0}
Positives/Total	20/20	20/20	6/20	20/20	20/20	20/20	8/20
Mean Ct ²	32.0	32.8	NA	33.0	35.4	36.2	NA
Standard Deviation (Ct)	0.7	0.8	NA	1.4	0.9	1.9	NA

¹ Concentration is presented in RNA copies/μL

² Mean Ct reported for dilutions that are ≥ 95% positive. Calculations only include positive results.
NA not applicable

Table 6. Limit of Detection of the CDC 2019-nCoV Real-Time RT-PCR Diagnostic Panel

Virus	Material	Limit of Detection (RNA copies/μL)	
		QIAGEN EZ1 Advanced XL	QIAGEN DSP Viral RNA Mini Kit
2019 Novel Coronavirus	N Gene RNA Transcript	10 ^{0.5}	10 ⁰

FDA Sensitivity Evaluation: The analytical sensitivity of the test will be further assessed by evaluating an FDA-recommended reference material using an FDA developed protocol if applicable and/or when available.

In Silico Analysis of Primer and Probe Sequences:

An alignment was performed with the oligonucleotide primer and probe sequences of the CDC 2019 nCoV Real-Time RT-PCR Diagnostic Panel with all publicly available nucleic acid sequences for 2019-nCoV in GenBank as of February 1, 2020 to demonstrate the predicted inclusivity of the CDC 2019 nCoV Real-Time RT-PCR Diagnostic panel. All the alignments show 100% identity of the CDC panel to the available 2019-nCoV sequences with the exception of one nucleotide mismatch with the N1 forward primer in one deposited sequence. The risk of a single mismatch resulting in a significant loss in reactivity, and false negative result, is

low due to the design of the primers and probes with melting temperatures > 60°C and run conditions of the assay with annealing temperature at 55°C to tolerate one to two mismatches.

Specificity/Exclusivity Testing: In Silico Analysis

BLASTn analysis queries of the 2019-nCoV rRT-PCR assays primers and probes were performed against public domain nucleotide sequences. The database search parameters were as follows: 1) The nucleotide collection consists of GenBank+EMBL+DDBJ+PDB+RefSeq sequences, but excludes EST, STS, GSS, WGS, TSA, patent sequences as well as phase 0, 1, and 2 HTGS sequences and sequences longer than 100Mb; 2) The database is non-redundant. Identical sequences have been merged into one entry, while preserving the accession, GI, title and taxonomy information for each entry; 3) Database was updated on 10/03/2019; 4) The search parameters automatically adjust for short input sequences and the expect threshold is 1000; 5) The match and mismatch scores are 1 and -3, respectively; 6) The penalty to create and extend a gap in an alignment is 5 and 2 respectively.

2019-nCoV_N1 Assay:

Probe sequence of 2019-nCoV rRT-PCR assay N1 showed high sequence homology with SARS coronavirus and Bat SARS-like coronavirus genome. However, forward and reverse primers showed no sequence homology with SARS coronavirus and Bat SARS-like coronavirus genome. Combining primers and probe, there is no significant homologies with human genome, other coronaviruses or human microflora that would predict potential false positive rRT-PCR results.

2019-nCoV_N2 Assay:

The forward primer sequence of 2019-nCoV rRT-PCR assay N2 showed high sequence homology to Bat SARS-like coronaviruses. The reverse primer and probe sequences showed no significant homology with human genome, other coronaviruses or human microflora. Combining primers and probe, there is no prediction of potential false positive rRT-PCR results.

In summary, the 2019-nCoV rRT-PCR assay N1 and N2, designed for the specific detection of 2019-nCoV, showed no significant combined homologies with human genome, other coronaviruses, or human microflora that would predict potential false positive rRT-PCR results.

In addition to the *in silico* analysis, several organisms were extracted and tested with the CDC 2019-nCoV Real-Time RT-PCR Diagnostic Panel to demonstrate analytical specificity and exclusivity. Studies were performed with nucleic acids extracted using the QIAGEN EZ1 Advanced XL instrument and EZ1 DSP Virus Kit. Nucleic acids were extracted from high titer preparations (typically $\geq 10^5$ PFU/mL or $\geq 10^6$ CFU/mL). Testing was performed using the ThermoFisher Scientific TaqPath™ 1-Step RT-qPCR Master Mix, CG on the Applied Biosystems™ 7500 Fast Dx Real-Time PCR instrument. The data demonstrate that the expected results are obtained for each organism when tested with the CDC 2019-nCoV Real-Time RT-PCR Diagnostic Panel.

Table 7. Specificity/Exclusivity of the CDC 2019-nCoV Real-Time RT-PCR Diagnostic Panel

Virus	Strain	Source	2019-nCoV_N1	2019-nCoV_N2	Final Result
Human coronavirus	229E	isolate	0/3	0/3	Neg.
Human coronavirus	OC43	isolate	0/3	0/3	Neg.
Human coronavirus	NL63	clinical specimen	0/3	0/3	Neg.
Human coronavirus	HKU1	clinical specimen	0/3	0/3	Neg.
MERS-coronavirus		isolate	0/3	0/3	Neg.
SARS-coronavirus		isolate	0/3	0/3	Neg.
bocavirus	-	clinical specimen	0/3	0/3	Neg.
<i>Mycoplasma pneumoniae</i>		isolate	0/3	0/3	Neg.
<i>Streptococcus</i>		isolate	0/3	0/3	Neg.
Influenza A(H1N1)		isolate	0/3	0/3	Neg.
Influenza A(H3N2)		isolate	0/3	0/3	Neg.
Influenza B		isolate	0/3	0/3	Neg.
Human adenovirus, type 1	Ad71	isolate	0/3	0/3	Neg.
Human metapneumovirus	-	isolate	0/3	0/3	Neg.
respiratory syncytial virus	Long A	isolate	0/3	0/3	Neg.
rhinovirus		isolate	0/3	0/3	Neg.
parainfluenza 1	C35	isolate	0/3	0/3	Neg.
parainfluenza 2	Greer	isolate	0/3	0/3	Neg.
parainfluenza 3	C-43	isolate	0/3	0/3	Neg.
parainfluenza 4	M-25	isolate	0/3	0/3	Neg.

Endogenous Interference Substances Studies:

The CDC 2019-nCoV Real-Time RT-PCR Diagnostic Panel uses conventional well-established nucleic acid extraction methods and based on our experience with CDC's other EUA assays, including the CDC Novel Coronavirus 2012 Real-time RT-PCR Assay for the presumptive detection of Middle East Respiratory Syndrome Coronavirus (MERS-CoV) and the CDC Human Influenza Virus Real-Time RT-PCR Diagnostic Panel-Influenza A/H7 (Eurasian Lineage) Assay for the presumptive detection of novel influenza A (H7N9) virus that are both intended for use with a number of respiratory specimens, we do not anticipate interference from common endogenous substances.

Specimen Stability and Fresh-frozen Testing:

To increase the likelihood of detecting infection, CDC recommends collection of lower respiratory and upper respiratory specimens for testing. If possible, additional specimen types (e.g., stool, urine) should be collected and should be stored initially until decision is made by CDC whether additional specimen sources should be tested. Specimens should be collected as soon as possible once a PUI is identified regardless of symptom onset. Maintain proper infection control when collecting specimens. Store specimens at 2-8°C and ship overnight to CDC on ice pack. Label each specimen container with the patient's ID number (e.g., medical record number), unique specimen ID (e.g., laboratory requisition number), specimen type (e.g., nasal swabs) and the date the sample was collected. Complete a CDC Form 50.34 for each specimen submitted.

Clinical Performance:

As of February 22, 2020, CDC has tested 2071 respiratory specimens from persons under investigation (PUI) in the U.S. using the CDC 2019-nCoV Real-Time RT-PCR Diagnostic Panel. Specimen types include bronchial fluid/wash, buccal swab, nasal wash/aspirate, nasopharyngeal swab, nasopharyngeal/throat swab, oral swab, sputum, oropharyngeal (throat) swab, swab (unspecified), and throat swab.

Table 8: Summary of CDC 2019-Novel Coronavirus (2019-nCoV) Real-Time RT-PCR Diagnostic Panel Data Generated by Testing Human Respiratory Specimens Collected from PUI Subjects in the U.S.

Specimen Type	2019 nCoV Negative	2019 nCoV Positive	Inconclusive	Invalid	Total
Bronchial fluid/wash	2	0	0	0	2
Buccal swab	5	1	0	0	6
Nasal wash/aspirate	6	0	0	0	6
Nasopharyngeal swab	927	23	0	0	950
Nasopharyngeal swab/throat swab	4	0	0	0	4
Oral swab	476	9	0	0	485
Pharyngeal (throat) swab	363	10	0	1	374
Sputum	165	5	0	0	170
Swab (unspecified)¹	71	1	0	0	72
Tissue (lung)	2	0	0	0	2
Total	2021	49	0	1	2071

¹Actual swab type information was missing from these upper respiratory tract specimens.

Two thousand twenty-one (2021) respiratory specimens of the 2071 respiratory specimens tested negative by the CDC 2019-nCoV Real-Time RT-PCR Diagnostic Panel. Forty-nine (49) of the 2071 respiratory specimens tested positive by the CDC 2019-nCoV Real-Time RT-PCR Diagnostic Panel. Only one specimen (oropharyngeal (throat) swab) was invalid. Of the 49 respiratory specimens that tested positive by the CDC 2019-nCoV Real-Time RT-PCR Diagnostic Panel, seventeen (17) were confirmed by genetic sequencing and/or virus culture (positive percent agreement = 17/17, 95% CI: 81.6%-100%)

During the early phase of the testing, a total of 117 respiratory specimens collected from 46 PUI subjects were also tested with two analytically validated real-time RT-PCR assays that target separate and independent regions of the nucleocapsid protein gene of the 2019-nCoV, N4 and N5 assays. The nucleocapsid protein gene targets for the N4 and N5 assays are different and independent from the nucleocapsid protein gene targets for the two RT-PCR assays included in the CDC 2019-nCoV Real-Time RT-

PCR Diagnostic Panel, N1 and N2. Any positive result from the N4 and/or the N5 assay was further investigated by genetic sequencing.

Performance of the CDC 2019-nCoV Real-Time RT-PCR Diagnostic Panel testing these 117 respiratory specimens was estimated against a composite comparator. A specimen was considered comparator negative if both the N4 and the N5 assays were negative. A specimen was considered comparator positive when the N4 and/or the N5 assay generated a positive result, and the comparator positive result(s) were further investigated and confirmed to be 2019-nCoV RNA positive by genetic sequencing.

Table 9: Percent Agreement of the CDC 2019-nCoV Real-Time RT-PCR Diagnostic Panel with the Composite Comparator

CDC 2019-nCoV Panel Result	Composite Comparator Result	
	Positive	Negative
Positive	13 ¹	0
Inconclusive	0	0
Negative	0	104

¹Composite comparator results were available for 13 of 49 CDC 2019-nCoV Panel positive specimens only.

Positive percent agreement = $13/13 = 100\%$ (95% CI: 77.2% - 100%)

Negative percent agreement = $104/104 = 100\%$ (95% CI: 96.4% - 100%)

Enzyme Master Mix Evaluation:

The limit of detection equivalence between the ThermoFisher TaqPath™ 1-Step RT-qPCR Master Mix and the following enzyme master mixes was evaluated: Quantabio qScript XLT One-Step RT-qPCR ToughMix, Quantabio UltraPlex 1-Step ToughMix (4X), and Promega GoTaq® Probe 1- Step RT-qPCR System. Serial dilutions of 2019 novel coronavirus (SARS CoV-2) transcript were tested in triplicate with the CDC 2019-nCoV Real-time RT-PCR Diagnostic Panel using all four enzyme master mixes. Both manufactured versions of oligonucleotide probe, BHQ and ZEN, were used in the comparison. The lowest detectable concentration of transcript at which all replicates tested positive using the Quantabio qScript XLT One-Step RT-qPCR ToughMix and Quantabio UltraPlex 1-Step ToughMix (4X) was similar to that observed for the ThermoFisher TaqPath™ 1-Step RT-qPCR Master Mix. The lowest detectable concentration of transcript when using the Promega GoTaq® Probe 1- Step RT-qPCR System was one dilution above that observed for the other candidates when evaluated with the BHQ version of the CDC assays. The candidate master mixes all performed equivalently or at one dilution below the ThermoFisher TaqPath™ 1-Step RT-qPCR Master Mix when evaluated with the ZEN version of the CDC assays.

Table 10: Limit of Detection Comparison for Enzyme Master Mixes – BHQ Probe Summary Results

Copy Number	ThermoFisher TaqPath™ 1-Step RT-qPCR Master Mix		Quantabio qScript XLT One-Step RT-qPCR ToughMix		Quantabio UltraPlex 1- Step ToughMix (4X)		Promega GoTaq® Probe 1- Step RT-qPCR System	
	2019- nCoV_N1	2019- nCoV_N2	2019- nCoV_N1	2019- nCoV_N2	2019- nCoV_N1	2019- nCoV_N2	2019- nCoV_N1	2019- nCoV_N2
10 ² copies/μL	3/3	3/3	3/3	3/3	3/3	3/3	3/3	3/3
10 ¹ copies/μL	3/3	3/3	3/3	3/3	3/3	3/3	3/3	3/3
10 ⁰ copies/μL	3/3	3/3	3/3	3/3	3/3	3/3	3/3	2/3
10 ⁻¹ copies μL	2/3	0/3	1/3	1/3	1/3	1/3	0/3	0/3

Table 11: Limit of Detection Comparison for Enzyme Master Mixes – ZEN Probe Summary Results

Copy Number	ThermoFisher TaqPath™ 1-Step RT-qPCR Master Mix		Quantabio qScript XLT One-Step RT-qPCR ToughMix		Quantabio UltraPlex 1- Step ToughMix (4X)		Promega GoTaq® Probe 1- Step RT-qPCR System	
	2019- nCoV_N1	2019- nCoV_N2	2019- nCoV_N1	2019- nCoV_N2	2019- nCoV_N1	2019- nCoV_N2	2019- nCoV_N1	2019- nCoV_N2
10 ² copies/μL	3/3	3/3	3/3	3/3	3/3	3/3	3/3	3/3
10 ¹ copies/μL	3/3	3/3	3/3	3/3	3/3	3/3	3/3	3/3
10 ⁰ copies/μL	3/3	2/3	3/3	3/3	3/3	2/3	3/3	3/3
10 ⁻¹ copies μL	1/3	1/3	0/3	0/3	0/3	1/3	1/3	1/3

Retrospective positive (18) and negative (17) clinical respiratory specimens were extracted using the QIAGEN EZ1 Advanced XL instrument and EZ1 DSP Virus Kit and were tested with the CDC 2019-nCoV Real-time RT-PCR Diagnostic Panel using the Quantabio qScript XLT One-Step RT-qPCR ToughMix, Quantabio UltraPlex 1-Step ToughMix (4X), and Promega GoTaq® Probe 1- Step RT-qPCR System master mixes. All three enzyme master mixes performed equivalently, demonstrating 100% positive and 100% negative agreement with expected results and a 95% confidence interval of 82.4%-100% and 81.6%-100%, respectively.

Table 12: Clinical Comparison – Retrospective Study Summary Results

CDC 2019-nCoV Real-time RT- PCR Diagnostic Panel Result	Quantabio qScript XLT One-Step RT-qPCR ToughMix		Quantabio UltraPlex 1-Step ToughMix (4X)		Promega GoTaq® Probe 1- Step RT-qPCR System	
	Positive	Negative	Positive	Negative	Positive	Negative
Positive	18	0	18	0	18	0
Negative	0	17	0	17	0	17

Disposal

Dispose of hazardous or biologically contaminated materials according to the practices of your institution.

References

1. Ballew, H. C., *et al.* "Basic Laboratory Methods in Virology," DHHS, Public Health Service 1975 (Revised 1981), Centers for Disease Control and Prevention, Atlanta, Georgia 30333.
2. Clinical Laboratory Standards Institute (CLSI), "Collection, Transport, Preparation and Storage of Specimens for Molecular Methods: Proposed Guideline," MM13-A
3. Lieber, M., *et al.* "A Continuous Tumor Cell Line from a Human Lung Carcinoma with Properties of Type II Alveolar Epithelial Cells." *International Journal of Cancer* 1976, 17(1), 62-70.

Revision History

Revision #	Effective Date	Summary of Revisions
1	February 4, 2020	Original Instructions for Use
2	March 15, 2020	<ul style="list-style-type: none">• Intended use update• Removal of N3 primer and probe set from Diagnostic Panel• Performance data update• Addition of alternative nucleic acid extraction platforms• Addition of acceptable alternatives to HSC and addition of QIAGEN RUO extraction reagents• Positive results no longer presumptive. No confirmation of positive results required
3	March 30, 2020	<ul style="list-style-type: none">• Addition of alternative enzyme master mix options

Contact Information, Ordering, and Product Support

For technical and product support, contact the CDC Division of Viral Diseases directly.

Send email to: respvirus@cdc.gov

Note: If your laboratory is using reagents sourced from someone other than the CDC International Reagent Resource, please refer to the manufacturer's instructions provided with the commercial materials.



2019-nCoV EUA-01

**CDC 2019-nCoV Real-Time RT-PCR Diagnostic Panel
Product Information Sheet**

DO NOT DISCARD: Important product-specific information

CDC 2019-nCoV Real-Time RT-PCR Diagnostic Panel
For use under EMERGENCY USE AUTHORIZATION (EUA) only.
Rx only

CATALOG: 2019-nCoV EUA-01

KIT LOT:

EXPIRATION DATE: YYYY-MM-DD (3 Years from DOM)

INTENDED USE

The CDC 2019-Novel Coronavirus (2019-nCoV) Real-Time RT-PCR Diagnostic Panel is a real-time RT-PCR test intended for the qualitative detection of nucleic acid from the 2019-nCoV in upper and lower respiratory specimens (such as nasopharyngeal or oropharyngeal swabs, sputum, lower respiratory tract aspirates, bronchoalveolar lavage, and nasopharyngeal wash/aspirate or nasal aspirate) collected from individuals who meet 2019-nCoV clinical and/or epidemiological criteria (for example, clinical signs and symptoms associated with 2019-nCoV infection, contact with a probable or confirmed 2019-nCoV case, history of travel to a geographic locations where 2019-nCoV cases were detected, or other epidemiologic links for which 2019-nCoV testing may be indicated as part of a public health investigation). Testing in the United States is limited to laboratories certified under the Clinical Laboratory Improvement Amendments of 1988 (CLIA), 42 U.S.C. § 263a, to perform high complexity tests.

Results are for the identification of 2019-nCoV RNA. The 2019-nCoV RNA is generally detectable in upper and lower respiratory specimens during infection. Positive results are indicative of active infection with 2019-nCoV but do not rule out bacterial infection or co-infection with other viruses. The agent detected may not be the definite cause of disease. Laboratories within the United States and its territories are required to report all positive results to the appropriate public health authorities.

Negative results do not preclude 2019-nCoV infection and should not be used as the sole basis for treatment or other patient management decisions. Negative results must be combined with clinical observations, patient history, and epidemiological information.

Testing with the CDC 2019-nCoV Real-Time RT-PCR Diagnostic Panel is intended for use by trained laboratory personnel who are proficient in performing real-time RT-PCR assays. The CDC 2019-Novel Coronavirus (2019-nCoV) Real-Time RT-PCR Diagnostic Panel is only for use under a Food and Drug Administration's Emergency Use Authorization .

PACKAGE CONTENTS

PACKAGING	COMPONENT	PART NUMBER	COMPONENT LOT NUMBER	VIALS PER KIT	QUANTITY /VIAL	STATE
Oligonucleotide Box	2019-nCoV_N1 Combined Primer/Probe Mix	RV202001		1	22.5 nmol	Dried
	2019-nCoV_N2 Combined Primer/Probe Mix	RV202002		1	22.5 nmol	Dried
	RP Combined Primer/Probe Mix	RV202004		1	22.5 nmol	Dried
Control Box	nCoVPC 2019-nCoV Positive Control (non-infectious)	RV202005		4	1 x 10 ⁴ copies/μL	Dried

STORAGE INSTRUCTIONS

Upon receipt, store at 2-8°C. Refer to the CDC 2019-Novel Coronavirus (2019-nCoV) Real-Time RT-PCR Diagnostic Panel Instructions for Use before opening and preparing reagents for use.

PROCEDURE/INTERPRETATION/LIMITATIONS

Users should refer to the **CDC 2019-Novel Coronavirus (2019-nCoV) Real-Time RT-PCR Diagnostic Panel Instructions for Use** posted on the FDA website for all IVD products used under Emergency Use Authorization, <http://www.fda.gov/MedicalDevices/Safety/EmergencySituations/ucm161496.htm>.

IVD**PRECAUTIONS**



<i>Division of Viral Diseases/Respiratory Viruses Branch</i>	
2019-nCoV EUA-01	CDC 2019-nCoV Real-Time RT-PCR Diagnostic Panel Product Information Sheet

This reagent should be handled in an approved BSL-2 handling area to avoid contamination of laboratory equipment and reagents that could cause false positive results. This product is non-infectious. However, this product should be handled in accordance with Good Laboratory Practices.

REAGENT COMPLAINTS/QUESTIONS

If you have a question/comment about this product, please contact the CDC Division of Viral Diseases/Respiratory Viruses Branch by email at respvirus@cdc.gov.

DISTRIBUTED BY

Manufactured by the Centers for Disease Control and Prevention, 1600 Clifton Road, Atlanta, Georgia, 30329, USA

IVD

**CDC 2019-nCoV Real-Time RT-PCR Diagnostic Panel – Verification Requirements**

***** DO NOT DISCARD: Important product-specific information *****

CDC 2019-nCoV Real-Time RT-PCR Diagnostic Panel – Verification Requirements

Please consult the following guidance from CMS regarding Emergency Use Authorized diagnostic tests: <https://www.cms.gov/Medicare/Provider-Enrollment-and-Certification/SurveyCertificationGenInfo/Policy-and-Memos-to-States-and-Regions-Items/QSO18-19-CLIA>

INTENDED USE

The CDC 2019-Novel Coronavirus (2019-nCoV) Real-Time RT-PCR Diagnostic Panel is a real-time RT-PCR test intended for the qualitative detection of nucleic acid from the 2019-nCoV in upper and lower respiratory specimens (such as nasopharyngeal or oropharyngeal swabs, sputum, lower respiratory tract aspirates, bronchoalveolar lavage, and nasopharyngeal wash/aspirate or nasal aspirate) collected from individuals who meet 2019-nCoV clinical and/or epidemiological criteria (for example, clinical signs and symptoms associated with 2019-nCoV infection, contact with a probable or confirmed 2019-nCoV case, history of travel to a geographic locations where 2019-nCoV cases were detected, or other epidemiologic links for which 2019-nCoV testing may be indicated as part of a public health investigation). Testing in the United States is limited to laboratories certified under the Clinical Laboratory Improvement Amendments of 1988 (CLIA), 42 U.S.C. § 263a, to perform high complexity tests.

Results are for the identification of 2019-nCoV RNA. The 2019-nCoV RNA is generally detectable in upper and lower respiratory specimens during infection. Positive results are indicative of active infection with 2019-nCoV but do not rule out bacterial infection or co-infection with other viruses. The agent detected may not be the definite cause of disease. Laboratories within the United States and its territories are required to report all positive results to the appropriate public health authorities.

Negative results do not preclude 2019-nCoV infection and should not be used as the sole basis for treatment or other patient management decisions. Negative results must be combined with clinical observations, patient history, and epidemiological information.

Testing with the CDC 2019-nCoV Real-Time RT-PCR Diagnostic Panel is intended for use by trained laboratory personnel who are proficient in performing real-time RT-PCR assays. The CDC 2019-Novel Coronavirus (2019-nCoV) Real-Time RT-PCR Diagnostic Panel is only for use under a Food and Drug Administration's Emergency Use Authorization.

REQUIRED MATERIALS

The 2019 novel coronavirus positive control (nCoVPC) is provided with the CDC 2019-nCoV Real-Time RT-PCR Diagnostic Panel and should be prepared according to the instructions for use. The nCoVPC consists of an RNA transcript of the 2019-nCoV N gene as well as human RNase P gene segment. nCoVPC will yield a positive result with the following primer and probe sets: 2019-nCoV_N1, 2019-nCoV_N2, and RP.

Approximately 2 mL of an upper respiratory specimen (e.g. nasopharyngeal swabs (NPS) in transport media) will be needed for testing. Specimens may be pooled if less than 2mL of one specimen is available.

Refer to CDC 2019-nCoV Real-Time RT-PCR Diagnostic Panel package insert (manufacturer instructions) for additional reagents, materials, and instructions.

PRECAUTIONS

This reagent should be handled in an approved BSL-2 handling area to avoid contamination of laboratory equipment and reagents that could cause false positive results. This product is an



CDC 2019-nCoV Real-Time RT-PCR Diagnostic Panel – Verification Requirements

*** **DO NOT DISCARD: Important product-specific information** ***

RNA transcript and is non-infectious. However, the nCoVPC should be handled in accordance with Good Laboratory Practices.

Store reagent at appropriate temperatures (see instructions for use) and hold on ice when thawed.

Please use standard precautions when handling respiratory specimens.

INSTRUCTIONS FOR PREPARING SAMPLES BEFORE EXTRACTION WITH THE QIAamp DSP VIRAL RNA MINI KIT OR THE QIAamp VIRAL RNA MINI KIT

- Refer to the 2019-nCoV Real-Time RT-PCR Diagnostic Panel instructions for use for reconstitution of the materials for use. RNA should be kept cold during preparation and use.
- Make a 1/10 dilution of nCoVPC by adding 5 µL of nCoVPC into 45 µL of nuclease-free water or 10 mM Tris
- Aliquot 560 µL of lysis buffer into each of nine tubes labeled 1-9.
- Add 140 µL of upper respiratory specimen (e.g. NPS in viral transport media) into each of the nine labeled tubes with lysis buffer
- To prepare samples at a moderate concentration, spike 14 µL of undiluted nCoVPC (rehydrated as described in the CDC 2019-nCoV Real-Time RT-PCR Diagnostic Panel instructions for use) into each tube labeled 1-3 containing lysis buffer and specimen
- To prepare samples at a low concentration, spike 14 µL of 1/10 dilution of nCoVPC into each tube labeled 4-6 containing lysis buffer and specimen
- To prepare negative samples, spike 14 µL of nuclease-free water into each tube labeled 7-9 containing lysis buffer and specimen
- Perform extractions of all nine samples according to the CDC 2019-nCoV Real-Time RT-PCR Diagnostic Panel instructions for use

INSTRUCTIONS FOR PREPARING SAMPLES BEFORE EXTRACTION WITH THE QIAGEN EZ1 ADVANCED XL

- Refer to the 2019-nCoV Real-Time RT-PCR Diagnostic Panel instructions for use for reconstitution of the materials for use. RNA should be kept cold during preparation and use.
- Make a 1/10 dilution of nCoVPC by adding 5 µL of nCoVPC into 45 µL of nuclease-free water or 10 mM Tris
- Aliquot 280 µL of lysis buffer into each of nine tubes labeled 1-9.
- Add 120 µL of upper respiratory specimen (e.g. NPS in viral transport media) into each of the nine labeled tubes with lysis buffer
- To prepare samples at a moderate concentration, spike 12 µL of undiluted nCoVPC (rehydrated as described in the CDC 2019-nCoV Real-Time RT-PCR Diagnostic Panel instructions for use) into each tube labeled 1-3 containing lysis buffer and specimen
- To prepare samples at a low concentration, spike 12 µL of 1/10 dilution of nCoVPC into each tube labeled 4-6 containing lysis buffer and specimen
- To prepare negative samples, spike 12 µL of nuclease-free water into each tube labeled 7-9 containing lysis buffer and specimen
- Perform extractions of all nine samples according to the CDC 2019-nCoV Real-Time RT-PCR Diagnostic Panel instructions for use

INSTRUCTIONS FOR PREPARING SAMPLES BEFORE EXTRACTION WITH THE ROCHE MagNA PURE TOTAL NUCLEIC ACID KIT OR THE ROCHE MagNA PURE NUCLEIC ACID ISOLATION KIT I

- Refer to the 2019-nCoV Real-Time RT-PCR Diagnostic Panel instructions for use for reconstitution of the materials for use. RNA should be kept cold during preparation and use.
- Make a 1/10 dilution of nCoVPC by adding 5 µL of nCoVPC into 45 µL of nuclease-free water or 10 mM Tris
- Aliquot 300 µL of lysis buffer into each of nine tubes labeled 1-9.
- Add 100 µL of upper respiratory specimen (e.g. NPS in viral transport media) into each of the nine labeled tubes with lysis buffer

CDC 2019-nCoV Real-Time RT-PCR Diagnostic Panel – Verification Requirements

*** DO NOT DISCARD: Important product-specific information ***

- To prepare samples at a moderate concentration, spike 12 µL of undiluted nCoVPC (rehydrated as described in the CDC 2019-nCoV Real-Time RT-PCR Diagnostic Panel instructions for use) into each tube labeled 1-3 containing lysis buffer and specimen
- To prepare samples at a low concentration, spike 12 µL of 1/10 dilution of nCoVPC into each tube labeled 4-6 containing lysis buffer and specimen
- To prepare negative samples, spike 12 µL of nuclease-free water into each tube labeled 7-9 containing lysis buffer and specimen
- Perform extractions of all nine samples according to the CDC 2019-nCoV Real-Time RT-PCR Diagnostic Panel instructions for use

INSTRUCTIONS FOR PREPARING SAMPLES BEFORE EXTRACTION WITH THE ROCHE MagNA PURE 96 DNA AND VIRAL NA SMALL VOLUME KIT

- Refer to the 2019-nCoV Real-Time RT-PCR Diagnostic Panel instructions for use for reconstitution of the materials for use. RNA should be kept cold during preparation and use.
- Make a 1/10 dilution of nCoVPC by adding 5 µL of nCoVPC into 45 µL of nuclease-free water or 10 mM Tris
- Aliquot 350 µL of lysis buffer into each of nine tubes labeled 1-9.
- Add 100 µL of upper respiratory specimen (e.g. NPS in viral transport media) into each of the nine labeled tubes with lysis buffer
- To prepare samples at a moderate concentration, spike 12 µL of undiluted nCoVPC (rehydrated as described in the CDC 2019-nCoV Real-Time RT-PCR Diagnostic Panel instructions for use) into each tube labeled 1-3 containing lysis buffer and specimen
- To prepare samples at a low concentration, spike 12 µL of 1/10 dilution of nCoVPC into each tube labeled 4-6 containing lysis buffer and specimen
- To prepare negative samples, spike 12 µL of nuclease-free water into each tube labeled 7-9 containing lysis buffer and specimen
- Perform extractions of all nine samples according to the CDC 2019-nCoV Real-Time RT-PCR Diagnostic Panel instructions for use

INSTRUCTIONS FOR PREPARING SAMPLES BEFORE EXTRACTION WITH THE BIOMÉRIEUX NucliSENS easyMAG OR THE BIOMÉRIEUX EMAG

- Refer to the 2019-nCoV Real-Time RT-PCR Diagnostic Panel instructions for use for reconstitution of the materials for use. RNA should be kept cold during preparation and use.
- Make a 1/10 dilution of nCoVPC by adding 5 µL of nCoVPC into 45 µL of nuclease-free water or 10 mM Tris
- Aliquot 1000 µL or 2000 µL of pre-aliquoted easyMAG lysis buffer into each of nine tubes labeled 1-9 for the easyMAG or eMAG, respectively.
- Add 100 µL of upper respiratory specimen (e.g. NPS in viral transport media) into each of the nine labeled tubes with lysis buffer
- To prepare samples at a moderate concentration, spike 12 µL of undiluted nCoVPC (rehydrated as described in the CDC 2019-nCoV Real-Time RT-PCR Diagnostic Panel instructions for use) into each tube labeled 1-3 containing lysis buffer and specimen
- To prepare samples at a low concentration, spike 12 µL of 1/10 dilution of nCoVPC into each tube labeled 4-6 containing lysis buffer and specimen
- To prepare negative samples, spike 12 µL of nuclease-free water into each tube labeled 7-9 containing lysis buffer and specimen
- Perform extractions of all nine samples according to the CDC 2019-nCoV Real-Time RT-PCR Diagnostic Panel instructions for use

PROCEDURE

Follow the CDC 2019-nCoV Real-Time RT-PCR Diagnostic Panel instructions for use for testing the 9 extracted samples at least once.

EXPECTED RESULTS

Moderate nCoVPC samples should be positive for 2019-nCoV.

Low nCoVPC samples should be positive for 2019-nCoV.

Negative upper respiratory samples should be negative for 2019-nCoV.



CDC 2019-nCoV Real-Time RT-PCR Diagnostic Panel – Verification Requirements

***** DO NOT DISCARD: Important product-specific information *****

≥ 90% of test results should be in agreement with the expected results. If test results are less than 90% in agreement with expected results, contact CDC at respvirus@cdc.gov.

QUESTIONS

Please send questions or comments by email to respvirus@cdc.gov.

DISTRIBUTION:

Distributed to qualified laboratories by Centers for Disease Control and Prevention, 1600 Clifton Road, Atlanta, GA, 30329 USA



Protecting Worker Health

Workplace Cleaning for COVID-19

Guidance Document

[aiha.org](https://www.aiha.org)

Photo courtesy of Getty Images

Sponsored by the AIHA Indoor Environmental Quality Committee

Industrial hygiene has been defined as

“that science and art devoted to the anticipation, recognition, evaluation, and control of those environmental factors or stresses arising in or from the workplace, which may cause sickness, impaired health and well-being, or significant discomfort among workers or among the citizens of the community.”

(Source: OSHA definition of Industrial Hygiene)

COVID-19 Cleaning, Disinfecting, and Safety in NON-HEALTHCARE Workplaces

Critical and essential workplaces operating during this pandemic need to implement procedures to reduce the risk of workers, contractors, vendors, customers, and members of the community becoming infected on their premises. Outside of healthcare and paramedical facilities, the infrastructure and standard practices of infection prevention and control have not been commonplace. Establishing enhanced routine cleaning and disinfection procedures in offices, factories, warehouses, call centers, grocery stores, and other non-healthcare workplaces is a critical step in reducing exposures and infections.

Studies have reported that some people infected with COVID-19 are “silent spreaders,” that is, either asymptomatic or pre-symptomatic, exhibiting no signs of fever, cough, or labored breathing. Employers and industrial hygienists must assume that some workers are likely to come to work while infectious. Enforcing social distancing, establishing administrative controls to minimize worker contact, and mandating the use of personal protective equipment (PPE) are all part of pandemic response. However, keeping indoor work areas clean and hygienic plays a critical part in infection control.



Photo courtesy of Getty Images

Some organizations delay responding until an employee is confirmed as infected with SARS-CoV-2, the virus that causes COVID-19. This type of episodic decontamination or deep cleaning upon learning of a confirmed infection is too little, too late. Because it takes time to receive the results of a clinical test, employers will probably not learn of an exposure until days or weeks after it occurred, making episodic deep cleaning inadequate to reduce the risk of contagion exposure. This approach is not commonly recommended by infection control or industrial hygiene professionals.

By borrowing from the principles, procedures, and practices used to proactively prevent the spread of infectious agents in hospitals, industrial hygienists can assist employers in reducing the risk of infection in non-healthcare workplaces and other public places. To minimize the risk of exposure to contagious viral deposits from infected employees, contractors, or vendors, the American Industrial Hygiene Association (AIHA) encourages employers to use the approach of routine enhanced cleaning and disinfection of workplace surfaces and equipment, in combination with other risk mitigation measures to slow the spread of the SARS-CoV-2 virus. This ap-





Photo courtesy of Getty Images

proach must include the use of EPA-registered disinfectants that meet the EPA criteria for use against SARS-CoV-2. Cleaning staff must be trained in the safe and effective use of PPE and disinfectants.

Periodic third-party oversight, and confirmation that cleaning and disinfection procedures are being followed, should be part of this program. Until a valid environmental test becomes commercially available to detect infectious SARS-CoV-2 viruses, validation testing of cleaning and disinfection procedures is not recommended. Environmental and occupational health professionals should consider various approaches to assess cleaning and disinfection procedures as they become available, including directly measuring viral RNA or other surrogate methods. As these methods do not differentiate between infectious and inactivated virus, it is important to decide before testing how results will be interpreted when using these methods. Ultimately, interpreting test results, recommending actions to reduce exposure risk, and effectively communicating with stakeholders is the responsibility of the industrial hygienists and occupational health professionals who design and carry out testing strategies.

For non-healthcare settings, consider the following steps to reduce the risk of infection from deposited pathogens on surfaces:

- Establish a team of environmental service technicians and professionals. Ensure they are trained on the proper use and limitations of PPE; personal hygiene protocols; mixing and applying of approved cleaning and disinfecting agents; and are properly supervised to promote ongoing quality control. Seek guidance from a Certified Industrial Hygienist (CIH), Certified Infection Control Professional, Registered Sanitarian, or other qualified public health professional.
- Identify and purchase EPA-registered disinfectants that meet the criteria for use against the SARS-CoV-2 virus and are approved the surfaces on which they will be used. Establish procedures to store, mix, apply, and dispose of any leftover cleaning and disinfection products safely and in accordance with established regulations, including communicating hazards to employees handling the materials. (<https://www.epa.gov/pesticide-registration/list-n-disinfectants-use-against-sars-cov-2>)
- Frequency of cleaning can depend upon occupant usage patterns, population of the facility, and surfaces that are frequently touched by multiple people. Establish a specific, detailed list of items, surfaces, equipment, and locations to be cleaned and disinfected, and a schedule of how often that should occur. Identify “high-touch” areas that require frequent treatment, as well as any other areas that should be frequently cleaned (such as bathrooms and elevator lobbies).
- Ensure that ALL containers used to measure, store, transport, mix, and apply cleaning agents and disinfectants are properly labeled as to the contents, product name, and concentration if diluted. For example, all spray application bottles must be properly labeled with the product name and end use concentration.



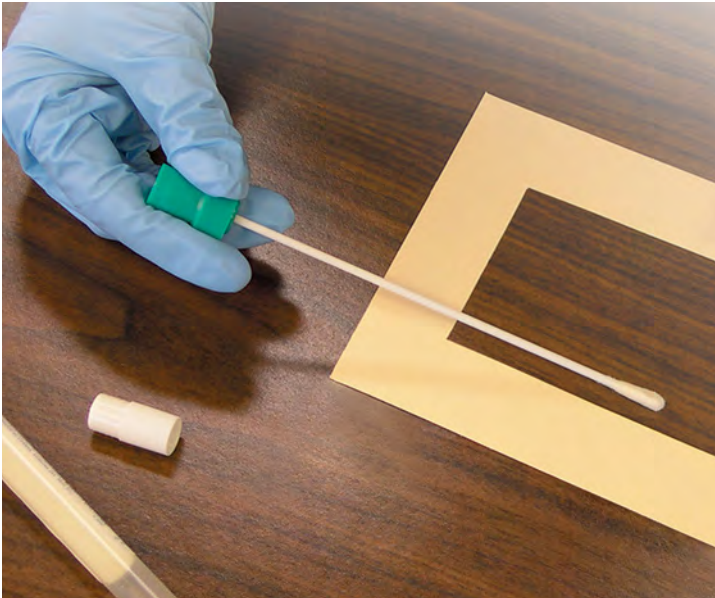


Photo courtesy of SKC

- Environmental testing to verify effectiveness of cleaning and disinfection is not currently, as of April 2020, included in the guidelines published by the Centers for Disease Control and Prevention (CDC), World Health Organization (WHO), or other public health authorities. Bear in mind the following when evaluating indoor surfaces:
 - There are currently no validated, commercially available, environmental test methods specific for infectious SARS-CoV-2 viruses. If a method is developed, guidance or standards for interpreting environmental surface testing results that allow the user to distinguish between acceptable or unacceptable levels of virus on surfaces will be needed.
 - Understand the implications and limitations of using a surrogate or direct indicator for cleaning efficacy. Clearly define criteria for acceptance, and follow-up actions based on sample results, in advance of testing.
 - Develop a hypothesis as part of the verification process. Only perform testing methods capable of assessing that hypothesis.
- Many of those infected with COVID-19 are “silent spreaders.” Testing surfaces can verify their cleanness only until a space is reoccupied and potentially re-contaminated. This is why public health professionals recommend routine, not episodic, cleaning and disinfection for most facilities and buildings.
- Isolate and block off common areas that are no longer being used due to restrictions on gatherings, such as conference rooms, auditoriums, and cafeterias, to minimize the number of surfaces requiring regular cleaning and disinfection.
- For carpeted flooring, apply the following guidance:
 - ONLY use HEPA filtered vacuum cleaners. Unfiltered vacuum cleaners can aerosolize a significant amount of respirable dust, which may carry infectious pathogens.
 - Use hot water injection that continually delivers water above 140°F (60°C) to periodically deep clean carpeting. This is not shampooing or bonnet scrubbing. Cleaning carpet and upholstered furniture is a good first step to an enhanced cleaning and disinfection program. This should ideally be performed after normal work hours, when employees are not present. Application of chemical disinfectants to carpeting should not be performed regularly, and only with EPA registered disinfectants approved for porous or upholstered fabrics.
- Use of foggers for broad application of disinfectants is generally discouraged and should not be a substitute for directly applying the disinfectant onto a surface, because:
 - The ability to obtain sufficient disinfectant concentrations and distributions on surfaces requires calculating the generation rate of the disinfectant used, based upon room volume, air mixing, and other variables that are not often known.



- The US EPA does not recommend fogging applications, or wide area spraying of disinfectants, to control COVID-19. (<https://www.epa.gov/coronavirus/can-i-use-fumigation-or-wide-area-spraying-help-control-covid-19>)
- Risks to individual applicators and nearby persons must be considered and steps to prevent hazardous exposures must be taken.
- Fogging disinfectants in occupied areas or HVAC system ducts poses a health risk to both applicators and building occupants. No current evidence is available on the need, or efficacy, of applying disinfectants to HVAC system ducts as it relates to SARS-CoV-2.
- Fogging disinfectants into a building or its HVAC system can inadvertently activate smoke alarms if measures are not taken to protect them from the aerosol. Fogged disinfectant can enter HVAC system ducts if they are not turned off and the registers sealed.
- Targeted fogging of disinfectants directly onto hard surfaces may be useful after effective cleaning, but depends upon the selected disinfectant, application rates, concentrations, and dwell time.
- Application of either vapor hydrogen peroxide (VHP) or hydrogen peroxide vapor (HPV) is sometimes equated or confused with “fogging.” These precisely calculated disinfection processes are typically limited only to facilities designed or retrofitted for this type of disinfection by properly trained professionals. VHP must be used only in spaces that can be made airtight, to control the application and limit the risk to personnel exposure. This type of disinfection is traditionally limited to rooms in hospitals, laboratories, or other special use areas.



Photo courtesy of Getty Images

- Ensure adequate ventilation is provided, both during and after application of disinfectants, by either hand-wiping, spray applying, or fogging. Read and follow the EPA Approved Product Label and comply with ventilation requirements. If no guidance is provided, then consult an industrial hygienist.
- Common use kitchens or pantries should be addressed separately, and ONLY cleaners and disinfectants approved for food preparation surfaces should be used.

References and Links

<https://apic.org/resources/topic-specific-infection-prevention/environmental-services/>

<https://www.epa.gov/pesticide-registration/list-n-disinfectants-use-against-sars-cov-2>

<https://www.epa.gov/coronavirus/can-i-use-fumigation-or-wide-area-spraying-help-control-covid-19>



AIHA®

Founded in 1939, the American Industrial Hygiene Association® (AIHA®) is one of the largest international associations serving the needs of industrial/occupational hygiene professionals practicing in industry, government, labor, academic institutions, and independent organizations.

For more information, visit www.aiha.org

About Occupational Health and Safety Professionals

Occupational health and safety (OHS) professionals (also known as industrial hygienists) practice the science of anticipating, recognizing, evaluating, and controlling workplace conditions that may cause workers' injury or illness. Through a continuous improvement cycle of planning, doing, checking and acting, OHS professionals make sure workplaces are healthy and safe.

AIHA® Resources

Get additional resources at AIHA's Coronavirus Outbreak Resource Center. https://www.aiha.org/public-resources/consumer-resources/coronavirus_outbreak_resources

Find a qualified industrial hygiene and OEHS professionals near you in our Consultants Listing. <https://www.aiha.org/consultants-directory>.

This guidance document has been prepared by the following volunteers as part of efforts by the Indoor Environmental Quality Committee of the American Industrial Hygiene Association.

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